

## QUANDLE COVERINGS AND THEIR GALOIS CORRESPONDENCE

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*Dedicated to the memory of Egbert Brieskorn (1936–2013)*

**ABSTRACT.** This article establishes the algebraic covering theory of quandles. For every connected quandle  $Q$  with base point  $q \in Q$ , we explicitly construct a universal covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$ . This in turn leads us to define the algebraic fundamental group  $\pi_1(Q, q) := \text{Aut}(p) = \{g \in \text{Adj}(Q)' \mid q^g = q\}$ , where  $\text{Adj}(Q)$  is the adjoint group of  $Q$ . We then establish the Galois correspondence between connected coverings of  $(Q, q)$  and subgroups of  $\pi_1(Q, q)$ . Quandle coverings are thus formally analogous to coverings of topological spaces, and resemble Kervaire's algebraic covering theory of perfect groups. A detailed investigation also reveals some crucial differences, which we illustrate by numerous examples.

As an application we obtain a simple formula for the second (co)homology group of a quandle  $Q$ . It has long been known that  $H_1(Q) \cong H^1(Q) \cong \mathbb{Z}[\pi_0(Q)]$ , and we construct natural isomorphisms  $H_2(Q) \cong \pi_1(Q, q)_{\text{ab}}$  and  $H^2(Q, A) \cong \text{Ext}(Q, A) \cong \text{Hom}(\pi_1(Q, q), A)$ , reminiscent of the classical Hurewicz isomorphisms in degree 1. This means that whenever  $\pi_1(Q, q)$  is known, (co)homology calculations in degree 2 become very easy.

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## 1. INTRODUCTION AND OUTLINE OF RESULTS

1.1. **Motivation and background.** In every group  $(G, \cdot)$  one can define conjugation on the right  $a * b = b^{-1} \cdot a \cdot b$ , and its inverse, conjugation on the left  $a \bar{*} b = b \cdot a \cdot b^{-1}$ . They enjoy the following properties for all  $a, b, c \in G$ :

- (Q1)  $a * a = a$  (idempotency)  
 (Q2)  $(a * b) \bar{*} b = (a \bar{*} b) * b = a$  (right invertibility)  
 (Q3)  $(a * b) * c = (a * c) * (b * c)$  (self-distributivity)

Turning these properties into axioms, D. Joyce [16] defined a *quandle* to be a set  $Q$  equipped with two binary operations  $*, \bar{*}: Q \times Q \rightarrow Q$  satisfying (Q1–Q3). Alternatively it suffices to require that  $*$  be right invertible, the right inverse  $\bar{*}$  can then be deduced from  $*$ . Quandles thus encode the algebraic properties of conjugation; this axiomatic approach is most natural for studying situations where group multiplication is absent or of a secondary nature. We mention three classical examples:

**Example 1.1** (knot quandles). The main motivation to study quandles comes from knot theory: the Wirtinger presentation of the fundamental group  $\pi_K = \pi_1(\mathbb{S}^3 \setminus K)$  of a knot or link  $K \subset \mathbb{S}^3$  involves only conjugation but not the group multiplication itself, and can thus be seen to define a quandle  $Q_K$ . The three quandle axioms then correspond precisely to the three Reidemeister moves. These observations were first explored in 1982 by Joyce [16], who showed that the knot quandle  $Q_K$  classifies knots up to orientation. Many authors have since rediscovered and studied this notion. (See the historical remarks in §3.8.)

**Example 1.2** (Lie algebras). Every Lie group  $G$  is tied to its Lie algebra  $\mathfrak{g} = T_1 G$  by two important maps: the exponential map  $\exp: \mathfrak{g} \rightarrow G$  and the adjoint action  $\text{ad}: G \rightarrow \text{Aut}(\mathfrak{g})$ , denoted by  $\text{ad}(g): x \mapsto x^g$ . They induce a quandle structure on  $\mathfrak{g}$  by  $x * y := x^{\exp(y)}$ . The Lie bracket is its derivative,  $[x, y] = \frac{d}{dt} [x * ty]_{t=0}$ . The quandle  $(\mathfrak{g}, *)$  is thus half-way between the Lie group  $(G, \cdot)$  and the Lie algebra  $(\mathfrak{g}, [, ])$ . It is usually preferable to work with the strongest of these three structures, namely the Lie group  $(G, \cdot)$ , which induces the other two. Some infinite dimensional Lie algebras, however, cannot be integrated to a Lie group. The quandle structure, on the contrary, can usually be saved, see §3.3.

**Example 1.3** (symmetric spaces). A *symmetric space* is a Riemannian manifold such that for each point  $x \in X$  there exists an isometry  $s_x: X \xrightarrow{\sim} X$  that reverses every geodesic arc  $\gamma: ]-\varepsilon, +\varepsilon[ \rightarrow (X, x)$ . It follows that  $(X, *)$  is a quandle with respect to the operation  $x * y := s_y(x)$ , see §3.7.

Slightly more general than quandles, a *rack* is only required to satisfy (Q2–Q3). Such structures appear naturally in the study of braid actions (Brieskorn [2]) and provide set-theoretic solutions of the Yang-Baxter equation (Drinfel'd [8]).

In the 1990s emerged the concept of rack and quandle (co)homology [13], and it has since been put to work in constructing combinatorial knot invariants [7, 6, 5]. Calculating quandle cohomology, however, is difficult even in low degrees, mainly for two reasons:

- Brute force calculations are very limited in range. Even when they are feasible for small quandles and small degrees, their results are usually difficult to interpret.
- Unlike group cohomology, the topological underpinnings are less well developed. Geometric methods that make group theory so rich are mostly absent for quandles.

For example, given a diagram of a knot  $K \subset \mathbb{R}^3$ , it is comparatively easy to read off a fundamental homology class  $[K] \in H_2(Q_K)$  and to verify that it is an invariant of the knot [7]. Ever since the conception of quandle homology, however, it was an important open question how to interpret this fundamental class  $[K]$ , and to determine when it vanishes.

The notion of *quandle covering* [9] was introduced in order to geometrically interpret and finally determine the second (co)homology groups  $H_2(Q_K) \cong H^2(Q_K) \cong \mathbb{Z}$  for every non-trivial knot  $K$ . More precisely,  $H^2(Q_K)$  is freely generated by the canonical class  $[E] \in H^2(Q_K)$ , corresponding to the galois covering  $E: \mathbb{Z} \curvearrowright Q_L \rightarrow Q_K$  coming from the long knot  $L$  obtained by cutting  $K$  open, while its dual  $H_2(Q_K)$  is freely generated by the fundamental class  $[K] \in H_2(Q_K)$ . In particular,  $[K]$  vanishes if and only if the knot  $K$  is trivial, answering Question 7.3 of [7]. As another consequence,  $[K]$  encodes the orientation of the knot  $K$ , and so the pair  $(Q_K, [K])$  classifies oriented knots. (The generalization to links with several components will be established in §7.5 and §9.4 below.)

**1.2. Quandle coverings.** Knot quandles are somewhat special, and so it was not immediately realized that covering techniques could be useful for arbitrary quandles as well. The aim of the present article is to fully develop the algebraic covering theory of quandles. This will lead us to the appropriate definition of the algebraic fundamental group  $\pi_1(Q, q)$ , and to the Galois correspondence between connected coverings and subgroups of  $\pi_1(Q, q)$ .<sup>1</sup> Detailed definitions and results will be given in the next sections, following this overview.

**Definition 1.4** (see §2.8). A quandle homomorphism  $p: \tilde{Q} \rightarrow Q$  is called a *covering* if it is surjective and  $p(\tilde{y}) = p(\tilde{z})$  implies  $\tilde{x} * \tilde{y} = \tilde{x} * \tilde{z}$  for all  $\tilde{x}, \tilde{y}, \tilde{z} \in \tilde{Q}$ . In the words of Joyce,  $\tilde{y}$  and  $\tilde{z}$  are *behaviourally equivalent*, that is, they act in the same way on  $\tilde{Q}$ .

**Example 1.5.** Consider a group extension  $p: \tilde{G} \rightarrow G$  and let  $\tilde{Q} \subset \tilde{G}$  be a conjugacy class, or more generally a union of conjugacy classes in  $\tilde{G}$ . Without loss of generality we can assume that  $\tilde{Q}$  generates  $\tilde{G}$ . As noted above,  $\tilde{Q}$  is a quandle with respect to conjugation, and the same holds for its image  $Q = p(\tilde{Q}) \subset G$ . The projection  $p: \tilde{Q} \rightarrow Q$  is a quandle covering if and only if  $p$  is a central extension.

As a consequence, the covering theory of *quandles embedded in groups* is essentially the theory of central group extensions. Most quandles, however, do not embed into groups, which is why quandle coverings have their own distinctive features. We will see below that unlike central extensions, the theory of quandle coverings is inherently non-abelian.

**Example 1.6.** Consider the cyclic group  $\mathbb{Z}_m = \mathbb{Z}/m\mathbb{Z}$  with  $m \in \mathbb{N}$ . We explicitly allow  $m = 0$ , in which case  $\mathbb{Z}_0 = \mathbb{Z}$ . The disjoint union  $Q_{m,n} = \mathbb{Z}_m \sqcup \mathbb{Z}_n$  becomes a quandle with  $a * b = a$  for  $a, b \in \mathbb{Z}_m$  or  $a, b \in \mathbb{Z}_n$ , and  $a * b = a + 1$  otherwise. This quandle has two connected components,  $\mathbb{Z}_m$  and  $\mathbb{Z}_n$ , each is trivial as a quandle, but both act non-trivially on each other. This expository example will serve us for various illustrations; for example, we will see in Proposition 2.38 that  $Q_{m,n}$  embeds into a group if and only if  $m = n$ .

For every factorization  $m = m'm''$  and  $n = n'n''$ , the canonical projections  $\mathbb{Z}_m \rightarrow \mathbb{Z}_{m'}$  and  $\mathbb{Z}_n \rightarrow \mathbb{Z}_{n'}$  define a map  $p: Q_{m,n} \rightarrow Q_{m',n'}$ , which is a quandle covering according to our definition. (See Figure 1.) In this family, the trivial quandle  $Q_{1,1} = \{0\} \sqcup \{0\}$  is the terminal object, while  $Q_{0,0} = \mathbb{Z} \sqcup \mathbb{Z}$  is the initial object. In fact, the map  $Q_{0,0} \rightarrow Q_{m,n}$  will turn out to be the universal covering of  $Q_{m,n}$ , provided that  $\gcd(m, n) = 1$ . (The general case is more complicated and involves the Heisenberg group; see Example 7.20 below.)

<sup>1</sup>In a more general context it will be cautious to use the notation  $\pi_1^{\text{alg}}(Q, q)$  to emphasize that we are dealing with purely algebraic notions derived from the quandle structure  $(Q, *)$ ; we do not consider  $Q$  as a topological space. When  $Q$  also carries a topology,  $\pi_1^{\text{alg}}(Q, q)$  should not be confused with the usual topological fundamental group  $\pi_1^{\text{top}}(Q, q)$ . While in the present article there seems to be no danger of confusion, the more distinctive notation will become mandatory whenever both concepts are used alongside.

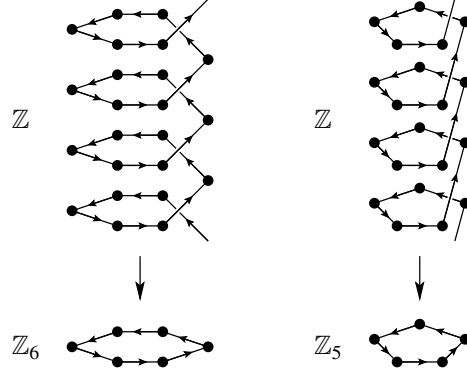


FIGURE 1. The universal covering of the quandle  $Q_{6,5}$

**1.3. The adjoint group.** The structure of a quandle  $Q$ , and in particular its coverings, are controlled by its adjoint group  $\text{Adj}(Q)$ , a notion introduced by Joyce [16, §6] and discussed in §2.4 below. In order to state our results precisely, we briefly insert its definition as a technical digression:

**Definition 1.7.** The *adjoint group* of a quandle  $Q$  is the abstract group generated by the elements of  $Q$  subject to the relations  $a * b = b^{-1}ab$  for  $a, b \in Q$ . It comes with a natural map  $\text{adj}: Q \rightarrow \text{Adj}(Q)$  sending each quandle element to the corresponding group element.

There exists a unique group homomorphism  $\varepsilon: \text{Adj}(Q) \rightarrow \mathbb{Z}$  with  $\varepsilon(\text{adj}(Q)) = 1$ . We denote its kernel by  $\text{Adj}(Q)^\circ = \ker(\varepsilon)$ . If  $Q$  is connected, then  $\varepsilon$  is the abelianization of  $\text{Adj}(Q)$ , and  $\text{Adj}(Q)^\circ$  is its commutator subgroup. Notice that we can reconstruct the adjoint group from  $\text{Adj}(Q)^\circ$  as a semi-direct product  $\text{Adj}(Q) = \text{Adj}(Q)^\circ \rtimes \mathbb{Z}$ .

**Remark 1.8.** Even though it is easily stated, the definition of the adjoint group  $\text{Adj}(Q)$  by generators and relations is difficult to work with in explicit calculations. Little is known about such groups in general, and only a few examples have been worked out.

**Example 1.9.** For the quandle  $Q_{m,n}$  of the previous example we will determine  $\text{Adj}(Q_{m,n})$  in Proposition 2.38 below: assuming  $\gcd(m, n) = 1$  we find  $\text{Adj}(Q_{m,n}) = \mathbb{Z} \times \mathbb{Z}$  with  $\text{adj}(a) = (1, 0)$  for all  $a \in \mathbb{Z}_m$  and  $\text{adj}(b) = (0, 1)$  for all  $b \in \mathbb{Z}_n$ . For  $m = n = 0$ , however,  $\text{Adj}(Q_{0,0})$  is the Heisenberg group  $H \subset \text{SL}_3 \mathbb{Z}$  of upper triangular matrices. Since  $Q_{0,0} \twoheadrightarrow Q_{m,n} \twoheadrightarrow Q_{1,1}$  induces group homomorphisms  $H \twoheadrightarrow \text{Adj}(Q_{m,n}) \twoheadrightarrow \mathbb{Z} \times \mathbb{Z}$ , we find that  $\text{Adj}(Q_{m,n})$  is some intermediate group. This turns out to be  $H / \langle z^\ell \rangle$  where  $z \in H$  generates the centre of  $H$ , and  $\ell = \gcd(m, n)$ .

**1.4. Galois theory for connected quandles.** Motivated by the analogy with topological spaces, we shall develop the covering theory of quandles along the usual lines:

- Introduce the category of coverings over a fixed pointed quandle  $(Q, q)$ .
- Identify the universal covering space (uniqueness, existence, explicit description).
- Deduce the fundamental group  $\pi_1(Q, q)$  as the group of deck transformations.
- Establish the Galois correspondence between coverings and subgroups.

The results are most easily stated for connected quandles. They can be suitably refined and adapted to non-connected quandles, as explained below and detailed in §7–9.

**Definition 1.10** (see §5.2). For a quandle  $Q$  we define its *fundamental group* based at  $q \in Q$  to be  $\pi_1(Q, q) = \{g \in \text{Adj}(Q)^\circ \mid q^g = q\}$ .

Notice the judicious choice of the group  $\text{Adj}(Q)^\circ$ ; the approach would not work with another group such as  $\text{Adj}(Q)$  or  $\text{Aut}(Q)$  or  $\text{Inn}(Q)$ . The right choice is not obvious, but follows from the explicit construction of the universal covering quandle in §5.1.

**Proposition 1.11** (functoriality, see §5.2). *Every quandle homomorphism  $f: (Q, q) \rightarrow (Q', q')$  induces a group homomorphism  $f_*: \pi_1(Q, q) \rightarrow \pi_1(Q', q')$ . We thus obtain a functor  $\pi_1: \mathbf{Qnd}_* \rightarrow \mathbf{Grp}$  from the category of pointed quandles to the category of groups.*

**Proposition 1.12** (lifting criterion, see §5.4). *Let  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  be a quandle covering and let  $f: (X, x) \rightarrow (Q, q)$  be a quandle homomorphism from a connected quandle  $X$ . Then there exists a lifting  $\tilde{f}: (X, x) \rightarrow (\tilde{Q}, \tilde{q})$ ,  $p \circ \tilde{f} = f$ , if and only if  $f_*\pi_1(X, x) \subset p_*\pi_1(\tilde{Q}, \tilde{q})$ . In this case the lifting  $\tilde{f}$  is unique.*

**Theorem 1.13** (Galois correspondence for connected coverings, see §5.5). *For every connected quandle  $(Q, q)$  there exists a natural equivalence  $\mathbf{Cov}_*(Q, q) \cong \mathbf{Sub}(\pi_1(Q, q))$  between the category of pointed connected coverings of  $(Q, q)$  and the category of subgroups of  $\pi_1(Q, q)$ . Moreover, a normal subgroup  $K \subset \pi_1(Q, q)$  corresponds to a galois covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  with deck transformation group  $\text{Aut}(p) \cong \pi_1(Q, q)/K$ .  $\square$*

The Galois correspondence can be extended to non-connected coverings, and further to principal  $\Lambda$ -coverings. The latter correspond to extensions  $\Lambda \curvearrowright \tilde{Q} \rightarrow Q$  of the quandle  $Q$  by some group  $\Lambda$  as defined in §4.4.

**Theorem 1.14** (Galois correspondence for general coverings, see §6.2). *For every connected quandle  $(Q, q)$  there exists a natural equivalence  $\mathbf{Cov}(Q) \cong \mathbf{Act}(\pi_1(Q, q))$  between the category of coverings of  $(Q, q)$  and the category of actions of  $\pi_1(Q, q)$ . Moreover, there exists a natural bijection  $\text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda)$  between equivalence classes of extensions  $\Lambda \curvearrowright \tilde{Q} \rightarrow Q$  and the set of group homomorphisms  $\pi_1(Q, q) \rightarrow \Lambda$ .*

Throughout this article our guiding principle is the analogy between the covering theories of topological spaces and quandles. While their overall structure is the same, the individual objects seem quite different. The formal analogy may thus come as a surprise, even more so as it pervades even the tiniest details. This can in large parts be explained by the common feature of the fundamental groupoid, as described in §8. We will complete this analogy in §9 by establishing the relationship with (co)homology:

**Theorem 1.15** (Hurewicz isomorphism for connected quandles, see §9.3). *For every connected quandle  $Q$  we have a natural isomorphism  $H_2(Q) \cong \pi_1(Q, q)_{\text{ab}}$ . Moreover, for every group  $\Lambda$  we have natural bijections  $H^2(Q, \Lambda) \cong \text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda)$ . If  $\Lambda$  is an abelian group, or more generally a module over some ring  $R$ , then these objects carry natural  $R$ -module structures and the natural bijections are isomorphisms of  $R$ -modules.*

The introduction of a cohomology  $H^2(Q, \Lambda)$  with non-abelian coefficients  $\Lambda$  is natural inasmuch as it allows us to treat all cases in a uniform way. This is analogous to the cohomology  $H^1(X, \Lambda)$  of a topological space  $X$  with non-abelian coefficients  $\Lambda$ , see [30].

**1.5. Examples and applications.** As a general application, let us mention that every quandle  $Q$  can be obtained as a covering of a quandle  $\tilde{Q} \subset G$  in some group  $G$ . (Take for example the image of  $Q$  in its inner automorphism group.) This is useful in understanding finite connected quandles: it suffices to consider conjugacy classes  $\tilde{Q}$  in finite groups  $G$  such that  $G = \langle \tilde{Q} \rangle$ , together with their covering quandles  $Q \rightarrow \tilde{Q}$ ; these are parametrized by subgroups of the fundamental group  $\pi_1(\tilde{Q}, \tilde{q})$ .

**Remark 1.16.** For every finite connected quandle  $Q$  the group  $\text{Adj}(Q)^\circ$  is finite, whence the fundamental group  $\pi_1(Q, q)$  and the universal covering  $\tilde{Q} \rightarrow Q$  are both finite.

**Example 1.17** (dihedral quandles). The dihedral quandle  $D_n$  is obtained from the cyclic group  $\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}$  with the quandle operation  $a * b = 2b - a$ . It is isomorphic to the subquandle  $\mathbb{Z}_n \times \{1\}$  of the dihedral group  $\mathbb{Z}_n \rtimes \mathbb{Z}_2$ , corresponding to the  $n$  reflections of a regular  $n$ -gon. For  $n$  odd, the quandle  $D_n$  is connected, and we find  $\text{Adj}(D_n) = \mathbb{Z}_n \rtimes \mathbb{Z}$  with group action  $(a, i) \cdot (b, j) = (a + (-1)^i b, i + j)$ , and  $\text{adj}: D_n \rightarrow \text{Adj}(D_n)$  is given by  $\text{adj}(a) = (a, 1)$ . Since  $\text{Adj}(Q)^\circ = \mathbb{Z}_n \rtimes \{0\}$  acts on  $D_n$  by  $a^{(b,0)} = a - 2b$ , we find the fundamental group  $\pi_1(D_n, 0) = \{0\}$ . This means that every dihedral quandle  $D_n$  of odd order is *simply connected*. Equivalently, every quandle covering of  $D_n$  is trivial, that is, equivalent to  $\text{pr}_1: D_n \times F \rightarrow D_n$ , where  $F$  is some trivial quandle.

**Example 1.18** (symmetric groups). Consider the symmetric group  $S_n$  on  $n \geq 3$  points, and let  $Q$  be the conjugacy class of the transposition  $q = (12)$ . This is a quandle with  $\binom{n}{2} = \frac{n(n-1)}{2}$  elements. It is not difficult to see that  $\text{Adj}(Q) = A_n \rtimes \mathbb{Z}$ , where the action of  $k \in \mathbb{Z}$  on  $A_n$  is given by  $a \mapsto (12)^k a (12)^k$ . We thus find  $\text{Adj}(Q)^\circ = A_n$ , which yields the fundamental group  $\pi_1(Q, q) \cong S_{n-2}$ . The subgroups of  $S_{n-2}$  thus characterize the connected coverings of the quandle  $Q$ . (For  $n = 3$  notice that  $Q = D_3$ , for which we already know that  $\pi_1$  is trivial;  $\pi_1(Q, q)$  is non-trivial only for  $n \geq 4$ .)

Turning to the extensions of  $Q$  by some group  $\Lambda$ , we find  $H^2(Q, \Lambda) \cong \text{Ext}(Q, \Lambda) \cong \text{Hom}(S_{n-2}, \Lambda)$ . If  $\Lambda$  is abelian, we see without any further calculation that  $H^2(Q, \Lambda)$  is trivial for  $n = 3$ , and isomorphic to the group of 2-torsion elements in  $\Lambda$  for  $n \geq 4$ , because  $(S_{n-2})_{\text{ab}} \cong \mathbb{Z}_2$ . Moreover,  $H_2(Q) = 0$  for  $n = 3$ , and  $H_2(Q) = \mathbb{Z}_2$  for  $n \geq 4$ .

**Example 1.19** (knot quandles). As in [9, §3] let  $L$  be a long knot and let  $K$  be its corresponding closed knot. Both knot quandles  $Q_L$  and  $Q_K$  are connected, their adjoint groups are  $\text{Adj}(Q_L) = \text{Adj}(Q_K) = \pi_K$ , and the natural projection  $p: Q_L \rightarrow Q_K$  is a quandle covering. We may choose a canonical base point  $q_L \in Q_L$  and its image  $q_K \in Q_K$ . Both map to a meridian  $m_L = m_K \in \pi_K$ , and we denote by  $\ell_K \in \pi_K$  the corresponding longitude. The explicit construction of universal coverings in [9] shows  $\pi_1(Q_L, q_L) = \{1\}$ , and so  $Q_L$  is the universal covering of the quandle  $Q_K$ . For the quotient  $Q_K = \langle \ell_K \rangle \backslash Q_L$  we thus find  $\pi_1(Q_K, q_K) = \langle \ell_K \rangle$ , whence  $\pi_1(Q_K, q_K) \cong \mathbb{Z}$  for every non-trivial knot  $K$ .

This observation, although not in the language of quandle coverings and fundamental groups, was used by Joyce [16] in order to recover the knot group data  $(\pi_K, m_K, \ell_K^\pm)$  from the knot quandle  $Q_K$ . According to Waldhausen's result [36], the triple  $(\pi_K, m_K, \ell_K)$  classifies knots, so the knot quandle classifies knots modulo inversion. The remaining ambiguity can be removed by the orientation class  $[K] \in H_2(Q_K)$ , as explained in [9, §6].

**Remark 1.20** (knot colouring polynomials). The knot quandle  $Q_K$ , just as the knot group  $\pi_K$ , is in general very difficult to analyze. A standard way to extract information is to consider (finite) representations: we fix a finite quandle  $Q$  with base point  $q \in Q$  and consider knot quandle homomorphisms  $\phi: (Q_K, q_K) \rightarrow (Q, q)$ . Each  $\phi$  induces a group homomorphism  $\phi_*: \pi_1(Q_K, q_K) \rightarrow \pi_1(Q, q)$ , which is determined by the image of the canonical generator  $\ell_K \in \pi_1(Q_K, q_K)$ . We can thus define a map

$$P_Q^q: \{\text{knots}\} \rightarrow \mathbb{Z}\pi_1(Q, q) \quad \text{by} \quad P_Q^q(K) := \sum_{\phi: (Q_K, q_K) \rightarrow (Q, q)} \phi_*(\ell_K).$$

This invariant is the *knot colouring polynomial* associated to  $(Q, q)$ , and provides a common generalization to the invariants presented in [10] and [29]. Colouring polynomials encode, in particular, all quandle 2-cocycle invariants, as proven in [10].

Example 1.17 above shows that the longitude images are necessarily trivial for dihedral colourings; the only information extracted is the number of  $n$ -colourings. The situation is different for  $Q = (12)^{S_n}$ , where longitude images yield more refined information.

**Example 1.21.** We conclude with another natural and highly non-abelian example, where our tools are particularly efficient. Consider the quandle  $Q_K^\pi \subset \pi_K$  consisting of all meridians of the knot  $K$ , that is, the conjugacy class of our preferred meridian  $m_K$  in  $\pi_K$ , or equivalently, the image of the natural quandle homomorphism  $Q_K \rightarrow \pi_K$ . Here we find  $\text{Adj}(Q_K^\pi) = \pi_K$ , and  $\pi_1(Q_K^\pi, m_K)$  is a free group of rank  $n$  if  $K = K_1 \sharp \cdots \sharp K_n$  is the connected sum of  $n$  prime knots [9, Corollary 39]. Via the Hurewicz isomorphism we obtain that  $H_2(Q_K^\pi) \cong \mathbb{Z}^n$ , as previously noted in [9, Theorem 53].

**1.6. Tournants dangereux.** There are a number of subtleties where quandle coverings do not behave as could be expected at first sight. First of all, they do not form a category:

**Example 1.22.** The abelian group  $Q = \mathbb{Q}/\mathbb{Z}$  becomes a connected quandle with  $a * b = 2b - a$ . The map  $p: Q \rightarrow Q, a \mapsto 2a$ , is a quandle covering. The composition  $p \circ p: Q \rightarrow Q, a \mapsto 4a$ , however, is *not* a covering: 0 and  $\frac{1}{4}$  do not act in the same way on  $Q$ . The same phenomenon already appears for finite quandles, for example  $D_{4n} \xrightarrow{-2} D_{2n} \xrightarrow{-2} D_n$ .

**Remark 1.23.** Coverings of topological spaces suffer from the same problem, see Spanier [33], Example 2.2.8: given two coverings  $p: X \rightarrow Y$  and  $q: Y \rightarrow Z$ , their composition  $qp: X \rightarrow Z$  is not necessarily a covering. This phenomenon is, however, rather a pathology: the composition  $qp$  is always a covering if  $Z$  is locally path connected and semilocally 1-connected (see [33], Theorems 2.2.3, 2.2.6, 2.4.10). These hypotheses hold, in particular, for coverings of manifolds, simplicial complexes, or CW-complexes.

When we speak of topological covering theory as our model, we will neglect all topological subtleties such as questions of local and semilocal connectedness. The reader should think of covering theory in its nicest possible form, say for CW-complexes.

**Remark 1.24.** There are two further aspects in which quandle coverings differ significantly from the model of topological coverings:

- For a quandle covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  the induced map on the fundamental groups,  $p_*: \pi_1(\tilde{Q}, \tilde{q}) \rightarrow \pi_1(Q, q)$ , need not be injective.
- If  $\tilde{Q}$  is simply connected, then  $p$  is the universal covering of  $(Q, q)$ . The converse is not true: it may well be that  $p$  is universal but  $\tilde{Q}$  is not simply connected.

It is amusing to note that the Galois correspondence stated above is salvaged because these two defects cancel each other.

**Example 1.25.** For  $Q = \mathbb{Q}/\mathbb{Z}$  one finds  $\text{Adj}(Q) = (\mathbb{Q}/\mathbb{Z}) \rtimes \mathbb{Z}$  with  $\text{adj}(a) = (a, 1)$ . The subgroup  $\text{Adj}(Q)^\circ = \mathbb{Q}/\mathbb{Z} \times \{0\}$  acts on  $Q$  via  $a^{(b,0)} = a - 2b$ , which implies that  $\pi_1(Q, 0) = \{(0, 0), (\frac{1}{2}, 0)\} \cong \mathbb{Z}_2$ . This means that  $p: Q \rightarrow Q, a \mapsto 2a$  is the universal covering. In particular, the universal covering quandle is *not* simply connected, and the induced homomorphism  $p_*$  between fundamental groups is *not* injective.

**Remark 1.26.** The previous example may appear somewhat artificial, because the problem essentially arises from 2-torsion and the fact that all 2-torsion elements are 2-divisible. In particular, these conditions force  $Q$  to be infinite. Example 5.18 exhibits a *finite* quandle with a universal covering that is not simply connected. This is definitely not a pathological construction: the phenomenon naturally occurs in finite groups, for example the conjugacy class of  $\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$  in the group  $\text{PSL}_2 \mathbb{K}$  over a finite field  $\mathbb{K}$ .

**1.7. Perfect groups.** Quandle coverings resemble Kervaire’s algebraic covering theory of perfect groups [19], which he applied to algebraic K-theory in order to identify the Milnor group  $K_2(A)$  of a ring  $A$  with the Schur multiplier  $H_2(\mathrm{GL}(A)')$ . It is illuminating to contrast the theory of quandle coverings with Kervaire’s classical results.

Recall that a group  $G$  is *perfect*, or *connected* in the words of Kervaire, if  $G' = [G, G] = G$ , or equivalently  $H_1(G) = G_{\mathrm{ab}} = 0$ . A *covering* of  $G$  is a central extension  $\tilde{G} \rightarrow G$  with  $\tilde{G}$  perfect. Kervaire established a bijection between subgroups of  $H_2(G)$  and isomorphism classes of coverings  $\tilde{G} \rightarrow G$ . The theory is thus analogous to the covering theory of topological spaces, and consequently Kervaire defined  $\pi_1(G) := H_2(G)$ .

**Remark 1.27.** By construction,  $\pi_1(G)$  is abelian and base points play no rôle. Moreover, the covering theory of perfect groups is well-behaved in the following sense:

- Coverings of perfect groups form a category, which means that the composition of two coverings is again a covering [19, Lemme 1].
- A covering  $\tilde{G} \rightarrow G$  is universal if and only if  $\tilde{G}$  is simply connected, that is,  $\pi_1(\tilde{G}) = H_2(\tilde{G}) = 0$  [19, Lemme 2].
- For every covering  $p: \tilde{G} \rightarrow G$  the induced map  $p_*: \pi_1(\tilde{G}) \rightarrow \pi_1(G)$  is injective [19, Théorème de classification].

As we have seen above, quandle coverings do not enjoy these privileges in general. They may thus be considered a “non-standard” covering theory that warrants a careful analysis.

The analogy between coverings of quandles and perfect groups is not only a formal one. As an illustration, it can be applied to determine certain adjoint groups:

**Theorem 1.28.** *Let  $G$  be a simply connected group, i.e.  $H_1(G) = H_2(G) = 0$ . Consider a conjugacy class  $Q = q^G$  that generates  $G$ , so that  $Q$  is a connected quandle. Then we have an isomorphism  $\mathrm{Adj}(Q) \xrightarrow{\sim} G \times \mathbb{Z}$  given by  $\mathrm{adj}(q) \mapsto (q, 1)$  for all  $q \in Q$ . In particular, we obtain  $\mathrm{Adj}(Q)' = G$  and  $\pi_1(Q, q) = C_G(q) = \{g \in G \mid q^g = q\}$ .  $\square$*

This directly applies to every simple group  $G$  with Schur multiplier  $H_2(G) = 0$ . Most often we have  $H_2(G) \neq 0$ , in which case it suffices to pass to the universal covering  $\tilde{G}$ .

**1.8. Generalization to non-connected quandles.** One final difficulty arises when we pass from connected to non-connected quandles. In the analogous model of topological spaces, this generalization is simple, because a topological space (say locally connected) is the disjoint union of its components. For quandles, however, this is far from being true: the different components act on each other, and this interaction is in general non-trivial. In particular, the disjoint union is not the appropriate model.

In order to develop a covering theory for non-connected quandles we have to treat all components individually yet simultaneously. The convenient way to do this is to index the components by some fixed set  $I$ , and then to deal with  $I$ -graded objects throughout. (For details see Section 7.) The upshot is that for a non-connected quandle  $Q$  all preceding statements remain true when suitably interpreted in the graded sense:

**Definition 1.29** (grading, see §7.1). A *graded quandle* is a quandle  $Q = \bigsqcup_{i \in I} Q_i$  partitioned into subsets  $(Q_i)_{i \in I}$  such that  $Q_i * Q_j = Q_i$  for all  $i, j \in I$ . A *pointed quandle*  $(Q, q)$  is a graded quandle with a base point  $q_i \in Q_i$  for each  $i \in I$ . We call  $(Q, q)$  *well-pointed* if  $q$  specifies one base point in each component, i.e.  $Q_i$  is the component of  $q_i$  in  $Q$ . In this case we define the *graded fundamental group* to be the product  $\pi_1(Q, q) := \prod_{i \in I} \pi_1(Q, q_i)$ .

**Theorem 1.30** (Galois correspondence, see §7.4). *Let  $(Q, q)$  be a well-pointed quandle indexed by some set  $I$ . There exists a natural equivalence  $\mathbf{Cov}_I(Q, q) \cong \mathbf{Sub}_I(\pi_1(Q, q))$*



between the category of well-pointed coverings of  $(Q, q)$  and the category of graded subgroups of  $\pi_1(Q, q)$ . Likewise, there exists a natural equivalence  $\mathbf{Cov}(Q) \cong \mathbf{Act}(\pi_1(Q, q))$  between the category of coverings of  $(Q, q)$  and the category of graded actions of  $\pi_1(Q, q)$ .

**Theorem 1.31** (Hurewicz isomorphism for general quandles, see §9.3). *For every well-pointed quandle  $(Q, q)$  we have a natural isomorphism  $H_2(Q) \cong \bigoplus_{i \in I} \pi_1(Q, q_i)_{\text{ab}}$ , and for every graded group  $\Lambda$  we have natural bijections*

$$H^2(Q, \Lambda) \cong \text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda) = \prod_{i \in I} \text{Hom}(\pi_1(Q, q_i), \Lambda).$$

One of the motivations to study non-connected quandles is their application to links. Given an  $n$ -component link  $K = K_1 \sqcup \cdots \sqcup K_n \subset \mathbb{S}^3$ , we choose a base point  $q_K^i \in Q_K$  for each link component  $K_i$ , and obtain a decomposition  $Q_K = Q_K^1 \sqcup \cdots \sqcup Q_K^n$  into components  $Q_K^i = [q_K^i]$ . This establishes a natural bijection  $\pi_0(K) \xrightarrow{\sim} \pi_0(Q_K)$ .

**Theorem 1.32** (see §7.5). *For every link  $K \subset \mathbb{S}^3$  the graded fundamental group of the link quandle  $Q_K$  is given by  $\pi_1(Q_K, q_K) = \prod_{i=1}^n \langle \ell_K^i \rangle$ , where  $\ell_K^i \in \text{Adj}(Q_K) = \pi_1(\mathbb{S}^3 \setminus K)$  is the longitude associated to the meridian  $m_K^i = \text{adj}(q_K^i) \in \text{Adj}(Q_K)$ .*

This highlights once more that quandles are well suited to encode peripheral link group data. We will see in §9.4 that the Hurewicz isomorphism maps the longitude  $\ell_K^i \in \pi_1(Q_K, q_K^i)$  to the orientation class  $[K_i] \in H_2(Q_K)$  of the component  $K_i$ . We conclude that the quandle  $Q_K$  is a classifying invariant of the link  $K$  in the following sense:

**Theorem 1.33** (see §9.4). *Two oriented links  $K = K_1 \sqcup \cdots \sqcup K_n$  and  $K' = K'_1 \sqcup \cdots \sqcup K'_n$  in  $\mathbb{S}^3$  are ambient isotopic respecting orientations and numbering of components if and only if there exists a quandle isomorphism  $\phi: Q_K \xrightarrow{\sim} Q_{K'}$  such that  $\phi_*[K_i] = [K'_i]$  for all  $i$ .*

**1.9. Related work.** The present article focuses on the systematic investigation of quandle coverings and their Galois correspondence. The explicit construction of a universal covering and the definition of the corresponding algebraic fundamental group appear here for the first time. Our construction can easily be adapted to racks: here  $\text{Adj}(Q)^\circ$  has to be replaced by  $\text{Adj}(Q)$ , and the definition of the fundamental group has to be adapted accordingly. Modulo these changes, our results hold verbatim for racks instead of quandles.

As it could be expected, these notions are closely related to quandle extensions and cohomology, which have both been intensively studied in recent years. The subject of rack cohomology originated in the work of R. Fenn, C. Rourke, and B. Sanderson [13], who constructed a classifying topological space  $BX$  for every rack  $X$ . The corresponding quandle (co)homology theory was taken up by J.S. Carter and his collaborators, in order to construct knot invariants (see for example [7, 6]). Quandle coverings were introduced and applied to knot quandles in [9]. They have also appeared in the context of non-abelian extensions, explored by N. Andruskiewitsch and M. Graña [1], where a corresponding non-abelian cohomology theory was proposed. This generalized cohomology, in turn, has been taken up and applied to knot invariants in [5].

We have stated above how our approach of quandle coverings can be applied to complete the trilogy of cohomology  $H^2(Q, \Lambda)$  and extensions  $\text{Ext}(Q, \Lambda)$  by the third aspect: the fundamental group  $\pi_1(Q, q)$ . The result is the natural isomorphism

$$(1) \quad H^2(Q, \Lambda) \cong \text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda).$$

A similar isomorphism has been noted by P. Etingof and M. Graña [11, Cor. 5.4]: for every rack  $X$  and every abelian group  $A$  they prove that  $H^2(X, A) \cong H^1(\text{Adj}(X), \text{Map}(X, A))$ , where  $\text{Map}(X, A)$  is the module of maps  $X \rightarrow A$  with the action of the adjoint group  $\text{Adj}(X)$ .

The formulation (1) takes this one step further and highlights the geometric meaning. For practical calculations it is as explicit and direct as one could possibly wish.

**1.10. Acknowledgements.** The concept of quandle covering, algebraic fundamental group, and Galois correspondence developed in 2001 when I was working on knot quandles [9]. In this case the fundamental group  $\pi_1(Q, q)$  is abelian, and so  $H_2(Q)$  captures all information. In the intervening years, non-abelian extensions have gained interest, and in November 2006 the conference *Knots in Washington XXIII* on “Quandles, their homology and applications” convinced me that covering theory furnishes the missing link. I thank Józef Przytycki and the organizers for bringing together this meeting.

**1.11. How this article is organized.** The article follows the outline given in the introduction. Section 2 reviews the basic definitions of quandle theory leading up to quandle coverings, while Section 3 displays some detailed examples. Section 4 records elementary properties of quandle coverings. Section 5 constructs the universal connected covering, defines the fundamental group, and establishes the Galois correspondence for connected coverings. Section 6 explains how to extend these results to non-connected coverings over a connected base quandle, while Section 7 discusses the technicalities necessary for non-connected base quandles. Section 8 expounds the concept of fundamental groupoid in order to explain the striking similarity between quandles and topological spaces. Section 9, finally, elucidates the correspondence between quandle extensions and quandle cohomology in the non-abelian and graded setting, and thus completes the trilogy  $H^2$ ,  $\text{Ext}$ ,  $\pi_1$ .

## 2. DEFINITIONS AND ELEMENTARY PROPERTIES

The following definitions serve to fix our notation and to make the presentation self-contained. They are mainly taken from Joyce [16], suitably extended and tailored to our application. Some immediate examples are stated alongside the definitions, more elaborate examples will be postponed until the next section.

We also seize the opportunity to record some elementary but useful observations, which have been somewhat neglected or dispersed in the published literature. In particular, we emphasize the rôle played by central group extensions, which come to light at several places. While on the level of groups only central extensions are visible, quandle coverings turn out to be essentially non-abelian (see Example 1.18 above).

**2.1. The category of quandles.** The quandle axioms are symmetric in  $*$  and  $\bar{*}$ : if  $(Q, *, \bar{*})$  is a quandle, then so is  $(Q, \bar{*}, *)$ . Moreover, each of the operations  $*$  and  $\bar{*}$  determines the other, so we can simply write  $(Q, *)$  instead of  $(Q, *, \bar{*})$ . If both operations coincide, then we have  $(a * b) * b = a$  for all  $a, b \in Q$ , which is called an *involutionary* quandle. We will use the same symbol “ $*$ ” for different quandles, and we will frequently denote a quandle by  $Q$  instead of  $(Q, *)$ , unless there is danger of confusion.

**Definition 2.1.** A *quandle homomorphism* between two quandles  $Q$  and  $Q'$  is a map  $\phi: Q \rightarrow Q'$  satisfying  $\phi(a * b) = \phi(a) * \phi(b)$ , and hence  $\phi(a \bar{*} b) = \phi(a) \bar{*} \phi(b)$ , for all  $a, b \in Q$ . Quandles and their homomorphisms form a category, denoted **Qnd**.

**Example 2.2.** Every group  $(G, \cdot)$  defines a quandle  $(G, *)$  with  $a * b = b^{-1}ab$ . This is called the *conjugation quandle* of  $G$  and denoted  $\text{Conj}(G)$ . Every group homomorphism  $(G, \cdot) \rightarrow (H, \cdot)$  is also a quandle homomorphism  $(G, *) \rightarrow (H, *)$ . We thus obtain a functor  $\text{Conj}: \mathbf{Grp} \rightarrow \mathbf{Qnd}$  from the category of groups to the category of quandles.

**Example 2.3.** Every group  $(G, \cdot)$  defines an involutory quandle  $(G, *)$  with  $a * b = ba^{-1}b$ . This is called the *core quandle* of  $G$  and denoted  $\text{Core}(G)$ . Every group homomorphism  $(G, \cdot) \rightarrow (H, \cdot)$  is also a quandle homomorphism  $(G, *) \rightarrow (H, *)$ . We thus obtain another functor  $\text{Core}: \mathbf{Grp} \rightarrow \mathbf{Qnd}$  from the category of groups to the category of quandles.

**Example 2.4.** If  $A$  is a group and  $T: A \xrightarrow{\sim} A$  an automorphism, then  $A$  becomes a quandle with  $a * b = T(ab^{-1})b$ . This is called the *Alexander quandle* of  $(A, T)$ , denoted  $\text{Alex}(A, T)$ . Every group homomorphism  $\phi: (A, T) \rightarrow (B, S)$  with  $\phi \circ T = S \circ \phi$  is also a homomorphism of Alexander quandles  $(A, *) \rightarrow (B, *)$ . We thus obtain a functor  $\text{Alex}: \mathbf{GrpAut} \rightarrow \mathbf{Qnd}$  from the category of group automorphisms to the category of quandles.

If  $A$  is abelian, then the pair  $(A, T)$  is equivalent to a  $\mathbb{Z}[t^{\pm}]$ -module  $A$  with  $ta = T(a)$  for all  $a \in A$ . Restricting to this case, we obtain a functor  $\text{Alex}: \mathbf{Mod}_{\mathbb{Z}[t^{\pm}]} \rightarrow \mathbf{Qnd}$  from the category of  $\mathbb{Z}[t^{\pm}]$ -modules to the category of quandles.

**Remark 2.5.** Our definition of Alexander quandles is more inclusive than usual, in order to embrace also non-abelian groups. Joyce [16, §7] used the general construction, but reserved the name *Alexander quandle* for abelian groups  $A$ . In this case the quandle  $\text{Alex}(A, T)$  is *abelian* in the sense that  $(a * b) * (c * d) = (a * c) * (b * d)$  for all  $a, b, c, d \in Q$ . Notice the special case  $\text{Alex}(A, -\text{id}) = \text{Core}(A, +)$ .

**Remark 2.6.** Recall that a group  $(G, \cdot)$  is abelian if and only if the set  $\text{End}(G, \cdot)$  of endomorphisms is a group with respect to pointwise multiplication,  $(f \cdot g)(x) = f(x) \cdot g(x)$ . Likewise, if a quandle  $(Q, *)$  is abelian, then the set  $\text{End}(Q, *)$  of endomorphisms is a quandle with respect to the pointwise operation defined by  $a^{\phi * \psi} = a^{\phi} * a^{\psi}$ .

**2.2. Inner automorphisms.** The automorphism group  $\text{Aut}(Q)$  consists of all bijective homomorphisms  $\phi: Q \rightarrow Q$ . We adopt the convention that automorphisms of  $Q$  act on the right, written  $a^{\phi}$ , which means that their composition  $\phi\psi$  is defined by  $a^{(\phi\psi)} = (a^{\phi})^{\psi}$  for all  $a \in Q$ . The quandle axioms (Q2) and (Q3) are equivalent to saying that for every  $b \in Q$  the right translation  $\rho_b: a \mapsto a * b$  is an automorphism of  $Q$ . Such structures were studied by E. Brieskorn [2] under the name ‘‘automorphic sets’’ and by C. Rourke and R. Fenn [12] under the name ‘‘rack’’.

**Definition 2.7.** The group  $\text{Inn}(Q)$  of *inner automorphisms* is the subgroup of  $\text{Aut}(Q)$  generated by all  $\rho_a$  with  $a \in Q$ . We define the map  $\text{inn}: Q \rightarrow \text{Inn}(Q)$  by  $a \mapsto \rho_a$ .

**Remark 2.8.** For every  $\phi \in \text{Aut}(Q)$  and  $a \in Q$  we have  $\text{inn}(a^{\phi}) = \phi^{-1} \circ \text{inn}(a) \circ \phi = \text{inn}(a)^{\phi}$ . In particular, the subgroup  $\text{Inn}(Q)$  is normal in  $\text{Aut}(Q)$ .

**Notation.** In view of the map  $\text{inn}: Q \rightarrow \text{Inn}(Q)$ , we also write  $a^b$  for the operation  $a * b = a^{\text{inn}(b)}$  in a quandle. Conversely, it will sometimes be convenient to write  $a * b$  for the conjugation  $b^{-1}ab$  in a group. In neither case will there be any danger of confusion.

**Definition 2.9.** A right action of a group  $G$  by quandle automorphisms on  $Q$  is a group action  $Q \times G \rightarrow Q$ ,  $(a, g) \mapsto a^g$  such that  $(a * b)^g \mapsto a^g * b^g$  for all  $a \in Q$  and  $g \in G$ . This is the same as a group homomorphism  $h: G \rightarrow \text{Aut}(Q)$  with  $h(g): Q \xrightarrow{\sim} Q$ ,  $a \mapsto a^g$ . We say that  $G$  acts by inner automorphisms if  $h(G) \subset \text{Inn}(Q)$ .

**2.3. Representations and augmentations.** The following terminology has proved useful in describing the interplay between quandles and groups.

**Definition 2.10.** A *representation* of a quandle  $Q$  in a group  $G$  is a map  $\phi: Q \rightarrow G$  such that  $\phi(a * b) = \phi(a) * \phi(b)$  for all  $a, b \in Q$ . In other words, a representation  $Q \rightarrow G$  is a

quandle homomorphism  $Q \rightarrow \text{Conj}(G)$ .

$$\begin{array}{ccc} Q \times Q & \xrightarrow{\phi \times \phi} & G \times G \\ * \downarrow & & \downarrow \text{conj} \\ Q & \xrightarrow{\phi} & G \end{array}$$

**Definition 2.11.** Let  $\phi: Q \rightarrow G$  be a representation and let  $\alpha: Q \times G \rightarrow Q$ ,  $(a, g) \mapsto a^g$ , be a group action. We call the pair  $(\phi, \alpha)$  an *augmentation* if  $a * b = a^{\phi(b)}$  and  $\phi(a^g) = \phi(a)^g$  for all  $a, b \in Q$  and  $g \in G$ . In other words, the following diagram commutes:

$$\begin{array}{ccccc} Q \times Q & \xrightarrow{\text{id} \times \phi} & Q \times G & \xrightarrow{\phi \times \text{id}} & G \times G \\ * \downarrow & & \downarrow \alpha & & \downarrow \text{conj} \\ Q & \xrightarrow{\text{id}} & Q & \xrightarrow{\phi} & G \end{array}$$

**Remark 2.12.** The right square says that  $(Q, G, \phi, \alpha)$  is a crossed  $G$ -set in the sense of Freyd and Yetter [14, §4.2]. Conversely, given  $(Q, G, \phi, \alpha)$  making the right square commute, the left square can be used to *define* the binary operation  $*$ :  $Q \times Q \rightarrow Q$ , and it is easily seen to satisfy axioms (Q2) and (Q3). Adding the quandle condition (Q1), Joyce defined in this way the notion of augmented quandle [16, §9]. By construction  $\phi$  is a representation and the action  $\alpha$  is by quandle automorphisms. This shows that augmented quandles are naturally equivalent to crossed  $G$ -sets satisfying  $a^{\phi(a)} = a$ .

**Notation.** We will usually reinterpret the group action  $\alpha$  as a group homomorphism  $\bar{\alpha}: G \rightarrow \text{Aut}(Q)$ , and denote the augmentation by  $Q \xrightarrow{\phi} G \xrightarrow{\bar{\alpha}} \text{Aut}(Q)$ .

**Remark 2.13.** Suppose that a representation  $\phi: Q \rightarrow G$  can be prolonged by a group homomorphism  $\bar{\alpha}: G \rightarrow \text{Aut}(Q)$  such that  $\bar{\alpha} \circ \phi = \rho$ . This condition is equivalent to the commutativity of the left square, where we set  $\alpha(a, g) = a^{\bar{\alpha}(g)}$ . Moreover,  $\phi(a * b) = \phi(a) * \phi(b)$  implies  $\phi(a^g) = \phi(a)^g$  for all  $a \in Q$  and  $g \in \langle \phi(Q) \rangle$ . If we assume that  $G$  is generated by the image  $\phi(Q)$ , then the right square becomes redundant:  $\phi$  is equivariant,  $G$  acts by inner automorphisms, and the action of  $G$  on  $Q$  is uniquely determined by the representation  $\phi$ . In this case we simply say that  $\phi: Q \rightarrow G$  is an augmentation.

**Example 2.14.** We have  $\text{inn}(a * b) = \text{inn}(a) * \text{inn}(b)$ , in other words,  $\text{inn}$  is a representation of  $Q$  in  $\text{Inn}(Q)$ , called the *inner representation*. Together with the natural action of  $\text{Inn}(Q)$  on  $Q$  we obtain the *inner augmentation*  $Q \xrightarrow{\text{inn}} \text{Inn}(Q) \xrightarrow{\text{inc}} \text{Aut}(Q)$ .

**Remark 2.15.** Augmented quandles form a category [16, §9]. The preceding example shows that each quandle  $Q$  can be augmented on  $G = \text{Inn}(Q)$ . This construction is canonical but not functorial, see §2.5. In this respect the adjoint augmentation has better properties, see §2.4. We thus emphasize that every quandle  $Q$  can be augmented on some group  $G$ , i.e. presented as a crossed  $G$ -set, but the choice of  $G$  is not unique.

**Remark 2.16.** For an augmentation  $Q \xrightarrow{\phi} G \xrightarrow{\bar{\alpha}} \text{Aut}(Q)$  we do not require that the image quandle  $\phi(Q)$  generates the entire group  $G$ . We can always achieve this by restricting to

the subgroup  $H = \langle \phi(Q) \rangle$ . This also entails  $\alpha(H) = \text{Inn}(Q)$ , so that we obtain:

$$\begin{array}{ccccc} Q & \xrightarrow{\phi} & H & \xrightarrow{\alpha|_H} & \text{Inn}(Q) \\ \parallel & & \downarrow & & \downarrow \\ Q & \xrightarrow{\phi} & G & \xrightarrow{\alpha} & \text{Aut}(Q) \end{array}$$

The typical (and somewhat trivial) example is given by the augmentation  $\text{inn}: Q \rightarrow \text{Aut}(Q)$  and its restriction  $\text{inn}: Q \rightarrow \text{Inn}(Q)$ .

**Example 2.17.** Consider a quandle  $Q$  that can be faithfully represented in a group  $G$ , so that we can assume  $Q \subset G$  with the quandle operation given by conjugation. Assuming  $Q^G = Q$ , we obtain an augmentation  $Q \hookrightarrow G \xrightarrow{\text{conj}} \text{Aut}(Q)$ . For  $H = \langle Q \rangle$ , the inner representation  $\text{inn}: Q \rightarrow \text{Inn}(Q)$  extends to an augmentation  $Q \hookrightarrow H \xrightarrow{\rho} \text{Inn}(Q)$ , with  $\ker(\rho) = Z(H)$  and  $\text{Inn}(Q) \cong \text{Inn}(H)$ . In particular,  $\rho: H \rightarrow \text{Inn}(Q)$  is a central group extension. This observation will be generalized in §2.7, see Corollary 2.41 below.

**2.4. The adjoint group.** The universal representation can be constructed as follows:

**Definition 2.18.** Given a quandle  $Q$  we define its *adjoint group*  $\text{Adj}(Q) = \langle Q \mid R \rangle$  to be the quotient group of the group  $F(Q)$  freely generated by the set  $Q$  modulo the relations induced by the quandle operation,  $R = \{a * b = b^{-1} \cdot a \cdot b \mid a, b \in Q\}$ . By construction we obtain a canonical map  $\text{adj}: Q \hookrightarrow F(Q) \twoheadrightarrow \text{Adj}(Q)$  with  $\text{adj}(a * b) = \text{adj}(a) * \text{adj}(b)$ .

The group  $\text{Adj}(Q)$  can be interpreted as the “enveloping group” of  $Q$ . Notice, however, that the map  $\text{adj}$  is in general not injective, see Proposition 2.38 below.

**Remark 2.19** (universal property). The map  $\text{adj}: Q \rightarrow \text{Adj}(Q)$  is the universal group representation of the quandle  $Q$ : for every group representation  $\phi: Q \rightarrow G$  there exists a unique group homomorphism  $h: \text{Adj}(Q) \rightarrow G$  such that  $\phi = h \circ \text{adj}$ .

**Remark 2.20** (functoriality). Every quandle homomorphism  $\phi: Q \rightarrow Q'$  induces a unique group homomorphism  $\text{Adj}(\phi): \text{Adj}(Q) \rightarrow \text{Adj}(Q')$  such that  $\text{Adj}(\phi) \circ \text{adj}_Q = \text{adj}_{Q'} \circ \phi$ . We thus obtain a functor  $\text{Adj}: \mathbf{Qnd} \rightarrow \mathbf{Grp}$ .

**Remark 2.21** (adjointness). Its name is justified by the fact that  $\text{Adj}$  is the left adjoint functor of  $\text{Conj}: \mathbf{Grp} \rightarrow \mathbf{Qnd}$ , already discussed above. More explicitly this means that we have a natural bijection  $\text{Hom}_{\mathbf{Qnd}}(Q, \text{Conj}(G)) \cong \text{Hom}_{\mathbf{Grp}}(\text{Adj}(Q), G)$ , see [24, chap. IV].

**Example 2.22** (adjoint action). The inner representation  $\text{inn}: Q \rightarrow \text{Inn}(Q)$  induces a unique group homomorphism  $\rho: \text{Adj}(Q) \twoheadrightarrow \text{Inn}(Q)$  such that  $\text{inn} = \rho \circ \text{adj}$ . In this way the adjoint group  $\text{Adj}(Q)$  acts on the quandle  $Q$ , again denoted by  $Q \times \text{Adj}(Q) \rightarrow Q$ ,  $(a, g) \mapsto a^g$ .

**Remark 2.23** (adjoint augmentation). The pair  $Q \xrightarrow{\text{adj}} \text{Adj}(Q) \xrightarrow{\rho} \text{Inn}(Q)$  is an augmentation of the quandle  $Q$  on its adjoint group  $\text{Adj}(Q)$ , called the *adjoint augmentation*. By construction it is the universal augmentation, in the obvious sense.

**Remark 2.24** (equivariance). Each quandle homomorphism  $\phi: Q \rightarrow Q'$  induces a morphism of adjoint augmentations. In particular,  $\text{Adj}(Q)$  acts on  $Q$  via  $\rho$ , and on  $Q'$  via  $\rho' \circ \text{Adj}(\phi)$ . The map  $\phi$  thus becomes equivariant under the natural action of  $\text{Adj}(Q)$ .

**2.5. (Non-)Functoriality.** Unlike the adjoint representation  $\text{adj}: Q \rightarrow \text{Adj}(Q)$ , the inner representation  $\text{inn}: Q \rightarrow \text{Inn}(Q)$  is *not* functorial:

**Example 2.25.** Consider a quandle  $Q'$  and an element  $q' \in Q'$  that acts non-trivially, i.e.  $\text{inn}(q') \neq \text{id}_{Q'}$ . The trivial quandle  $Q = \{q\}$  maps into  $Q'$  with  $q \mapsto q'$ , but no group homomorphism  $\text{Inn}(Q) \rightarrow \text{Inn}(Q')$  can map  $\text{inn}(q) = \text{id}_Q$  to  $\text{inn}(q') \neq \text{id}_{Q'}$ .

A closer look reveals that the crucial hypothesis is surjectivity:

**Proposition 2.26.** *For every surjective quandle homomorphism  $p: Q \twoheadrightarrow \bar{Q}$  there exists a unique group homomorphism  $h: \text{Inn}(Q) \twoheadrightarrow \text{Inn}(\bar{Q})$  such that  $h \circ \text{inn}_Q = \text{inn}_{\bar{Q}} \circ p$ . In other words,  $h$  makes the following diagram commute:*

$$\begin{array}{ccc} Q & \xrightarrow{\text{inn}_Q} & \text{Inn}(Q) \\ p \downarrow & & \downarrow h = \text{Inn}(p) \\ \bar{Q} & \xrightarrow{\text{inn}_{\bar{Q}}} & \text{Inn}(\bar{Q}) \end{array}$$

*Proof.* Uniqueness is clear because  $\text{Inn}(Q) = \langle \text{inn}(Q) \rangle$ . In order to prove existence, first observe that for each  $a \in Q$  the inner action  $x \mapsto x * a$  preserves the fibres of  $p$ . The same is thus true for every  $g \in \text{Inn}(Q)$ , so we obtain a well-defined map  $\bar{g}: \bar{Q} \rightarrow \bar{Q}$  as follows: for each  $\bar{x}$  choose a preimage  $x \in Q$  with  $p(x) = \bar{x}$  and set  $\bar{x}^{\bar{g}} := p(x^g)$ . By construction we have  $\overline{f \circ g} = \bar{f} \circ \bar{g}$ , and  $g = \text{inn}(a)$  is mapped to  $\bar{g} = \text{inn}(p(a))$ . This shows that the map  $h: \text{Inn}(Q) \rightarrow \text{Inn}(\bar{Q})$ ,  $g \mapsto \bar{g}$ , is well-defined and a surjective group homomorphism.  $\square$

**Remark 2.27** (functorial augmentation). In the category of augmentations of a fixed quandle  $Q$ , the adjoint augmentation  $\text{adj}: Q \rightarrow \text{Adj}(Q)$  is the initial object, while  $\text{inn}: Q \rightarrow \text{Aut}(Q)$  is the terminal object [16, §9]. We have already noticed that  $\text{adj}$  is functorial, and so it provides a functor from quandles to augmented quandles, whereas  $\text{inn}$  is not functorial. In a more restrictive setting, Proposition 2.26 provides a functor from quandles and surjective homomorphisms to augmented quandles and surjective homomorphisms by mapping each quandle  $Q$  to the inner augmentation  $\text{inn}: Q \rightarrow \text{Inn}(Q)$ .

**2.6. Connected components.** As is the case for many other mathematical structures, a quandle  $Q$  is called *homogeneous* if  $\text{Aut}(Q)$  acts transitively on  $Q$ . The following definition is more specific for quandles, and essentially goes back to Joyce [16, §8]:

**Definition 2.28.** A quandle  $Q$  is called *connected* if  $\text{Inn}(Q)$  acts transitively on  $Q$ . A *connected component* of  $Q$  is an orbit under the action of  $\text{Inn}(Q)$ . Given an element  $q \in Q$  we denote by  $[q]$  its connected component, that is, the orbit of  $q$  under the action of  $\text{Inn}(Q)$ . Finally, we denote by  $\pi_0(Q) = \{[q] \mid q \in Q\}$  the set of connected components of  $Q$ .

**Remark 2.29.** The augmentation  $Q \rightarrow \text{Adj}(Q) \twoheadrightarrow \text{Inn}(Q)$  shows that the connected components of  $Q$  are precisely the  $\text{Adj}(Q)$ -orbits. Sometimes this alternative point of view proves technically simpler because the adjoint group behaves functorially.

**Proposition 2.30** (universal property). *The set  $\pi_0(Q)$  of connected components can be considered as a trivial quandle, in which case the canonical projection  $\phi: Q \twoheadrightarrow \pi_0(Q)$ ,  $q \mapsto [q]$  becomes a quandle homomorphism. It is universal in the sense that every quandle homomorphism  $Q \rightarrow X$  to a trivial quandle  $X$  factors uniquely through  $\phi$ .  $\square$*

**Corollary 2.31** (functoriality). *Every quandle homomorphism  $\phi: Q \rightarrow Q'$  induces a map  $\phi_*: \pi_0(Q) \rightarrow \pi_0(Q')$  defined by  $[x] \mapsto [\phi(x)]$ . If  $\phi$  is surjective then so is  $\phi_*$ . In particular, the homomorphic image of a connected quandle is again connected.*  $\square$

**Remark 2.32.** For every quandle  $Q$ , the elements of a given component become conjugate in  $\text{Adj}(Q)$ . Its abelianization is thus given by  $\alpha: \text{Adj}(Q) \rightarrow \mathbb{Z}\pi_0(Q)$ ,  $q \mapsto [q]$ , and its kernel is the commutator subgroup  $\text{Adj}(Q)' = \ker(\alpha)$ .

**Definition 2.33.** For every quandle  $Q$  there exists a unique group homomorphism  $\varepsilon: \text{Adj}(Q) \rightarrow \mathbb{Z}$  with  $\text{adj}(Q) \rightarrow \{1\}$ . Its kernel  $\text{Adj}(Q)^\circ := \ker(\varepsilon)$  is generated by all products of the form  $\text{adj}(a)^{-1}\text{adj}(b)$  with  $a, b \in Q$ . The image of  $\text{Adj}(Q)^\circ$  under the natural group homomorphism  $\text{Adj}(Q) \rightarrow \text{Inn}(Q)$  will be denoted by  $\text{Inn}(Q)^\circ$ . It is generated by products of the form  $\text{inn}(a)^{-1}\text{inn}(b)$ , called *transvections* by Joyce [16, §5]. In his analysis of symmetric spaces É. Cartan called this the *group of displacements* (see Loos [23, §II.1.1]).

**Remark 2.34.** If  $Q$  is connected, then  $\varepsilon: \text{Adj}(Q) \rightarrow \mathbb{Z}$  is the abelianization of the adjoint group, and in this case  $\text{Adj}(Q)^\circ = \text{Adj}(Q)'$  and  $\text{Inn}(Q)^\circ = \text{Inn}(Q)'$ .

We have  $\text{Adj}(Q) = \text{Adj}(Q)^\circ \rtimes \mathbb{Z}$ : choosing a base point  $q \in Q$ , every element  $g \in \text{Adj}(Q)$  can be uniquely written as  $g = \text{adj}(q)^{\varepsilon(g)}h$  with  $h \in \text{Adj}(Q)^\circ$ .

**Remark 2.35.** The components of  $Q$  are the orbits under the adjoint action of  $\text{Adj}(Q)$ . We obtain the same orbits with respect to the subgroup  $\text{Adj}(Q)^\circ$ . Indeed, for  $a \in Q$  and  $g \in \text{Adj}(Q)$  we have  $a^g = a^h$  with  $h = \text{adj}(a)^{-\varepsilon(g)}g \in \text{Adj}(Q)^\circ$ .

**Remark 2.36.** If  $Q$  is not connected, then the orbits under  $\text{Adj}(Q)^\circ$  and  $\text{Adj}(Q)'$  usually differ significantly: Consider the quandle  $Q = Q_{m,n}$  of Example 1.6 with  $\gcd(m,n) = 1$ , where we find  $\text{Adj}(Q) = \mathbb{Z} \times \mathbb{Z}$  and  $\text{Inn}(Q) = \mathbb{Z}_n \times \mathbb{Z}_m$ . The orbits under  $\text{Adj}(Q)^\circ \cong \mathbb{Z}$  are the two connected components, and do thus not coincide with the orbits under the trivial group  $\text{Adj}(Q)' = \{\text{id}\}$ .

**2.7. Central group extensions.** Fenn and Rourke [12] have called the kernel of the natural group homomorphism  $\rho: \text{Adj}(Q) \twoheadrightarrow \text{Inn}(Q)$  the *excess* of  $Q$ , but did not study  $\rho$  more closely. We will now see that  $\rho$  is a central extension.

As for every group, the inner automorphism group  $\text{Inn}(\text{Adj}(Q))$  is the image of the homomorphism  $\gamma: \text{Adj}(Q) \rightarrow \text{Aut}(\text{Adj}(Q))$  defined by conjugation,  $\gamma(g): x \mapsto x^g$ , and its kernel is the centre of  $\text{Adj}(Q)$ . By definition of the adjoint group, we also have a homomorphism  $\alpha: \text{Aut}(Q) \rightarrow \text{Aut}(\text{Adj}(Q))$  given by  $\phi \mapsto \text{Adj}(\phi)$ .

$$\begin{array}{ccccc}
 Q & \xrightarrow{\text{inn}} & \text{Inn}(Q) & \hookrightarrow & \text{Aut}(Q) \\
 \text{adj} \downarrow & \nearrow \rho & \downarrow \beta & & \downarrow \alpha \\
 \text{Adj}(Q) & \xrightarrow{\gamma} & \text{Inn Adj}(Q) & \hookrightarrow & \text{Aut Adj}(Q)
 \end{array}$$

**Proposition 2.37.** *We have  $\alpha(\text{Inn}(Q)) = \text{Inn Adj}(Q)$ . The restriction of  $\alpha$  defines a group homomorphism  $\beta: \text{Inn}(Q) \twoheadrightarrow \text{Inn Adj}(Q)$  that makes the above diagram commute. As a consequence, the group homomorphism  $\rho: \text{Adj}(Q) \twoheadrightarrow \text{Inn}(Q)$  is a central extension.*

*Proof.* We already have  $\text{inn} = \rho \circ \text{adj}$  by construction of  $\rho$ , so we only have to verify that  $\alpha \circ \rho = \gamma$ . Every  $g \in \text{Adj}(Q)$  acts on  $Q$  by inner automorphisms,  $\rho(g): Q \xrightarrow{\sim} Q$ ,  $a \mapsto a^g$ . The quandle automorphism  $\rho(g)$  induces a group automorphism  $\text{Adj}\rho(g): \text{Adj}(Q) \xrightarrow{\sim} \text{Adj}(Q)$  with  $\text{adj}(a) \mapsto \text{adj}(a^g) = \text{adj}(a)^g$ , see Remark 2.23. We conclude that  $\text{Adj}\rho(g) = \gamma(g)$ . This means that the diagram is commutative and  $\alpha(\text{Inn}(Q)) = \text{Inn Adj}(Q)$ .  $\square$

As an illustration we wish to determine the adjoint group of the quandle  $Q_{m,n} = \mathbb{Z}_m \sqcup \mathbb{Z}_n$  from Example 1.6. Recall that it decomposes into two components,  $\mathbb{Z}_m$  and  $\mathbb{Z}_n$ .

**Proposition 2.38.** *The adjoint group  $\text{Adj}(Q_{0,0})$  is isomorphic to the Heisenberg group*

$$H = \left\{ \begin{pmatrix} 1 & * & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix} \in \text{SL}_3 \mathbb{Z} \right\} \quad \text{generated by} \quad x = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}, z = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

More generally, for parameters  $m, n \in \mathbb{N}$  the adjoint group  $G = \text{Adj}(Q_{m,n})$  is isomorphic to the quotient  $H_\ell = H / \langle z^\ell \rangle$  with  $\ell = \gcd(m, n)$ , via the isomorphism  $\phi: G \xrightarrow{\sim} H_\ell$  defined by  $\text{adj}(a) \mapsto xz^a$  for  $a \in \mathbb{Z}_m$  and  $\text{adj}(b) \mapsto yz^{-b}$  for  $b \in \mathbb{Z}_n$ .

In particular,  $\text{adj}: Q_{m,n} \rightarrow \text{Adj}(Q_{m,n})$  is injective if and only if  $m = n$ , and we have  $\text{Adj}(Q_{m,n}) \cong \mathbb{Z} \times \mathbb{Z}$  if and only if the parameters  $m$  and  $n$  are coprime.

*Proof.* By definition, the adjoint group  $G = \text{Adj}(Q_{m,n})$  is generated by elements  $s_a$  with  $a \in \mathbb{Z}_m$  and  $t_b$  with  $b \in \mathbb{Z}_n$  subject to the quandle relations  $s_a * t_b = s_{a+1}$  and  $t_b * s_a = t_{b+1}$ , as well as  $s_a * s_{a'} = s_a$  and  $t_b * t_{b'} = t_b$  for all  $a, a' \in \mathbb{Z}_m$  and  $b, b' \in \mathbb{Z}_n$ .

In  $H$  we have  $[x, y] = x^{-1}y^{-1}xy = z$  and  $[x, z] = [y, z] = 1$ , which entails the desired relations  $(xz^a) * (yz^{-b}) = xz^{a+1}$  and  $(yz^{-b}) * (xz^a) = yz^{-(b+1)}$ . The quotient group  $H_\ell = H / \langle z^\ell \rangle$  thus allows a quandle representation  $Q_{m,n} \rightarrow H_\ell$  with  $a \mapsto xz^a$  for  $a \in \mathbb{Z}_m$  and  $b \mapsto yz^{-b}$  for  $b \in \mathbb{Z}_n$ . This induces a surjective group homomorphism  $\phi: G \twoheadrightarrow H_\ell$ .

Since  $\text{Inn}(Q_{m,n}) \cong \mathbb{Z}_n \times \mathbb{Z}_m$  is abelian, the commutator group  $G'$  is contained in the kernel of  $G \twoheadrightarrow \text{Inn}(Q)$ , which is central according to Proposition 2.37. Consider

$$u := [s_a, t_b] = s_a^{-1}t_b^{-1}s_at_b = s_a^{-1}s_{a+1}.$$

Repeatedly conjugating this equation by  $t_b$  yields

$$u = s_a^{-1}s_{a+1} = s_{a+1}^{-1}s_{a+2} = \cdots = s_{a-1}^{-1}s_a.$$

On the other hand we find  $u = t_{b+1}^{-1}t_b$  and repeatedly conjugating by  $s_a$  yields

$$u = t_{b+1}^{-1}t_b = t_{b+2}^{-1}t_{b+1} = \cdots = t_b^{-1}t_{b-1}.$$

This shows that  $u^m = u^n = 1$  and thus  $u^\ell = 1$  for  $\ell = \gcd(m, n)$ . With  $s := s_0$  and  $t := t_0$  we finally obtain  $s_a = su^a$  for all  $a \in \mathbb{Z}_m$  and  $t_b = tu^{-b}$  for all  $b \in \mathbb{Z}_n$ . We conclude that every element of  $G$  can be written as  $s^i t^j u^k$  with  $i, j \in \mathbb{Z}$  and  $k \in \mathbb{Z}_\ell$ . The group homomorphism  $\phi: G \twoheadrightarrow H_\ell$  satisfies  $\phi(s^i t^j u^k) = x^i y^j z^k$ , and is thus seen to be injective.  $\square$

**Remark 2.39.** The natural group homomorphism  $\beta: \text{Inn}(Q) \twoheadrightarrow \text{InnAdj}(Q)$  is surjective but in general not injective. Consider for example  $Q = Q_{m,n}$  with  $\gcd(m, n) = 1$ . Then  $\text{Adj}(Q) \cong \mathbb{Z}^2$ , so  $\text{InnAdj}(Q) = \{\text{id}\}$ , whereas  $\text{Inn}(Q) \cong \mathbb{Z}_m \times \mathbb{Z}_n$ .

**Remark 2.40.** The group homomorphism  $\alpha: \text{Aut}(Q) \rightarrow \text{AutAdj}(Q)$  is in general neither injective nor surjective. The trivial quandle  $Q = \{q\}$ , for example, has trivial automorphism group  $\text{Aut}(Q) = \{\text{id}\}$ , whereas the adjoint group  $\text{Adj}(Q) \cong \mathbb{Z}$  has  $\text{AutAdj}(Q) = \{\pm \text{id}\}$ .

**Corollary 2.41.** *For every augmentation  $Q \xrightarrow{\phi} G \xrightarrow{\alpha} \text{Inn}(Q)$  with  $G = \langle \phi(Q) \rangle$ , the induced group homomorphism  $h: \text{Adj}(Q) \twoheadrightarrow G$  and  $\alpha: G \twoheadrightarrow \text{Inn}(Q)$  are central extensions,*



because  $\alpha \circ h = \rho$  is a central extension according to Proposition 2.37.  $\square$

$$\begin{array}{ccc}
 & \text{Adj}(Q) & \\
 \text{adj} \nearrow & \downarrow \rho & \searrow h \\
 Q & \xrightarrow{\phi} & G \\
 \text{inn} \searrow & \downarrow & \nearrow \alpha \\
 & \text{Inn}(Q) & 
 \end{array}$$

**2.8. Quandle coverings.** The following definition of quandle covering was inspired by [9], where this approach was successfully used to study knot quandles.

**Definition 2.42.** A quandle homomorphism  $p: \tilde{Q} \rightarrow Q$  is called a *covering* if it is surjective and  $p(\tilde{x}) = p(\tilde{y})$  implies  $\tilde{a} * \tilde{x} = \tilde{a} * \tilde{y}$  for all  $\tilde{a}, \tilde{x}, \tilde{y} \in \tilde{Q}$ .

In other words, a surjective quandle homomorphism  $p: \tilde{Q} \rightarrow Q$  is a covering if and only if the inner representation  $\text{inn}: \tilde{Q} \rightarrow \text{Inn}(\tilde{Q})$  factors through  $p$ .

**Example 2.43.** For every augmentation  $Q \xrightarrow{\phi} G \xrightarrow{\alpha} \text{Aut}(Q)$  the quandle homomorphism  $\phi: Q \rightarrow \phi(Q)$  is a covering. In particular, the inner representation  $\text{inn}: Q \rightarrow \text{Inn}(Q)$  defines a quandle covering  $Q \rightarrow \text{inn}(Q)$ . By definition,  $\text{inn}(Q)$  is the smallest quandle covered by  $Q$ . In the other extreme we will show in Section 5 below how to construct the universal covering of  $Q$ .

**Notation.** We shall reserve the term “covering” for the map  $p: \tilde{Q} \rightarrow Q$ . If emphasis is desired, it is convenient to call  $p: \tilde{Q} \rightarrow Q$  the *quandle covering* and  $\tilde{Q}$  the *covering quandle*.

**Example 2.44.** A surjective group homomorphism  $p: \tilde{G} \rightarrow G$  yields a quandle covering  $\text{Conj}(\tilde{G}) \rightarrow \text{Conj}(G)$  if and only if  $\ker(p) \subset \tilde{G}$  is a central subgroup.

**Example 2.45.** A surjective group homomorphism  $p: \tilde{G} \rightarrow G$  yields a quandle covering  $\text{Core}(\tilde{G}) \rightarrow \text{Core}(G)$  if and only if  $\ker(p) \subset \tilde{G}$  is a central subgroup of exponent 2.

**Example 2.46.** A surjective group homomorphism  $p: \tilde{A} \rightarrow A$  with  $p \circ \tilde{T} = T \circ p$  yields a quandle covering  $\text{Alex}(\tilde{A}, \tilde{T}) \rightarrow \text{Alex}(A, T)$  if and only if  $\tilde{T}$  acts trivially on  $\ker(p) \subset \tilde{A}$ .

**Warning 2.47.** The composition of two central group extensions is in general not a central extension, and so the functor  $\text{Conj}$  shows that we cannot generally expect the composition of two quandle coverings to be again a covering (see also Example 1.22). Similar remarks apply to the functors  $\text{Core}$  and  $\text{Alex}$ .

**Remark 2.48.** A covering  $p: \tilde{Q} \rightarrow Q$  allows us to define a representation  $\tilde{\sigma}: \tilde{Q} \rightarrow \text{Inn}(\tilde{Q})$  by setting  $\tilde{a} * x := \tilde{a} * \tilde{x}$  for all  $x \in Q$  and  $\tilde{a}, \tilde{x} \in \tilde{Q}$  with  $p(\tilde{x}) = x$ . This is well-defined because  $\tilde{a} * \tilde{x}$  does not depend on the choice of the preimage  $\tilde{x}$ . Moreover,  $\tilde{\sigma}$  induces a group homomorphism  $\tilde{\rho}: \text{Adj}(Q) \rightarrow \text{Inn}(\tilde{Q})$ . This situation is summarized in the following

commutative diagram:

$$\begin{array}{ccc}
 \tilde{Q} & \xrightarrow{\text{adj}_{\tilde{Q}}} & \text{Adj}(\tilde{Q}) \\
 \downarrow p & \swarrow \text{inn}_{\tilde{Q}} & \swarrow \rho_{\tilde{Q}} \\
 & \text{Inn}(\tilde{Q}) & \\
 & \uparrow \tilde{\sigma} & \uparrow \tilde{\rho} \\
 Q & \xrightarrow{\text{adj}_Q} & \text{Adj}(Q) \\
 \downarrow & \swarrow \text{inn}_Q & \swarrow \rho_Q \\
 & \text{Inn}(Q) & \\
 & \uparrow \text{Inn}(p) & \\
 & \downarrow & \\
 & & 
 \end{array}$$

In particular,  $\tilde{\sigma}: Q \rightarrow \text{Inn}(\tilde{Q})$  is an augmentation with  $\text{Inn}(\tilde{Q}) = \langle \tilde{\sigma}(Q) \rangle$ , and the covering  $p$  is equivariant with respect to the action of  $\text{Inn}(\tilde{Q})$ . Moreover,  $\tilde{\rho}$  defines a natural action of the adjoint group  $\text{Adj}(\tilde{Q})$  on the covering quandle  $\tilde{Q}$ , and  $p$  is equivariant with respect to this action. By functoriality,  $\text{Adj}(p)$  and  $\text{Inn}(p)$  are likewise equivariant.

**Proposition 2.49.** *For every quandle covering  $p: \tilde{Q} \rightarrow Q$ , the induced group homomorphisms  $\text{Adj}(p): \text{Adj}(\tilde{Q}) \rightarrow \text{Adj}(Q)$  and  $\text{Inn}(p): \text{Inn}(\tilde{Q}) \rightarrow \text{Inn}(Q)$  are central extensions.*

*Proof.* This follows from the commutativity of the diagram and Proposition 2.37.  $\square$

### 3. EXAMPLES OF QUANDLES AND COVERINGS

This section recalls some classical examples where quandles arise naturally: conjugation in groups, the adjoint action of a Lie group on its Lie algebra, and the symmetries of a Riemannian symmetric space. Our aim here is to highlight the notion of quandle covering and its relationship to central group extensions, coverings of Lie groups, and coverings of symmetric spaces, respectively.

**3.1. Trivial coverings.** Even though this is by far the least interesting case, we shall start our tour with trivial coverings.

**Example 3.1** (trivial covering). Let  $Q$  be a quandle and let  $F$  be a non-empty set. We can consider  $F$  as a trivial quandle, and equip the product  $\tilde{Q} = Q \times F$  with the quandle operation  $(a, s) * (b, t) = (a * b, s)$ . The projection  $p: Q \times F \rightarrow Q$  given by  $(q, s) \mapsto q$  is a quandle covering, called *trivial covering* with fibre  $F$ .

**Remark 3.2.** For every quandle homomorphism  $p: \tilde{Q} \rightarrow Q$ , each fibre  $F = p^{-1}(q)$  is a subquandle of  $\tilde{Q}$ . If  $p$  is a quandle covering, then  $F$  is necessarily trivial. The fibres over any two points of the same component are isomorphic. The isomorphism is not canonical, however, and covering theory studies the possible monodromy.

**Remark 3.3** (almost trivial covering). If  $Q$  decomposes into connected components  $(Q_i)_{i \in I}$ , then we can choose a non-empty set  $F_i$  for each  $i \in I$  and equip the union  $\tilde{Q} = \bigsqcup_{i \in I} Q_i \times F_i$  with the previous quandle operation  $(a, s) * (b, t) = (a * b, s)$ . The result is a quandle covering  $\tilde{Q} \rightarrow Q$ ,  $(q, s) \mapsto q$  that is trivial over each component, but not globally trivial if the fibres over different components are non-isomorphic (i.e. have different cardinality).

**3.2. Conjugation quandles.** As already noted in the introduction, every group  $G$  becomes a quandle with respect to conjugation  $a * b = b^{-1}ab$ . More generally, every non-empty union  $Q$  of conjugacy classes in  $G$  is a quandle with these operations, and  $Q$  is a connected quandle if and only if  $Q$  is a single conjugacy class in the generated subgroup  $H = \langle Q \rangle$ .

**Remark 3.4** (central extensions). Given a quandle  $Q \subset G$  and a central group extension  $p: \tilde{G} \rightarrow G$ , the preimage  $\tilde{Q} = p^{-1}(Q)$  yields a quandle covering  $p: \tilde{Q} \rightarrow Q$ . The kernel  $\Lambda = \ker(p)$  acts on the covering quandle  $\tilde{Q}$  such that  $(\lambda a) * b = \lambda(a * b)$  and  $a * (\lambda b) = a * b$  for all  $a, b \in \tilde{Q}$  and  $\lambda \in \Lambda$ . This will be called a quandle extension, see Definition 4.14.

**Example 3.5** (linear groups). Consider the special linear group  $\mathrm{SL}_2 \mathbb{K}$  over a field  $\mathbb{K}$ . Its centre is  $Z = \{\pm \mathrm{id}\}$  and thus of order 2 if  $\mathrm{char} \mathbb{K} \neq 2$ . The quotient is the projective special linear group  $\mathrm{PSL}_2 \mathbb{K} = \mathrm{SL}_2 \mathbb{K} / Z$ , and by construction  $p: \mathrm{SL}_2 \mathbb{K} \rightarrow \mathrm{PSL}_2 \mathbb{K}$  is a central extension. We will assume that  $|\mathbb{K}| \geq 4$ , so that  $\mathrm{SL}_2 \mathbb{K}$  is perfect and  $\mathrm{PSL}_2 \mathbb{K}$  is simple. (See [22, §XIII.8].)

The conjugacy class  $\tilde{Q} = \tilde{q}^{\tilde{G}}$  of  $\tilde{q} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  defines a quandle in  $\tilde{G} = \mathrm{SL}_2 \mathbb{K}$ . Its image  $Q := p(\tilde{Q}) = q^G$  is the conjugacy class of  $q := p(\tilde{q}) = \pm \tilde{q}$  in  $G = \mathrm{PSL}_2 \mathbb{K}$ . We have  $G = \langle Q \rangle$  because  $G$  is simple, and  $\tilde{G} = \langle \tilde{Q} \rangle$  because  $\tilde{G}$  is perfect. (This is a general observation:  $\langle \tilde{Q} \rangle$  is normal in  $\tilde{G}$  and maps onto  $G$ , so that  $\tilde{G} / \langle \tilde{Q} \rangle$  is abelian, whence  $\tilde{G} = \langle \tilde{Q} \rangle$ .)

Suppose that there exist  $a, b \in \mathbb{K}$  such that  $a^2 + b^2 = -1$ . (This always holds in finite characteristic, and also for  $\mathbb{K} = \mathbb{C}$ , but not for  $\mathbb{K} = \mathbb{R}$ .) In this case the matrix  $c = \begin{pmatrix} a & b \\ b & -a \end{pmatrix} \in \tilde{G}$  conjugates  $\tilde{q}$  to  $\tilde{q}^c = -\tilde{q}$ , so that  $Z \cdot \tilde{Q} = \tilde{Q}$ . This means that  $p: \tilde{Q} \rightarrow Q$  is a two-fold covering of connected quandles, and even an extension  $Z \curvearrowright \tilde{Q} \rightarrow Q$ .

If  $a^2 + b^2 = -1$  has no solution in  $\mathbb{K}$ , as for example in  $\mathbb{K} = \mathbb{R}$ , then  $\tilde{q}$  and  $-\tilde{q}$  are not conjugated in  $\tilde{G} = \mathrm{SL}_2 \mathbb{K}$ , so that  $p^{-1}(Q) = +\tilde{Q} \sqcup -\tilde{Q}$  consists of two isomorphic copies of  $Q$ . This is again a two-fold quandle covering, but a trivial one.

**3.3. Lie groups and Lie algebras.** Every Lie group  $G$  is tied to its Lie algebra  $\mathfrak{g} = T_1 G$  by two maps: the exponential map  $\exp: \mathfrak{g} \rightarrow G$  and the adjoint action  $\mathrm{ad}: G \rightarrow \mathrm{Aut}(\mathfrak{g})$ , denoted by  $\mathrm{ad}(g): x \mapsto x^g$ . This corresponds to a quandle structure in the following sense:

- The set  $\mathfrak{g}$  is a quandle with respect to  $x * y = x^{\exp(y)}$ .  
We recover the Lie bracket as the derivative  $\frac{d}{dt} [x * ty]_{t=0} = [x, y]$ .
- The triple  $\mathfrak{g} \xrightarrow{\exp} G \xrightarrow{\mathrm{ad}} \mathrm{Aut}(\mathfrak{g})$  is an augmentation of the quandle  $(\mathfrak{g}, *)$ .  
The image  $Q = \exp(\mathfrak{g})$  is a quandle in the group  $G$ , with respect to conjugation.
- In general we have  $\exp(\mathfrak{g}) \subsetneq G$ . If  $G$  is connected and  $\exp: (\mathfrak{g}, 0) \rightarrow (G, 1)$  is a local diffeomorphism, then we have  $G = \langle \exp(\mathfrak{g}) \rangle$  and  $\mathrm{ad}(G) = \mathrm{Inn}(\mathfrak{g}, *)$ .

**Remark 3.6.** In the finite-dimensional case, the manifold  $G$  is modelled on  $\mathbb{R}^n$  or  $\mathbb{C}^n$ , and the inverse function theorem ensures that  $\exp$  is a local diffeomorphism from an open neighbourhood of  $0 \in \mathfrak{g}$  onto an open neighbourhood of  $1 \in G$ . In the infinite-dimensional case, this result still holds for Lie groups modelled on Banach spaces. It may fail, however, for complete locally convex vector spaces, a setting motivated and studied by Milnor [28]. He notes that in some cases the conclusion  $G = \langle \exp(\mathfrak{g}) \rangle$  follows from the additional property that the group  $G$  is simple, because  $\langle \exp(\mathfrak{g}) \rangle$  is a normal subgroup.

**Remark 3.7** (central extensions again). If  $p: \tilde{G} \rightarrow G$  is a connected covering of the Lie group  $G$ , then  $\tilde{G}$  carries a unique Lie group structure such that  $p$  is a Lie group homomorphism. The linear isomorphism  $T_1 p: T_1 \tilde{G} \xrightarrow{\sim} T_1 G$  provides an isomorphism of Lie algebras  $\tilde{\mathfrak{g}} \xrightarrow{\sim} \mathfrak{g}$ , and so we obtain another augmentation  $\tilde{\mathfrak{g}} \xrightarrow{\exp} \tilde{G} \xrightarrow{\mathrm{ad}} \mathrm{Aut}(\tilde{\mathfrak{g}})$ . This can be summarized as follows:

$$\begin{array}{ccccccc}
 \tilde{\mathfrak{g}} & \xrightarrow{\exp_{\tilde{G}}} & \tilde{Q} & \xleftarrow{\mathrm{inc}} & \tilde{G} & \xrightarrow{\mathrm{ad}_{\tilde{G}}} & \mathrm{Inn}(\tilde{\mathfrak{g}}) \\
 \cong \downarrow & & \downarrow p & & \downarrow p & & \downarrow \cong \\
 \mathfrak{g} & \xrightarrow{\exp_G} & Q & \xleftarrow{\mathrm{inc}} & G & \xrightarrow{\mathrm{ad}_G} & \mathrm{Inn}(\mathfrak{g})
 \end{array}$$

Assuming  $G = \langle Q \rangle$  and  $\tilde{G} = \langle \tilde{Q} \rangle$ , we recover a well-known fact of Lie group theory:  $p: \tilde{G} \rightarrow G$  is a central group extension, because both  $\tilde{G}$  and  $G$  are intermediate to the central extension  $\text{Adj}(\mathfrak{g}, *) \rightarrow \text{Inn}(\mathfrak{g}, *)$ , see Corollary 2.41. In particular,  $p: \tilde{Q} \rightarrow Q$  is a quandle covering, see Remark 3.4.

**3.4. Infinite-dimensional Lie algebras.** Contrary to the finite-dimensional case, not every infinite-dimensional Lie algebra  $(L, [,])$  can be realized as the tangent space of a Lie group  $G$ . This fails even for Banach Lie algebras, as remarked by van Est and Korthagen [35]. (See also Serre [32], Part II, §V.8.) It is worth noting that the construction of the quandle  $(L, *)$  can still be carried out.

The obvious idea is to define  $x * y$  by the initial condition  $x * 0 = x$  and the differential equation  $\frac{d}{dt}(x * ty) = [x * ty, y]$ . This equation has at most one analytic solution, namely

$$x * y = \sum_{k=0}^{\infty} \frac{1}{k!} [\dots [x, y], y] \dots, y].$$

In order to ensure convergence, it suffices to impose some reasonable condition on the topology of  $L$ : all obstacles disappear, for example, if  $L$  is a Banach Lie algebra. It is then an amusing exercise to verify that  $(L, *)$  is indeed a quandle:

- (Q1) Antisymmetry  $[x, x] = 0$  translates to idempotency  $x * x = x$ .
- (Q2) The functional equation  $\exp(y) \circ \exp(-y) = \text{id}$  ensures invertibility.
- (Q3) The Jacobi identity of the Lie bracket  $[,]$  translates to self-distributivity of the quandle operation  $*$ .

We conclude that constructing the quandle  $(L, *)$  is a rather benign topological problem. The natural group that appears here is  $G = \langle \exp(L) \rangle = \text{Inn}(L, *)$ , but in general this need not be a Lie group; and even if it is we can only expect  $T_1 G = \text{ad}(L) = L/Z(L)$ . The much deeper problem of constructing a Lie group  $G$  realizing the Lie algebra  $L$  involves the structure of  $L$  in a more profound way and leads in general to non-trivial obstructions.

The lesson to be learned from this excursion is that although a Lie group  $G$  may be too much to ask, the less ambitious quandle structure  $(L, *)$  can still be rescued. The construction is natural in the following sense:

**Proposition 3.8.** *Let  $(K, [,])$  and  $(L, [,])$  be Lie algebras, and let  $(K, *)$  and  $(L, *)$  be the corresponding quandles. A continuous linear map  $p: K \rightarrow L$  is a Lie algebra homomorphism  $(K, [,]) \rightarrow (L, [,])$  if and only if it is a quandle homomorphism  $(K, *) \rightarrow (L, *)$ . Moreover,  $p$  is a central extension of Lie algebras if and only if it is a covering of quandles. (In this case  $p$  is even an extension of quandles in the sense of Definition 4.14.)  $\square$*

**3.5. Reflection quandles.** Consider  $\mathbb{R}^n$  with  $a * b = a \bar{*} b = 2b - a$ , which is the symmetry about the point  $b$ . This defines a connected involutory quandle  $Q = (\mathbb{R}^n, *)$ , called the  $n$ -dimensional *reflection quandle*. Since  $b$  is the unique fix-point of  $\text{inn}(b)$ , we see that  $\text{inn}: Q \rightarrow \text{Inn}(Q)$  is injective. More precisely,  $(\mathbb{R}^n, *)$  is isomorphic to conjugacy class of reflections in the semidirect product  $\text{Inn}(\mathbb{R}^n, *) \cong (\mathbb{R}^n, +) \rtimes \{\pm \text{id}\}$ .

**Example 3.9.** The quandle structure passes to the quotient group  $\mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$ , where it can again be formulated as  $a * b = 2b - a$ . In this way the torus  $\mathbb{T}^n$  inherits a unique quandle structure such that the projection  $p: \mathbb{R}^n \rightarrow \mathbb{T}^n$  is a quandle homomorphism. The quotient map  $p$  is *not* a quandle covering, because  $\text{inn}_Q$  is injective and does not factor through  $p$ .

**Example 3.10.** We can produce quandle coverings  $\mathbb{T}^n \rightarrow \mathbb{T}^n$  as follows. Consider the subgroup  $\Lambda = p(\frac{1}{2}\mathbb{Z}^n) = \{[0], [\frac{1}{2}]\}^n$  acting on  $\mathbb{T}^n$  by translation. For  $b, b' \in \mathbb{T}^n$  we have  $\text{inn}(b) = \text{inn}(b')$  if and only if  $b - b' \in \Lambda$ . The quotient  $\Lambda \backslash \mathbb{T}^n$  carries a unique quandle

structure such that the projection  $\mathbb{T}^n \rightarrow \Lambda \backslash \mathbb{T}^n$  is a quandle covering. (This quotient can be identified with  $\mathbb{T}^n \xrightarrow{-2} \mathbb{T}^n$ .) Similar remarks apply to the quotient by any subgroup of  $\Lambda$ .

**3.6. Spherical quandles.** We can equip the unit sphere  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  with the operation  $a * b = 2\langle a, b \rangle b - a$ , which is the unique involution fixing  $b$  and mapping  $x$  to  $-x$  for  $x$  orthogonal to  $b$ . This turns  $(\mathbb{S}^n, *)$  into a connected involutory quandle, called the  $n$ -dimensional *spherical quandle*.

**Example 3.11.** For  $\lambda = \pm 1$  and  $a, b \in \mathbb{S}^n$  we have  $(\lambda a) * b = \lambda(a * b)$  and  $a * (\lambda b) = a * b$ . This means that the projective space  $\mathbb{RP}^n = \mathbb{S}^n / \{\pm 1\}$  inherits a unique quandle structure  $[a] * [b] = [a * b]$  such that the projection  $p: \mathbb{S}^n \rightarrow \mathbb{RP}^n$  is a quandle covering. The map  $p$  is, of course, also a covering of topological spaces.

**Remark 3.12.** The inner action defines a representation of the quandle  $(\mathbb{S}^n, *)$  in the orthogonal group  $O(n+1)$ , and into  $SO(n+1)$  if  $n$  is even. This representation is not faithful because  $\text{inn}(b) = \text{inn}(-b)$  for all  $b \in \mathbb{S}^n$ , but we obtain a faithful representation of the projective quandle  $(\mathbb{RP}^n, *)$ . A faithful representation of the spherical quandle  $(\mathbb{S}^n, *)$  is obtained by lifting to the double covering  $\text{Pin}(n+1) \rightarrow O(n+1)$ , see [22, §XIX.4].

$$\begin{array}{ccc} (\mathbb{S}^n, *) & \longleftrightarrow & \text{Pin}(n+1) \\ \downarrow & & \downarrow \\ (\mathbb{RP}^n, *) & \longleftrightarrow & O(n+1) \end{array}$$

**3.7. Symmetric spaces.** Reflection quandles and spherical quandles have a beautiful common generalization: globally symmetric Riemannian manifolds. They have been introduced and classified by Élie Cartan in the 1920s and form a classical object of Riemannian geometry. (See Helgason [15, §IV.3], Loos [23], Klingenberg [20, §2.2], Lang [21, §XIII.5].) We briefly recall some elementary properties in order to characterize the quandle coverings that naturally arise in this context.<sup>2</sup>

**Definition 3.13.** A *symmetric space* is a smooth connected manifold  $X$  equipped with a Riemannian metric such that for each point  $x \in X$  there exists an isometry  $s_x: X \xrightarrow{\sim} X$  that reverses every geodesic arc  $\gamma: ]-\varepsilon, +\varepsilon[ \rightarrow (X, x)$ , meaning that  $s_x \circ \gamma(t) = \gamma(-t)$ .

In a symmetric space every geodesic arc can be prolonged to a complete geodesic  $\mathbb{R} \rightarrow X$ , and the Hopf-Rinow theorem implies that  $X$  is a complete Riemannian manifold. Conversely, the fact that  $X$  is connected and complete ensures that any two points  $x, x' \in X$  can be joined by a geodesic, and so the symmetry  $s_x$  is unique for each  $x$ .

**Proposition 3.14.** A *symmetric space*  $X$  is an involutory quandle with respect to the operation  $*$ :  $X \times X \rightarrow X$  defined by the symmetry  $x * y = s_y(x)$ .

*Proof.* Axiom (Q1) follows from  $s_x(x) = x$ , and Axiom (Q2) from  $s_x^2 = \text{id}_X$ . For (Q3) notice that the isometry  $s_z s_y s_z$  reverses every geodesic  $(\mathbb{R}, 0) \rightarrow (X, s_z(y))$ , and so we conclude  $s_z s_y s_z = s_{s_z(y)}$  by uniqueness of the symmetry about  $s_z(y)$ .  $\square$

<sup>2</sup> In the classification of symmetric spaces one usually passes to universal coverings and then concentrates on simply connected spaces. The observations that follow concern non-simply connected symmetric spaces, because we are particularly interested in the coverings themselves. We will not appeal to the classification, so our remarks can be considered an elementary complement to the simply connected case.

**Remark 3.15.** For a symmetric space  $X$ , topological connectedness entails algebraic connectedness. The quandle  $(X, *)$  is even *strongly* connected: since any two points  $x, x' \in X$  can be joined by a geodesic  $\gamma: \mathbb{R} \rightarrow X$  with  $\gamma(0) = x$  and  $\gamma(1) = x'$ , the symmetry about  $y = \gamma(\frac{1}{2})$  maps  $x$  to  $x'$ . In other words, we do not need a product of successive symmetries to go from  $x$  to  $x'$ ; one step suffices. For the quandle  $(X, *)$  this means that  $x' = x^g$  for some  $g \in \text{inn}(X)$ , rather than  $g \in \text{Inn}(X)$  as usual.

In favourable cases a covering  $p: \tilde{X} \rightarrow X$  of symmetric spaces is also a quandle covering  $(\tilde{X}, *) \rightarrow (X, *)$ , as for  $\mathbb{S}^n \rightarrow \mathbb{R}\mathbb{P}^n$ , but in general it need not be, as illustrated by the example  $\mathbb{R}^n \rightarrow \mathbb{T}^n$  above. For Lie groups this phenomenon is easy to understand:

**Example 3.16.** Consider a Lie group  $G$  with a bi-invariant Riemannian metric, for example, a compact Lie group. (See [15, §IV.6]). In this case  $G$  is a symmetric space: a smooth map  $(\mathbb{R}, 0) \rightarrow (G, 1)$  is a geodesic if and only if it is a group homomorphism, and the geodesic-reversing involution at  $1 \in G$  is just  $s_1(g) = g^{-1}$ . For any other point  $h \in G$  we find  $s_h(g) = hg^{-1}h$ ; we thus recover  $\text{Core}(G)$ , the core quandle of  $G$  of Example 2.3.

We deduce from Example 2.45 that a covering  $p: \tilde{G} \rightarrow G$  of connected Lie groups is a quandle covering  $\text{Core}(\tilde{G}) \rightarrow \text{Core}(G)$  if and only if  $\ker(p)$  is a group of exponent 2. This is actually the general condition:

**Theorem 3.17.** *Let  $X$  be a symmetric space. For every connected covering  $p: \tilde{X} \rightarrow X$  the covering space  $\tilde{X}$  carries a unique Riemannian structure such that  $p$  is a local isometry. Equipped with this canonical structure,  $\tilde{X}$  is itself a symmetric space and  $p$  is a quandle homomorphism. It is a quandle covering if and only if  $\text{Aut}(p)$  is a group of exponent 2.*

The proof relies on the following observation, which is interesting in its own right:

**Lemma 3.18.** *Let  $X$  be a homogeneous Riemannian manifold. Then in every homotopy class  $c \in \pi_1(X, x)$  there exists a loop  $\gamma: [0, 1] \rightarrow X$ , with  $\gamma(0) = \gamma(1) = x$ , minimizing the arc-length of all loops in  $c$ . Every such loop  $\gamma$  is a closed geodesic, satisfying  $\gamma'(0) = \gamma'(1)$ , so that its continuation defines a geodesic  $(\mathbb{R}, 0) \rightarrow (X, x)$  of period 1.  $\square$*

Notice that we do not consider free homotopy classes, but homotopy classes based at  $x$ . Moreover,  $X$  need not be compact; the crucial hypothesis is homogeneity. For the special case of symmetric spaces, which is of interest to us here, the conclusion  $\gamma'(0) = \gamma'(1)$  can be obtained by parallel transport along  $\gamma$ , see [20, Corollary 2.2.7].

*Proof of the theorem.* The symmetry  $s_x: (X, x) \rightarrow (X, x)$  acts as inversion on  $\pi_1^{\text{top}}(X, x)$ , which implies that this group is abelian. Every connected covering  $p: (\tilde{X}, \tilde{x}) \rightarrow (X, x)$  is thus galois, and the symmetry  $s_x: (X, x) \rightarrow (X, x)$  lifts to a symmetry  $s_{\tilde{x}}: (\tilde{X}, \tilde{x}) \rightarrow (\tilde{X}, \tilde{x})$ . This turns  $\tilde{X}$  into a Riemannian symmetric space, and we obtain a quandle  $(\tilde{X}, *)$ . The projection  $p$  is a quandle homomorphism: for  $a, b \in \tilde{X}$  we have  $p \circ s_b = s_{p(b)} \circ p$ , whence  $p(a * b) = p \circ s_b(a) = s_{p(b)} \circ p(a) = p(a) * p(b)$ .

Any two points  $a, b \in p^{-1}(x)$  are related by a unique deck transformation  $h \in \text{Aut}(p)$  such that  $h(a) = b$ , and by a (possibly non-unique) geodesic  $\gamma: \mathbb{R} \rightarrow \tilde{X}$  with  $\gamma(0) = a$  and  $\gamma(1) = b$  such that  $\gamma|_{[0,1]}$  is length-minimizing. We thus have  $\gamma(s) * \gamma(t) = \gamma(2t - s)$  for all  $s, t \in \mathbb{R}$ , and also  $h\gamma(t) = \gamma(t + 1)$  according to Lemma 3.18.

If  $p$  is a quandle covering, then  $s_a = s_b$  entails  $h^2(a) = \gamma(2) = \gamma(0) * \gamma(1) = a * b = a$ . This shows that the deck transformation  $h^2: \tilde{X} \rightarrow \tilde{X}$  fixes  $a$  and is thus the identity.

Conversely, if  $h^2 = \text{id}$ , then  $a * b = \gamma(0) * \gamma(1) = \gamma(2) = h^2(a) = a$ . This implies that  $s_b = s_a$ , because both are liftings of  $s_x = p \circ s_a = p \circ s_b$  fixing  $a$ .  $\square$

**Remark 3.19.** The examples of Lie groups and symmetric Riemannian manifolds are manifestly of a topological nature, and the quandles that emerge naturally are *topological quandles*, analogous to topological groups. It is conceivable to define the adjoint group in the topological category, so that the adjoint augmentation  $Q \rightarrow \text{Adj}(Q) \rightarrow \text{Inn}(Q)$  is continuous and universal in an appropriate sense. Likewise, the theory of (algebraic i.e. discrete) quandle coverings can be adapted to continuous quandle coverings, and a topological Galois correspondence can be established. We postpone this generalization and consider only the algebraic aspect, that is, discrete quandles, in this article.

**3.8. Historical remarks.** As early as 1942, M. Takasaki [34] introduced the notion of “kei” (i.e. involutory quandle) as an abstraction of symmetric spaces, and later O. Loos [23] extensively studied symmetric spaces as differential manifolds with an involutory quandle structure. Racks first appeared around 1959 under the name “wracks” in unpublished correspondence between J.H. Conway and G.C. Wraith (see [12]). D. Joyce published the first comprehensive treatment of quandles in 1982, and also coined the name “quandle”. Independently, S. Matveev [26] studied the equivalent notion of “distributive groupoid” (which is not a groupoid in the usual sense, as in §8). Racks were rediscovered on many occasions and studied under various names: as “automorphic sets” by E. Brieskorn [2], as “crossed  $G$ -sets” by P.J. Freyd and D.N. Yetter [14], as “racks” by R. Fenn and C. Rourke [12], and as “crystals” by L.H. Kauffman [17]. For a detailed review see [12].

#### 4. THE CATEGORY OF QUANDLE COVERINGS

This section initiates the systematic study of quandle coverings. They correspond vaguely to central group extensions, but also incorporate intrinsically non-abelian features. The best analogy seems to be with coverings of topological spaces. Throughout this article we will use this analogy as a guiding principle wherever possible.

**4.1. The category of quandle coverings.** We have already seen that the composition of quandle coverings is in general not a quandle covering (see §1.6). In order to obtain a category we have to consider coverings over a fixed base quandle:

**Definition 4.1.** Let  $p: \tilde{Q} \rightarrow Q$  and  $\hat{p}: \hat{Q} \rightarrow Q$  be two quandle coverings. A *covering morphism* from  $p$  to  $\hat{p}$  (over  $Q$ ) is a quandle homomorphism  $\phi: \tilde{Q} \rightarrow \hat{Q}$  such that  $p = \hat{p} \circ \phi$ .

$$\begin{array}{ccc} \tilde{Q} & \xrightarrow{\phi} & \hat{Q} \\ p \searrow & & \swarrow \hat{p} \\ & Q & \end{array}$$

**Proposition 4.2.** A map  $\phi: \tilde{Q} \rightarrow \hat{Q}$  with  $p = \hat{p} \circ \phi$  is a covering morphism if and only if  $\phi$  is equivariant with respect to  $\text{Adj}(Q)$ , or equivalently, its subgroup  $\text{Adj}(Q)^\circ$ .

*Proof.* Consider  $\tilde{a}, \tilde{b} \in \tilde{Q}$  and  $b = p(\tilde{b}) = \hat{p}(\tilde{b})$ . Since both  $p$  and  $\hat{p}$  are coverings, we have on the one hand  $\phi(\tilde{a} * \tilde{b}) = \phi(\tilde{a}^{\text{adj}(\tilde{b})})$  and on the other hand  $\phi(\tilde{a}) * \phi(\tilde{b}) = \phi(\tilde{a})^{\text{adj}(b)}$ . This proves the desired equivalence. It suffices to assume equivariance under the subgroup  $\text{Adj}(Q)^\circ$ , by replacing  $\text{adj}(b)$  with  $\text{adj}(a)^{-1} \text{adj}(b) \in \text{Adj}(Q)^\circ$  where  $a = p(\tilde{a})$ .  $\square$

**Proposition 4.3.** Given a quandle  $Q$ , the coverings  $p: \tilde{Q} \rightarrow Q$  together with their covering morphisms form a category, called the *category of coverings over  $Q$* , denoted  $\mathbf{Cov}(Q)$ .

*Proof.* The only point to verify is that, given three coverings  $p_i: \tilde{Q}_i \rightarrow Q$  with  $i = 1, 2, 3$ , the composition of two covering morphisms  $\phi_1: \tilde{Q}_1 \rightarrow \tilde{Q}_2$  and  $\phi_2: \tilde{Q}_2 \rightarrow \tilde{Q}_3$  is again a

covering morphism. We already know that  $\mathbf{Qnd}$  is a category, so  $\phi = \phi_2 \circ \phi_1: \tilde{Q}_1 \rightarrow \tilde{Q}_3$  is a quandle homomorphism. Moreover,  $p_3 \circ \phi = p_3 \circ \phi_2 \circ \phi_1 = p_2 \circ \phi_1 = p_1$ .  $\square$

**Remark 4.4.** Every surjective covering morphism  $\phi: \tilde{Q} \rightarrow \hat{Q}$  is itself a quandle covering: if  $\phi(\tilde{x}) = \phi(\tilde{y})$  then  $p(\tilde{x}) = \hat{p}\phi(\tilde{x}) = \hat{p}\phi(\tilde{y}) = p(\tilde{y})$  and so  $\text{inn}(\tilde{x}) = \text{inn}(\tilde{y})$ .

**Definition 4.5.** For a quandle covering  $p: \tilde{Q} \rightarrow Q$  we define  $\text{Aut}(p)$  to be the group of covering automorphisms of  $p$ , also called the *group of deck transformations* of the covering  $p$ . We will adopt the convention that deck transformations of  $p$  act on the left, which means that their composition  $\phi\psi$  is defined by  $(\phi\psi)(\tilde{q}) = \phi(\psi(\tilde{q}))$  for all  $\tilde{q} \in \tilde{Q}$ .

We let  $\text{Aut}(p)$  act on the left because this is the most convenient (and traditional) way to denote two commuting actions:

**Proposition 4.6.** *Given a quandle covering  $p: \tilde{Q} \rightarrow Q$ , two groups naturally act on the covering quandle  $\tilde{Q}$ : the group of deck transformations  $\text{Aut}(p)$  acts on the left while the group of inner automorphisms  $\text{Inn}(\tilde{Q})$  acts on the right. Both actions commute.*

*Proof.* Consider  $\phi \in \text{Aut}(p)$  and  $\tilde{x}, \tilde{y} \in \tilde{Q}$ . Then  $\phi(\tilde{x} * \tilde{y}) = \phi(\tilde{x}) * \phi(\tilde{y}) = \phi(\tilde{x}) * \tilde{y}$ , which means that  $\phi$  and  $\text{inn}(\tilde{y})$  commute. Since the group  $\text{Inn}(\tilde{Q})$  is generated by  $\text{inn}(\tilde{Q})$ , this proves that the actions of  $\text{Aut}(p)$  and  $\text{Inn}(\tilde{Q})$  commute.  $\square$

**4.2. Pointed quandles and coverings.** As in the case of topological spaces, we have to choose base points in order to obtain uniqueness properties of coverings.

**Definition 4.7.** A *pointed quandle*  $(Q, q)$  is a quandle  $Q$  with a specified base point  $q \in Q$ . A homomorphism (resp. covering)  $\phi: (Q, q) \rightarrow (Q', q')$  between pointed quandles is a quandle homomorphism (resp. covering)  $\phi: Q \rightarrow Q'$  such that  $\phi(q) = q'$ . Pointed quandles and their homomorphisms form a category, denoted  $\mathbf{Qnd}_*$ . Likewise, coverings  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  over a fixed base quandle  $(Q, q)$  form a category, denoted  $\mathbf{Cov}(Q, q)$ .

**Definition 4.8.** Let  $f: (X, x) \rightarrow (Q, q)$  and  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  be homomorphisms of pointed quandles. A *lifting* of  $f$  over  $p$  is a quandle homomorphism  $\tilde{f}: (X, x) \rightarrow (\tilde{Q}, \tilde{q})$  such that  $p \circ \tilde{f} = f$ .

$$\begin{array}{ccc} & & (\tilde{Q}, \tilde{q}) \\ & \nearrow \tilde{f} & \downarrow p \\ (X, x) & \xrightarrow{f} & (Q, q) \end{array}$$

**Proposition 4.9** (lifting uniqueness). *Let  $f: (X, x) \rightarrow (Q, q)$  be a quandle homomorphism, and let  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  be a quandle covering. Then any two liftings  $\tilde{f}_1, \tilde{f}_2: (X, x) \rightarrow (\tilde{Q}, \tilde{q})$  of  $f$  over  $p$  coincide on the component of  $x$  in  $X$ . In particular, if  $X$  is connected, then  $f$  admits at most one lifting over  $p$ .*

*Proof.* The quandle homomorphism  $f$  induces a group homomorphism  $h: \text{Adj}(X) \rightarrow \text{Adj}(Q)$ . Since  $p$  is a covering, the group  $\text{Adj}(Q)$  acts on  $\tilde{Q}$ , and so does  $\text{Adj}(X)$  via  $h$ . In this way, all the maps in the above triangle are equivariant with respect to the action of  $\text{Adj}(X)$ . If  $\tilde{f}_1$  and  $\tilde{f}_2$  coincide on one point  $x$ , they coincide on its entire orbit, which is precisely the connected component of  $x$  in  $X$ .  $\square$

**Corollary 4.10.** *Between a connected covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  and an arbitrary covering  $\hat{p}: (\hat{Q}, \hat{q}) \rightarrow (Q, q)$  there can be at most one covering morphism  $\phi: (\tilde{Q}, \tilde{q}) \rightarrow (\hat{Q}, \hat{q})$ .*



*Proof.* The equation  $p = \hat{p} \circ \phi$  means that  $\phi$  is a lifting of  $p$  over  $\hat{p}$ .  $\square$

**Corollary 4.11.** *Let  $p: \tilde{Q} \rightarrow Q$  be a quandle covering. If  $\tilde{Q}$  is connected, then the group  $\text{Aut}(p)$  of deck transformations acts freely on each fibre.*

*Proof.* Choose a base point  $q \in Q$  and consider the fibre  $F = p^{-1}(q)$ . Every deck transformation  $\phi \in \text{Aut}(p)$  satisfies  $\phi(F) = F$ , and so  $\text{Aut}(p)$  acts on the set  $F$ . If  $\phi$  fixes a point  $\tilde{q} \in F$ , then  $\phi = \text{id}$  by the previous corollary.  $\square$

**4.3. Galois coverings.** As for topological coverings, the galois case is most prominent:

**Definition 4.12.** A covering  $p: \tilde{Q} \rightarrow Q$  is said to be *galois* if  $\tilde{Q}$  is connected and  $\text{Aut}(p)$  acts transitively on each fibre. (It necessarily acts freely by the previous corollary.)

Numerous examples are provided by central group extensions (Remark 3.4 and Example 3.5) and coverings of symmetric spaces (Examples 3.10 and 3.11, and Theorem 3.17).

**Remark 4.13.** Every galois covering  $p: \tilde{Q} \rightarrow Q$  comes with the natural action  $\Lambda \curvearrowright \tilde{Q}$  of the deck transformation group  $\Lambda = \text{Aut}(p)$  satisfying the following two axioms:

- (E1)  $(\lambda \tilde{x}) * \tilde{y} = \lambda(\tilde{x} * \tilde{y})$  and  $\tilde{x} * (\lambda \tilde{y}) = \tilde{x} * \tilde{y}$  for all  $\tilde{x}, \tilde{y} \in \tilde{Q}$  and  $\lambda \in \Lambda$ .
- (E2)  $\Lambda$  acts freely and transitively on each fibre  $p^{-1}(x)$ .

Axiom (E1) says that  $\Lambda$  acts by automorphisms and the left action of  $\Lambda$  commutes with the right action of  $\text{Inn}(\tilde{Q})$ , see Proposition 4.6. We denote such an action simply by  $\Lambda \curvearrowright \tilde{Q}$ . In this situation the quotient  $Q := \Lambda \backslash \tilde{Q}$  carries a unique quandle structure that turns the projection  $p: \tilde{Q} \rightarrow Q$  into a quandle covering. Axiom (E2) then says that  $p: \tilde{Q} \rightarrow Q$  is a *principal  $\Lambda$ -covering*, in the sense that each fibre is a principal  $\Lambda$ -set.

**4.4. Quandle extensions.** The freeness expressed in (E2) relies on the connectedness of  $\tilde{Q}$ . As an extreme counter-example, consider the trivial covering  $p: \tilde{Q} = Q \times F \rightarrow Q$  where  $Q$  is a connected quandle and  $F$  is a set with at least three elements. Here the deck transformation group  $\text{Aut}(p) = \text{Sym}(F)$  is too large: it acts transitively but not freely.

If the covering quandle  $\tilde{Q}$  is non-connected, we can nevertheless salvage the above properties by passing from the group  $\text{Aut}(p)$  to a subgroup  $\Lambda$  that satisfies (E2). We are thus led to the concept of a principal  $\Lambda$ -covering. Motivated by the terminology used in group theory, we will call this a quandle extension:

**Definition 4.14.** An *extension*  $E: \Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$  of a quandle  $Q$  by a group  $\Lambda$  consists of a surjective quandle homomorphism  $p: \tilde{Q} \rightarrow Q$  and a group action  $\Lambda \curvearrowright \tilde{Q}$  satisfying the above axioms (E1) and (E2). This can also be called a *principal  $\Lambda$ -covering* of  $Q$ .

Quandle extensions are intermediate between galois coverings and general coverings:

**Proposition 4.15.** *In every extension  $E: \Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$  the projection  $p: \tilde{Q} \rightarrow Q$  is a quandle covering. It is a galois covering if and only if  $\tilde{Q}$  is connected.*

*Conversely, every galois covering  $p: \tilde{Q} \rightarrow Q$  defines an extension of  $Q$ , with the group  $\Lambda = \text{Aut}(p)$  acting naturally on  $\tilde{Q}$  by deck transformations.*  $\square$

We have already seen quandle extensions in the general Examples 2.44, 2.45, 2.46, and the more concrete Examples 3.1, 3.5, 3.10, 3.11. Here is another natural construction, which essentially goes back to Joyce [16, §7] and will be proven universal in §5.1.

**Example 4.16.** As in Example 2.4 we consider a group  $G$  with automorphism  $T: G \xrightarrow{\sim} G$  and the associated Alexander quandle  $Q = \text{Alex}(G, T)$ . Suppose that  $H \subset G$  is a subgroup such that  $T|_H = \text{id}_H$ . Then  $H \times G \rightarrow G$ ,  $(h, g) \mapsto hg$  defines a free action of  $H$  on the quandle  $Q$  satisfying axiom (E1) above. As a consequence, the quotient set  $\tilde{Q} = H \backslash G$  carries a

unique quandle structure such that the projection  $p: Q \rightarrow \bar{Q}$  is a quandle homomorphism, and  $H \curvearrowright Q \rightarrow \bar{Q}$  is a quandle extension.

Coverings of  $Q$  form a category, which provides us with a natural notion of isomorphism, i.e. equivalence of coverings. Here is the appropriate notion for extensions:

**Definition 4.17.** Let  $Q$  be a quandle and let  $\Lambda$  be a group. An *equivalence*, or *isomorphism*, between extensions  $E_1: \Lambda \curvearrowright Q_1 \xrightarrow{p_1} Q$  and  $E_2: \Lambda \curvearrowright Q_2 \xrightarrow{p_2} Q$  is a quandle isomorphism  $\phi: Q_1 \xrightarrow{\sim} Q_2$  that respects projections,  $p_1 = p_2\phi$ , and is equivariant,  $\phi\lambda = \lambda\phi$  for all  $\lambda \in \Lambda$ . We denote by  $\text{Ext}(Q, \Lambda)$  the set of equivalence classes of extensions of  $Q$  by  $\Lambda$ .

One could also define the seemingly weaker notion of *homomorphism* between extensions  $E_1$  and  $E_2$  as a quandle homomorphism  $\phi: Q_1 \rightarrow Q_2$  that respects projections and is  $\Lambda$ -equivariant. This leads to the following observation, which is a variant of the well-known Five Lemma for short exact sequences in abelian categories (see [25, §VIII.4]).

**Proposition 4.18.** *Every homomorphism  $\phi: Q_1 \rightarrow Q_2$  between two quandle extensions  $E_1: \Lambda \curvearrowright Q_1 \xrightarrow{p_1} Q$  and  $E_2: \Lambda \curvearrowright Q_2 \xrightarrow{p_2} Q$  is an isomorphism of extensions.*  $\square$

The proof is a straightforward diagram chase, and will be omitted.

**4.5. Pull-backs.** Given quandle homomorphisms  $p: \bar{Q} \rightarrow Q$  and  $f: X \rightarrow Q$  we construct their *pull-back*, or *fibred product*  $\tilde{X} = X \times_Q \bar{Q}$  as follows:

$$\begin{array}{ccc} \tilde{X} & \xrightarrow{\tilde{f}} & \bar{Q} \\ \tilde{p} \downarrow & & \downarrow p \\ X & \xrightarrow{f} & Q \end{array}$$

The set  $\tilde{X} := \{(x, \tilde{a}) \in X \times \bar{Q} \mid f(x) = p(\tilde{a})\}$  can be equipped with a quandle operation  $(x, \tilde{a}) * (y, \tilde{b}) := (x * y, \tilde{a} * \tilde{b})$  such that the projections  $\tilde{p}(x, \tilde{a}) = x$  and  $\tilde{f}(x, \tilde{a}) = \tilde{a}$  are quandle homomorphisms and make the above diagram commute. The triple  $(\tilde{X}, \tilde{p}, \tilde{f})$  is universal in the usual sense that any other candidate uniquely factors through it, and this property characterizes it up to unique isomorphism.

The quandle homomorphism  $f^*p := \tilde{p}: \tilde{X} \rightarrow X$  is called the *pull-back* of  $p$  along  $f$ .

**Proposition 4.19.** *If  $p$  is a covering, then its pull-back  $f^*p$  is again a covering. Thus every quandle homomorphism  $f: X \rightarrow Q$  induces a covariant functor  $f^*: \mathbf{Cov}(Q) \rightarrow \mathbf{Cov}(X)$  by sending each covering  $p: \bar{Q} \rightarrow Q$  to its pull-back  $f^*p: \tilde{X} \rightarrow X$ , and every morphism between coverings to the induced morphism between their pull-backs.*

*Proof.* Suppose that  $p: \bar{Q} \rightarrow Q$  is a covering, that is,  $p$  is surjective and  $p(\tilde{a}) = p(\tilde{b})$  implies  $\text{inn}(\tilde{a}) = \text{inn}(\tilde{b})$ . Then  $\tilde{p}: \tilde{X} \rightarrow X$  is surjective, and for all  $\tilde{x} = (x, \tilde{a})$  and  $\tilde{y} = (y, \tilde{b})$  the equality  $\tilde{p}(\tilde{x}) = \tilde{p}(\tilde{y})$  entails  $x = y$  as well as  $p(\tilde{a}) = f(x) = f(y) = p(\tilde{b})$ . These in turn imply that  $\text{inn}(\tilde{x}) = \text{inn}(\tilde{y})$ , as claimed. This construction is natural with respect to covering morphisms, whence  $f^*$  is a functor.  $\square$

For extensions  $\Lambda \curvearrowright \bar{Q} \rightarrow Q$  we record the following observations:

**Proposition 4.20** (functoriality in  $Q$ ). *The pull-back of an extension  $E: \Lambda \curvearrowright \bar{Q} \xrightarrow{p} Q$  along a quandle homomorphism  $f: X \rightarrow Q$  inherits a natural  $\Lambda$ -action and defines an extension  $f^*E: \Lambda \curvearrowright \tilde{X} \xrightarrow{f^*p} X$ . We thus obtain a natural map  $f^*: \text{Ext}(Q, \Lambda) \rightarrow \text{Ext}(X, \Lambda)$ .*

*Proof.* The action on  $\tilde{X}$  is given by  $\lambda(x, \tilde{a}) = (x, \lambda\tilde{a})$  for  $\lambda \in \Lambda$ . Axioms (E1) and (E2) carry over from  $\bar{Q}$  to  $\tilde{X}$ , so that  $f^*E$  is an extension, as claimed.  $\square$

**Proposition 4.21** (functoriality in  $\Lambda$ ). *Every group homomorphism  $h: \Lambda \rightarrow \Lambda'$  induces a natural map on extensions,  $h_*: \text{Ext}(Q, \Lambda) \rightarrow \text{Ext}(Q, \Lambda')$ .*

*Proof.* Given an extension  $E: \Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$ , the induced extension  $h_*E$  is defined as the product  $\Lambda' \times \tilde{Q}$  modulo the relation  $(\lambda', \lambda \tilde{a}) \sim (\lambda' h(\lambda), \tilde{a})$  for  $\lambda \in \Lambda$ . The quotient  $\hat{Q}$  inherits the quandle structure  $[\lambda', a] * [\lambda'', b] = [\lambda', a * b]$ , and the extension  $h_*E: \Lambda' \curvearrowright \hat{Q} \xrightarrow{\hat{p}} Q$  is defined by the projection  $\hat{p}[\lambda', a] = p(a)$  and the action  $\lambda'[\lambda'', a] = [\lambda' \lambda'', a]$ . This construction is well-defined on isomorphism classes of extensions, so that we obtain  $h_*: \text{Ext}(Q, \Lambda) \rightarrow \text{Ext}(Q, \Lambda')$  as desired.  $\square$

The preceding propositions can be restated as saying that  $\text{Ext}(Q, \Lambda)$  is a contravariant functor in  $Q$  and a covariant functor in  $\Lambda$ . In general  $\text{Ext}(Q, \Lambda)$  is only a set, with the class of the trivial extension as zero element. We obtain a group structure if  $\Lambda$  is abelian:

**Proposition 4.22** (module structure). *If  $\Lambda$  is an abelian group, or more generally a module over some ring  $R$ , then  $\text{Ext}(Q, \Lambda)$  carries a natural  $R$ -module structure, and the pull-back  $f^*: \text{Ext}(Q, \Lambda) \rightarrow \text{Ext}(X, \Lambda)$  is a homomorphism of  $R$ -modules.*

*Proof.* The group  $\Lambda$  is abelian if and only if its multiplication  $\mu: \Lambda \times \Lambda \rightarrow \Lambda$  is a group homomorphism. In this case we obtain a binary operation on  $\text{Ext}(Q, \Lambda)$  as follows:

$$\otimes: \text{Ext}(Q, \Lambda) \times \text{Ext}(Q, \Lambda) \xrightarrow{P} \text{Ext}(Q, \Lambda \times \Lambda) \xrightarrow{\mu_*} \text{Ext}(Q, \Lambda)$$

Here  $P$  is the fibred product and  $\mu_*$  is the induced map as above. More explicitly, given two extensions  $E_1: \Lambda \curvearrowright Q_1 \xrightarrow{p_1} Q$  and  $E_2: \Lambda \curvearrowright Q_2 \xrightarrow{p_2} Q$ , their composition  $E_3 = E_1 \otimes E_2$  is the fibred product  $Q_1 \times_Q Q_2$  modulo the relation  $(\lambda a_1, a_2) \sim (a_1, \lambda a_2)$  for  $\lambda \in \Lambda$ . The quotient  $Q_3$  inherits the quandle structure  $[a_1, a_2] * [b_1, b_2] = [a_1 * b_1, a_2 * b_2]$ , and the extension  $E_3: \Lambda \curvearrowright Q_3 \xrightarrow{p_3} Q$  is defined by the projection  $p_3[a_1, a_2] = p_1(a_1) = p_2(a_2)$  and the action  $\lambda[a_1, a_2] = [\lambda a_1, a_2] = [a_1, \lambda a_2]$ .

The composition is well-defined and associative on isomorphism classes of extensions. The neutral element is given by the trivial extension  $E_0: \Lambda \curvearrowright \Lambda \times Q \xrightarrow{p_2} Q$ . The inverse of  $E_1$  is obtained by replacing the action of  $\Lambda$  with the inverse action via  $\lambda \mapsto \lambda^{-1}$ . The details are easily verified and will be omitted.  $\square$

## 5. CLASSIFICATION OF CONNECTED COVERINGS

In order to avoid clumsy notation, we will first classify connected coverings. The passage to arbitrary coverings over a connected base quandle is then straightforward, and will be treated in Section 6. Assuming that the base quandle is connected is technically easier and corresponds most closely to our model, the Galois correspondence for coverings over a connected topological space. The non-connected case will be treated in Section 7.

**5.1. Explicit construction of universal covering quandles.** Our first task is to ensure the existence of a universal covering quandle. As usual, universality is defined as follows:

**Definition 5.1.** A pointed quandle covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  is *universal* if for each covering  $\hat{p}: (\hat{Q}, \hat{q}) \rightarrow (Q, q)$  there exists a unique covering morphism  $\phi: (\tilde{Q}, \tilde{q}) \rightarrow (\hat{Q}, \hat{q})$ . In other words, a universal covering is an initial object in the category  $\mathbf{Cov}(Q, q)$ . Two universal coverings of  $(Q, q)$  are isomorphic by a unique isomorphism, so that we can unambiguously speak of *the* universal covering of  $(Q, q)$ .

The following explicit construction has been inspired by [9, Lemma 25].

**Lemma 5.2.** *Consider a connected quandle  $Q$  with base point  $q \in Q$ . Recall that the commutator subgroup  $\text{Adj}(Q)'$  is the kernel of the group homomorphism  $\varepsilon: \text{Adj}(Q) \rightarrow \mathbb{Z}$  with  $\varepsilon(\text{adj}(Q)) = 1$ . We define*

$$\tilde{Q} := \{ (a, g) \in Q \times \text{Adj}(Q)' \mid a = q^g \}, \quad \tilde{q} := (q, 1).$$

The set  $\tilde{Q}$  becomes a connected quandle with the operations

$$\begin{aligned} (a, g) * (b, h) &:= (a * b, g \cdot \text{adj}(a)^{-1} \cdot \text{adj}(b)), \\ (a, g) \bar{*} (b, h) &:= (a \bar{*} b, g \cdot \text{adj}(a) \cdot \text{adj}(b)^{-1}). \end{aligned}$$

The quandle  $\tilde{Q}$  comes with a natural augmentation  $\tilde{Q} \xrightarrow{p} \text{Adj}(Q) \xrightarrow{\alpha} \text{Inn}(\tilde{Q})$ , where  $\rho(b, h) = \text{adj}(b)$  and  $\alpha$  is defined by the action

$$\tilde{Q} \times \text{Adj}(Q) \rightarrow \tilde{Q} \quad \text{with} \quad (a, g)^h := (a^h, \text{adj}(q)^{-\varepsilon(h)} \cdot gh).$$

By construction, the subgroup  $\text{Adj}(Q)' = \ker(\varepsilon)$  acts freely and transitively on  $\tilde{Q}$ . The canonical projection  $p: \tilde{Q} \rightarrow Q$  given by  $p(a, g) = a$  is a surjective quandle homomorphism, and equivariant with respect to the action of  $\text{Adj}(Q)$ .

*Proof.* Since  $Q$  is connected, we have  $\text{adj}(a)^{-1} \text{adj}(b) \in \text{Adj}(Q)'$ , which ensures that the operations  $*$  and  $\bar{*}$  are well-defined. The first quandle axiom (Q1) is obvious:

$$(a, g) * (a, g) = (a * a, g \cdot \text{adj}(a)^{-1} \cdot \text{adj}(a)) = (a, g).$$

The second axiom (Q2) follows using  $\text{adj}(a * b) = \text{adj}(b)^{-1} \text{adj}(a) \text{adj}(b)$ :

$$((a, g) * (b, h)) \bar{*} (b, h) = (a, g \cdot \text{adj}(a)^{-1} \cdot \text{adj}(b) \cdot \text{adj}(a * b) \cdot \text{adj}(b)^{-1}) = (a, g).$$

For the third axiom (Q3) notice that each  $(a, g) \in \tilde{Q}$  satisfies  $a = q^g$ , which entails  $\text{adj}(a) = g^{-1} \cdot \text{adj}(q) \cdot g$ . The quandle operations can thus be reformulated as

$$\begin{aligned} (a, g) * (b, h) &= (a * b, \text{adj}(q)^{-1} \cdot g \cdot \text{adj}(b)), \\ (a, g) \bar{*} (b, h) &= (a \bar{*} b, \text{adj}(q) \cdot g \cdot \text{adj}(b)^{-1}). \end{aligned}$$

This implies self-distributivity, because

$$\begin{aligned} ((a, g) * (b, h)) * (c, k) &= ((a * b) * c, \text{adj}(q)^{-2} g \text{adj}(b) \text{adj}(c)) \quad \text{equals} \\ ((a, g) * (c, k)) * ((b, h) * (c, k)) &= ((a * c) * (b * c), \text{adj}(q)^{-2} g \text{adj}(c) \text{adj}(b * c)). \end{aligned}$$

The projection  $p: \tilde{Q} \rightarrow Q$ ,  $p(a, g) = a$ , is a quandle homomorphism, which implies that  $\rho = \text{adj} \circ p: \tilde{Q} \rightarrow \text{Adj}(Q)$  is a representation. Moreover, the action  $\alpha$  satisfies  $(a, g) * (b, h) = (a, g)^{\text{adj}(b)}$ , so that  $(\rho, \alpha)$  is an augmentation. Since  $\text{adj}(Q)$  generates the group  $\text{Adj}(Q)$ , this also shows that  $\text{Adj}(Q)$  acts on  $\tilde{Q}$  by inner automorphisms, and that  $p$  is equivariant with respect to the action of  $\text{Adj}(Q)$ . Under this action, the subgroup  $\text{Adj}(Q)'$  acts freely and transitively on  $\tilde{Q}$ , which shows that  $\tilde{Q}$  is connected.  $\square$

The reader will notice a close resemblance with the construction of the universal covering for a connected topological space. In order to construct  $\tilde{Q}$  from  $Q$ , we keep track not only of the points  $a \in Q$  but also the paths  $g \in \text{Adj}(Q)'$  leading from our base point  $q$  to the point  $a$  in question. Forgetting the extra information projects back to  $Q$ , while keeping it defines the universal covering  $\tilde{Q} \rightarrow Q$ , as we shall now prove:

**Theorem 5.3.** *Let  $Q$  be a connected quandle with base point  $q \in Q$  and let  $(\tilde{Q}, \tilde{q})$  be defined as in Lemma 5.2 above. Then the canonical projection  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  is the universal quandle covering of  $(Q, q)$ .*

*Proof.* It is clear from its construction that  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  is a covering. We want to show that for every other covering  $\hat{p}: (\hat{Q}, \hat{q}) \rightarrow (Q, q)$  there exists a unique quandle homomorphism  $\phi: (\tilde{Q}, \tilde{q}) \rightarrow (\hat{Q}, \hat{q})$  with  $\hat{p} \circ \phi = p$ . Uniqueness is clear from Corollary 4.10, the crucial point is thus to show existence.

We recall from Remark 2.48 that every covering  $\hat{p}: \hat{Q} \rightarrow Q$  induces an action of  $\text{Adj}(Q)$  on  $\hat{Q}$  by inner automorphisms, and that  $\hat{p}$  is equivariant with respect to this action. For our covering  $p: \tilde{Q} \rightarrow Q$  this action has been made explicit in the preceding Lemma 5.2.

We define  $\phi: (\tilde{Q}, \tilde{q}) \rightarrow (\hat{Q}, \hat{q})$  by  $\phi(a, g) = \hat{q}^g$ . This is an equivariant map with respect to  $\text{Adj}(Q)'$ . Both maps  $\hat{p}\phi$  and  $p$  are thus equivariant and coincide in  $\tilde{q} = (q, 1)$ . Since  $\tilde{Q}$  is connected we conclude  $\hat{p}\phi = p$ . Proposition 4.2 now shows that  $\phi$  is a quandle homomorphism, and hence a covering morphism from  $p$  to  $\hat{p}$  as desired.  $\square$

**Remark 5.4.** In Lemma 5.2, all the information of  $(a, g) \in \tilde{Q}$  is contained in the second coordinate  $g$ , so we could just as well dispense with the first coordinate  $a = q^g$ . This means that we consider the group  $G = \text{Adj}(Q)'$  equipped with quandle operations

$$g * h = x^{-1}gh^{-1}xh \quad \text{and} \quad g \bar{*} h = xgh^{-1}x^{-1}h,$$

where  $x = \text{adj}(q)$ . This is the (non-abelian) Alexander quandle  $\text{Alex}(G, T)$  with automorphism  $T: G \xrightarrow{\sim} G$  given by  $g \mapsto x^{-1}gx$ . These formulae already appear in the work of Joyce [16, §7] on the representation theory of homogeneous quandles. There the natural choice is  $G = \text{Aut}(Q)$ , whereas the universal covering requires  $G = \text{Adj}(Q)'$ .

The notation proposed in the preceding lemma emphasizes the interpretation of  $\tilde{Q}$  as a path fibration, where  $(a, g)$  designates a path  $g$  from  $q$  to the endpoint  $a$ . This extra information of base points will become necessary when we consider quandles with more than one connected component, see Lemma 7.11 below.

**5.2. Fundamental group of a quandle.** As announced in the introduction, once we have understood the universal covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  of a quandle  $(Q, q)$ , we can define the fundamental group  $\pi_1(Q, q)$  as the group  $\text{Aut}(p)$  of deck transformations:

**Definition 5.5.** We call  $\pi_1(Q, q) = \{g \in \text{Adj}(Q)' \mid q^g = q\}$  the *fundamental group* of the quandle  $Q$  based at  $q \in Q$ .

**Proposition 5.6.** For the universal covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  as above, we obtain a canonical group isomorphism  $\phi: \pi_1(Q, q) \xrightarrow{\sim} \text{Aut}(p)$  from the left action  $\pi_1(Q, q) \times \tilde{Q} \rightarrow \tilde{Q}$  defined by  $h \cdot (a, g) = (a, hg)$ .

*Proof.* The action is well-defined and induces an injective group homomorphism  $\pi_1(Q, q) \rightarrow \text{Aut}(\tilde{Q})$ . By construction it respects the projection  $p: \tilde{Q} \rightarrow Q$ , so we obtain  $\phi: \pi_1(Q, q) \rightarrow \text{Aut}(p)$ . The action of  $\pi_1(Q, q)$  is free and transitive on the fibre  $p^{-1}(q) = \{(q, g) \mid q^g = q\}$ . Given a covering automorphism  $\alpha \in \text{Aut}(p)$  there exists thus a unique element  $h \in \pi_1(Q, q)$  with  $\alpha(\tilde{q}) = h \cdot \tilde{q}$ . This means that  $\alpha = \phi(h)$ , because  $\tilde{Q}$  is connected (see Corollary 4.10). This proves that  $\phi$  is also surjective.  $\square$

**Proposition 5.7** (functoriality). Every quandle homomorphism  $f: (X, x) \rightarrow (Y, y)$  induces a homomorphism  $f_*: \pi_1(X, x) \rightarrow \pi_1(Y, y)$  of fundamental groups. We thus obtain a functor  $\pi_1: \mathbf{Qnd}_* \rightarrow \mathbf{Grp}$  from the category of pointed quandles to the category of groups.

*Proof.* Every quandle homomorphism  $f: X \rightarrow Y$  induces a group homomorphism  $h = \text{Adj}(f): \text{Adj}(X) \rightarrow \text{Adj}(Y)$ . In this way  $\text{Adj}(X)$  acts on  $Y$ , and  $f$  becomes equivariant. In particular, every  $g \in \text{Adj}(X)'$  with  $x^g = x$  is mapped to  $h(g) \in \text{Adj}(Y)'$  with  $y^{h(g)} = y$ , which proves the first claim. Moreover, this construction respects composition.  $\square$

**Proposition 5.8.** *We have  $\pi_1(Q, q^g) = \pi_1(Q, q)^g$  for every  $g \in \text{Adj}(Q)$ , or more generally for every  $g \in \text{Aut}(Q)$ . Thus, if  $Q$  is connected, or homogeneous, then the isomorphism class of the fundamental group  $\pi_1(Q, q)$  is independent of the choice of base point  $q \in Q$ .  $\square$*

**5.3. Coverings and monodromy.** As for topological coverings, two groups naturally act on a quandle covering  $p: \tilde{Q} \rightarrow Q$ : the deck transformation group  $\text{Aut}(p)$  acts on the left, while the adjoint group  $\text{Adj}(Q)$  and in particular its subgroup  $\pi_1(Q, q)$  act on the right. Both actions are connected as follows:

**Proposition 5.9** (monodromy action). *Every galois covering  $p: \tilde{Q} \rightarrow Q$  induces a natural surjective group homomorphism  $h: \pi_1(Q, q) \rightarrow \text{Aut}(p)$ .*

*More generally, every quandle extension  $E: \Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$  of a connected quandle  $Q$  by a group  $\Lambda$  induces a natural group homomorphism  $h: \pi_1(Q, q) \rightarrow \Lambda$ . Moreover,  $h$  is surjective if and only if  $\tilde{Q}$  is connected; in this case  $p$  is a galois covering.*

*In both settings,  $h$  is an isomorphism if and only if  $p$  is the universal covering of  $Q$ .*

*Proof.* Every galois covering  $p: \tilde{Q} \rightarrow Q$  defines an extension, with the group  $\Lambda = \text{Aut}(p)$  acting naturally on  $\tilde{Q}$  by deck transformations (see Proposition 4.15). We will thus concentrate on the more general formulation of extensions.

Since the covering  $p: \tilde{Q} \rightarrow Q$  is equivariant under the natural action of  $\text{Adj}(Q)$ , every  $g \in \pi_1(Q, q)$  maps the fibre  $F = p^{-1}(q)$  to itself. In particular, there exists a unique element  $h(g) \in \Lambda$  such that  $\tilde{q}^g = h(g)\tilde{q}$ . For  $g_1, g_2 \in \pi_1(Q, q)$  we find that

$$\tilde{q}^{g_1 g_2} = (h(g_1)\tilde{q})^{g_2} = h(g_1)(\tilde{q}^{g_2}) = h(g_1)h(g_2)\tilde{q},$$

since both actions commute (see Proposition 4.6). We conclude that  $h(g_1 g_2) = h(g_1)h(g_2)$ , whence  $h$  is a group homomorphism.

If  $\tilde{Q}$  is connected, there exists for each  $\hat{q} \in F$  a group element  $g \in \text{Adj}(Q)'$  such that  $\tilde{q}^g = \hat{q}$  (see Remark 2.34). By equivariance this equation projects to  $q^g = q$ , and so we have  $g \in \pi_1(Q, q)$ . This implies that  $h$  is surjective.

Conversely, if  $h$  is surjective, then  $\tilde{Q}$  is connected: given  $\hat{q} \in \tilde{Q}$ , there exists  $g_1 \in \text{Adj}(Q)$  such that  $p(\hat{q})^{g_1} = q$ , because  $Q$  is connected. This implies that  $\hat{q}^{g_1} = \lambda\tilde{q}$  for some  $\lambda \in \Lambda$ . Since  $h$  is assumed to be surjective, there exists  $g_2 \in \pi_1(Q, q)$  such that  $h(g_2) = \lambda^{-1}$ . We conclude that  $\hat{q}^{g_1 g_2} = \tilde{q}$ , as desired.

Finally, if  $h$  is an isomorphism, then  $\text{Adj}(Q)'$  acts freely on  $\tilde{Q}$ . We thus obtain an isomorphism between  $(\tilde{Q}, \tilde{q})$  and the universal covering constructed in Theorem 5.3.  $\square$

**Proposition 5.10.** *For every quandle covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  the induced group homomorphism  $p_*: \pi_1(\tilde{Q}, \tilde{q}) \rightarrow \pi_1(Q, q)$  has image  $\text{im}(p_*) = \{g \in \text{Adj}(Q)^\circ \mid \tilde{q}^g = \tilde{q}\}$  and kernel  $\ker(p_*) = \ker[\text{Adj}(p): \text{Adj}(\tilde{Q}) \rightarrow \text{Adj}(Q)]$ .*

*Proof.* We know by Proposition 2.49 that  $\phi = \text{Adj}(p): \text{Adj}(\tilde{Q}) \rightarrow \text{Adj}(Q)$  is a central extension. By Definition 2.33 we have  $\varepsilon_{\tilde{Q}} = \varepsilon_Q \circ \phi$ , so that  $\phi$  maps  $\text{Adj}(\tilde{Q})^\circ$  onto  $\text{Adj}(Q)^\circ$ . The action of  $\text{Adj}(Q)$  on  $\tilde{Q}$  is such that  $\tilde{q}^{\tilde{g}} = \tilde{q}^{\phi(\tilde{g})}$  for all  $\tilde{g} \in \text{Adj}(\tilde{Q})$ , see Remark 2.48.

If  $\tilde{g} \in \pi_1(\tilde{Q}, \tilde{q})$  then  $g = \phi(\tilde{g})$  satisfies  $g \in \text{Adj}(Q)^\circ$  and  $\tilde{q}^g = \tilde{q}$ . Conversely, for each  $g \in \text{Adj}(Q)^\circ$  with  $\tilde{q}^g = \tilde{q}$ , every preimage  $\tilde{g} \in \phi^{-1}(g)$  satisfies  $\tilde{g} \in \text{Adj}(\tilde{Q})^\circ$  and  $\tilde{q}^{\tilde{g}} = \tilde{q}$ , whence  $\tilde{g} \in \pi_1(\tilde{Q}, \tilde{q})$  and  $g = p_*(\tilde{g})$ . Existence of  $\tilde{g}$  is ensured by the surjectivity of  $\phi$ .

Finally,  $\tilde{g} \in \ker(p_*)$  is equivalent to  $\tilde{g} \in \text{Adj}(\tilde{Q})^\circ$  and  $\tilde{q}^{\tilde{g}} = \tilde{q}$  and  $\phi(\tilde{g}) = 1$ . This last condition entails the two previous ones: if  $\phi(\tilde{g}) = 1$  then  $\tilde{g} \in \text{Adj}(\tilde{Q})^\circ$  and  $\tilde{q}^{\tilde{g}} = \tilde{q}^{\phi(\tilde{g})} = \tilde{q}$ , so that  $\tilde{g} \in \ker(p_*)$ . We conclude that  $\ker(p_*) = \ker(\text{Adj}(p))$ .  $\square$

**Warning 5.11.** For a connected quandle covering  $p: \tilde{Q} \rightarrow Q$  the adjoint group homomorphism  $\text{Adj}(\tilde{Q}) \rightarrow \text{Adj}(Q)$  can have non-trivial kernel, and so  $p_*: \pi_1(\tilde{Q}, \tilde{q}) \rightarrow \pi_1(Q, q)$  is in

general not injective. In this respect the covering theory of quandles differs sharply from coverings of topological spaces, where  $p_*$  is injective for every covering.

**Example 5.12.** As in Example 3.5, consider a group  $\tilde{G}$  and a conjugacy class  $\tilde{Q} \subset \tilde{G}$  such that  $\tilde{G} = \langle \tilde{Q} \rangle$ . Assume that  $\Lambda \subset Z(\tilde{G})$  is a non-trivial central subgroup such that  $\Lambda \cdot \tilde{Q} = \tilde{Q}$ . The quotient map  $p: \tilde{G} \rightarrow G := \tilde{G}/\Lambda$  sends  $\tilde{Q}$  to a conjugacy class  $Q = p(\tilde{Q})$  in  $G$  with  $G = \langle Q \rangle$ . We thus obtain an extension  $\Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$ .

Since  $\tilde{Q}$  embeds into a group, the adjoint map  $\tilde{Q} \rightarrow \text{Adj}(\tilde{Q})$  is injective. The group homomorphism  $h = \text{Adj}(p): \text{Adj}(\tilde{Q}) \rightarrow \text{Adj}(Q)$  is not injective because  $\tilde{q}$  and  $\lambda\tilde{q}$  with  $\lambda \in \Lambda \setminus \{1\}$ , are distinct in  $\tilde{Q}$  but get identified in  $Q$ . The element  $\tilde{z} = \text{adj}(\tilde{q})^{-1} \text{adj}(\lambda\tilde{q})$  in  $\text{Adj}(\tilde{Q})'$  is thus contained in  $\ker(h)$ , and thus in the centre of  $\text{Adj}(\tilde{Q})$ . In particular  $\tilde{q}^{\tilde{z}} = \tilde{q}$ , and so  $\tilde{z} \in \pi_1(\tilde{Q}, \tilde{q})$  is a non-trivial element that maps to  $p_*(\tilde{z}) = 1$  in  $\pi_1(Q, q)$ .

**5.4. The lifting criterion.** As for topological coverings, the fundamental group provides a simple criterion for the lifting over a quandle covering:

**Proposition 5.13** (lifting criterion). *Let  $f: (X, x) \rightarrow (Q, q)$  be a quandle homomorphism, and let  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  be a quandle covering. Assume further that  $(X, x)$  is connected. Then there exists a lifting  $\tilde{f}: (X, x) \rightarrow (\tilde{Q}, \tilde{q})$  if and only if  $f_*\pi_1(X, x) \subset p_*\pi_1(\tilde{Q}, \tilde{q})$ .*

*Proof.* We already know from Corollary 4.10 that  $\tilde{f}$  is unique, and so we only have to consider existence. Let us begin with the easy case: If a lifting  $\tilde{f}$  exists, then  $f = p\tilde{f}$  implies  $f_* = p_*\tilde{f}_*$  and thus  $f_*\pi_1(X, x) = p_*\tilde{f}_*\pi_1(X, x) \subset p_*\pi_1(\tilde{Q}, \tilde{q})$ .

Conversely, assume  $f_*\pi_1(X, x) \subset p_*\pi_1(\tilde{Q}, \tilde{q})$ . Since  $p$  is a covering, the group  $\text{Adj}(Q)$  acts on  $\tilde{Q}$  by inner automorphisms. The quandle homomorphism  $f: X \rightarrow Q$  induces a group homomorphism  $f_* = \text{Adj}(f): \text{Adj}(X) \rightarrow \text{Adj}(Q)$ , and in this way  $\text{Adj}(X)$  also acts on  $\tilde{Q}$ . By connectedness, every element of  $X$  can be written as  $x^g$  with some  $g \in \text{Adj}(X)'$ . We can thus define  $\tilde{f}: (X, x) \rightarrow (\tilde{Q}, \tilde{q})$  by setting  $\tilde{f}: x^g \mapsto \tilde{q}^g$ , and our hypothesis ensures that this is well-defined. By construction, the map  $\tilde{f}$  is  $\text{Adj}(X)'$ -equivariant. Both maps  $p\tilde{f}$  and  $f$  are  $\text{Adj}(X)'$ -equivariant and coincide in  $x$ ; since  $X$  is connected we obtain  $p\tilde{f} = f$ . As in Proposition 4.2 we conclude that  $\tilde{f}$  is a quandle homomorphism.  $\square$

**Definition 5.14.** A quandle  $Q$  is *simply connected* if it is connected and  $\pi_1(Q, q) = \{1\}$ .

Notice that connectedness implies that  $\pi_1(Q, q) \cong \pi_1(Q, q')$  for all  $q, q' \in Q$ . It thus suffices to verify triviality of  $\pi_1(Q, q)$  for *one* base point  $q \in Q$ ; the property of being simply connected is independent of this choice, and hence well-defined.

**Proposition 5.15.** *For a quandle  $Q$  the following properties are equivalent:*

- (1) *The quandle  $Q$  is simply connected.*
- (2) *Every covering  $p: \tilde{Q} \rightarrow Q$  is equivalent to a trivial covering  $\text{pr}_1: Q \times F \rightarrow Q$ .*
- (3) *Every quandle homomorphism  $f: (Q, q) \rightarrow (\tilde{Q}, \tilde{q})$  lifts uniquely over each quandle covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$ .*
- (4) *Every covering  $p: (Q, q) \rightarrow (\tilde{Q}, \tilde{q})$  is universal in the category  $\mathbf{Cov}(\tilde{Q}, \tilde{q})$ .*

*Proof.* (1)  $\Rightarrow$  (2): We choose a base point  $q \in Q$  and define  $F := p^{-1}(q)$ . According to the Lifting Criterion, for each  $\tilde{q} \in F$  there exists a unique quandle homomorphism  $\phi_{\tilde{q}}: (Q, q) \rightarrow (\tilde{Q}, \tilde{q})$  such that  $p \circ \phi_{\tilde{q}} = \text{id}_Q$ . Its image is the connected component of  $\tilde{q}$  in  $\tilde{Q}$ . We thus have a bijection  $\psi: \pi_0(\tilde{Q}) \rightarrow F$  such that  $\psi([\tilde{q}]) = \tilde{q}$  for every  $\tilde{q} \in F$ . Putting this information together we obtain mutually inverse quandle isomorphisms  $\Phi: Q \times F \rightarrow \tilde{Q}$ ,  $\Phi(x, \tilde{q}) = \phi_{\tilde{q}}(x)$  and  $\Psi: \tilde{Q} \rightarrow Q \times F$ ,  $\Psi(\tilde{x}) = (p(\tilde{x}), \psi([\tilde{x}]))$ .

(2)  $\Rightarrow$  (3): By hypothesis (2) and Remark 3.3,  $Q$  must be connected, which ensures uniqueness. Existence follows from the pull-back construction, because  $f^*p$  is a covering over  $(Q, q)$  and trivial by hypothesis.

(3)  $\Rightarrow$  (4): This is clear from Definition 5.1.

(4)  $\Rightarrow$  (1): The identity  $\text{id}_Q: (Q, q) \rightarrow (Q, q)$  is a covering. If it is universal, then  $Q$  must be connected by Remark 3.3. Moreover,  $(Q, q)$  must be isomorphic to the explicit model  $(\tilde{Q}, \tilde{q})$  of Theorem 5.3 via the projection map  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$ . This implies  $\pi_1(Q, q) = \{1\}$ , whence  $Q$  is simply connected.  $\square$

**Example 5.16.** For a long knot  $L$ , the knot quandle  $Q_L$  is simply connected by [9, Theorem 30]. The natural quandle projection  $Q_L \rightarrow Q_K$  is thus the universal covering of the knot quandle  $Q_K$  associated to the closed knot  $K$ .

**Warning 5.17.** For a universal quandle covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  the covering quandle  $\tilde{Q}$  need not be simply connected. This is another aspect in which quandle coverings differ from topological coverings, where every universal covering is simply connected.

**Example 5.18.** We continue Example 5.12 using the same notation. The universal covering  $\hat{p}: (\hat{Q}, \hat{q}) \rightarrow (Q, q)$  of  $(Q, q)$  induces a covering  $\tilde{p}: (\hat{Q}, \hat{q}) \rightarrow (\tilde{Q}, \tilde{q})$ . This means that  $\text{Adj}(\hat{p}): \text{Adj}(\hat{Q}) \rightarrow \text{Adj}(Q)$  factors as  $\text{Adj}(\hat{Q}) \xrightarrow{g} \text{Adj}(\tilde{Q}) \xrightarrow{h} \text{Adj}(Q)$ . We have already found a non-trivial element  $\tilde{z} \in \pi_1(\tilde{Q}, \tilde{q})$  with  $h(\tilde{z}) = 1$  in  $\pi_1(Q, q)$ . Every preimage  $\hat{z} \in g^{-1}(\tilde{z})$  lies in centre of  $\text{Adj}(\hat{Q})$  and also in the commutator subgroup, and thus provides a non-trivial element  $\hat{z} \in \pi_1(\hat{Q}, \hat{q})$ .

**5.5. Galois correspondence.** Let  $(Q, q)$  be a connected quandle. We wish to establish a correspondence between the following two categories. On the one hand, we have the category  $\mathbf{Cov}_*(Q, q)$  formed by pointed connected coverings  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  and their pointed covering morphisms. On the other hand, we have the category  $\mathbf{Sub}(\pi_1(Q, q))$  formed by subgroups of  $\pi_1(Q, q)$  and homomorphisms given by inclusion. The Galois correspondence establishes a natural equivalence  $\mathbf{Cov}_*(Q, q) \cong \mathbf{Sub}(\pi_1(Q, q))$ .

**Remark 5.19.** In  $\mathbf{Sub}(\pi_1(Q, q))$  inclusion defines a partial order on the set of subgroups. Likewise, in  $\mathbf{Cov}_*(Q, q)$  each set of covering morphisms  $\text{Hom}(p, p')$  is either empty or contains exactly one element (see Corollary 4.10), which expresses a partial preorder.

**Lemma 5.20.** *There exists a unique functor  $\Phi: \mathbf{Cov}_*(Q, q) \rightarrow \mathbf{Sub}(\pi_1(Q, q))$  mapping each covering  $p: (\hat{Q}, \hat{q}) \rightarrow (Q, q)$  to the subgroup  $p_*\pi_1(\hat{Q}, \hat{q}) \subset \pi_1(Q, q)$ .*

*Proof.* Obviously  $\Phi$  is well-defined on objects. Every covering morphism  $\phi$  from  $p$  to  $p'$  entails that  $p_*\pi_1(\hat{Q}, \hat{q}) = p_*\phi_*\pi_1(\hat{Q}, \hat{q}) \subset p_*\pi_1(\hat{Q}', \hat{q}')$ , so that  $\Phi$  is indeed a functor.  $\square$

**Lemma 5.21.** *There exists a unique functor  $\Psi: \mathbf{Sub}(\pi_1(Q, q)) \rightarrow \mathbf{Cov}_*(Q, q)$  mapping each subgroup  $K \subset \pi_1(Q, q)$  to the quotient  $\tilde{Q}_K := K \backslash \tilde{Q}$  of the universal covering  $\tilde{Q}$ .*

*Proof.* We consider the universal covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  constructed in Lemma 5.2. Given a subgroup  $K \subset \pi_1(Q, q)$ , we identify  $K$  with the corresponding subgroup of  $\text{Aut}(p)$ , via the monodromy action explained in Proposition 5.9. This allows us to define the quotient  $\tilde{Q}_K := K \backslash \tilde{Q}$  with base point  $\tilde{q}_K = [\tilde{q}]$  and projection  $p_K: (\tilde{Q}_K, \tilde{q}_K) \rightarrow (Q, q)$  defined by  $p_K([\tilde{x}]) = p(\tilde{x})$ . The result is the covering  $\Psi(K) := p_K$  we wish to consider.

Moreover, if  $K \subset L \subset \pi_1(Q, q)$ , then the covering  $p_L$  is a quotient of the covering  $p_K$ . We thus have a covering morphism from  $p_K$  to  $p_L$ , so that  $\Psi$  is indeed a functor.  $\square$

**Theorem 5.22** (Galois correspondence). *Let  $(Q, q)$  be a connected quandle. Then the functors  $\Phi: \mathbf{Cov}_*(Q, q) \rightarrow \mathbf{Sub}(\pi_1(Q, q))$  and  $\Psi: \mathbf{Sub}(\pi_1(Q, q)) \rightarrow \mathbf{Cov}_*(Q, q)$  establish*



a natural equivalence between the category of pointed connected coverings of  $(Q, q)$  and the category of subgroups of  $\pi_1(Q, q)$ .

*Proof.* We will first prove that  $\Phi\Psi = \text{id}$ . Consider a subgroup  $K \subset \pi_1(Q, q)$  and the associated covering  $p_K: (\tilde{Q}_K, \tilde{q}_K) \rightarrow (Q, q)$ . By Proposition 5.10 we know that the image group  $(p_K)_*\pi_1(\tilde{Q}_K, \tilde{q}_K)$  consists of all  $g \in \text{Adj}(Q)'$  such that  $\tilde{q}_K^g = \tilde{q}_K$ . Comparing this with the construction of the universal covering  $(\tilde{Q}, \tilde{q})$  and its quotient  $(\tilde{Q}_K, \tilde{q}_K)$  we obtain precisely the group  $K$  with which we started out.

Conversely, let us prove that  $\Psi\Phi = \text{id}$ . For every connected covering  $p: (\hat{Q}, \hat{q}) \rightarrow (Q, q)$  the associated group  $K = p_*\pi_1(\hat{Q}, \hat{q})$  defines a covering  $p_K: (\tilde{Q}_K, \tilde{q}_K) \rightarrow (Q, q)$  as above. We already know that  $(p_K)_*\pi_1(\tilde{Q}_K, \tilde{q}_K) = K = p_*\pi_1(\hat{Q}, \hat{q})$ . The Lifting Criterion (Proposition 5.13) implies that there exist covering morphisms  $f: (\tilde{Q}_K, \tilde{q}_K) \rightarrow (\hat{Q}, \hat{q})$  and  $g: (\hat{Q}, \hat{q}) \rightarrow (\tilde{Q}_K, \tilde{q}_K)$ . By the usual uniqueness argument (Corollary 4.10) we conclude that  $f \circ g = \text{id}_{\hat{Q}}$  and  $g \circ f = \text{id}_{\tilde{Q}_K}$ .  $\square$

**Proposition 5.23** (monodromy and deck transformation group). *Consider a connected covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  and the associated subgroup  $K = p_*\pi_1(\tilde{Q}, \tilde{q}) \subset \pi_1(Q, q)$ .*

- (1) *The natural right action  $F \times \pi_1(Q, q) \rightarrow F$  induces a bijection between the fibre  $F = p^{-1}(q)$  and the quotient set  $K \backslash \pi_1(Q, q)$ . In particular, the cardinality of  $F$  equals the index of the subgroup  $K$  in  $\pi_1(Q, q)$ .*
- (2) *Let  $N = \{g \in \pi_1(Q, q) \mid K^g = K\}$  be the normalizer of  $K$  in  $\pi_1(Q, q)$ . There exists a covering transformation  $(\tilde{Q}, \tilde{q}) \rightarrow (\tilde{Q}, \tilde{q})$  if and only if there exists an element  $g \in N$  such that  $\tilde{q}^g = \tilde{q}$ .*
- (3) *We have a natural short exact sequence  $K \hookrightarrow N \twoheadrightarrow \text{Aut}(p)$ . The covering  $p$  is galois if and only if the subgroup  $K$  is normal in  $\pi_1(Q, q)$ . In this case the deck transformation group is  $\text{Aut}(p) \cong \pi_1(Q, q)/K$ .*

*Proof.* Since  $\tilde{Q}$  is connected,  $\pi_1(Q, q)$  acts transitively on the fibre  $F = p^{-1}(q)$ . The stabilizer of  $\tilde{q}$  is precisely the subgroup  $K$ , see Proposition 5.10. Given  $g \in \pi_1(Q, q)$  there exists a covering automorphism  $\phi: (\tilde{Q}, \tilde{q}) \rightarrow (\tilde{Q}, \tilde{q}^g)$  if and only if the subgroups  $p_*\pi_1(\tilde{Q}, \tilde{q}) = K$  and  $p_*\pi_1(\tilde{Q}, \tilde{q}^g) = K^g$  coincide (see the Lifting Criterion, Proposition 5.13). In this case  $\phi$  is unique, and so  $g \mapsto \phi$  defines a surjective group homomorphism  $N \twoheadrightarrow \text{Aut}(p)$ , as in the proof of Proposition 5.9.  $\square$

## 6. CLASSIFICATION OF NON-CONNECTED COVERINGS

**6.1. Non-connected covering quandles.** In this section we deal with coverings  $p: \tilde{Q} \rightarrow Q$  where the base quandle  $Q$  is connected but the covering quandle  $\tilde{Q}$  can be non-connected. Non-connected base quandles are more delicate and will be treated in the next section.

**Proposition 6.1.** *Consider a family of quandle coverings  $p_i: \tilde{Q}_i \rightarrow Q$  indexed by  $i \in I$ . Let  $\tilde{Q} = \bigsqcup_{i \in I} \tilde{Q}_i \times \{i\}$  be their disjoint union with projection  $p: \tilde{Q} \rightarrow Q$ ,  $p(a, i) = p_i(a)$ . There exists a unique quandle structure on  $\tilde{Q}$  that extends the one on each  $\tilde{Q}_i$  and turns  $p$  into a quandle covering. The result is called the union of the given quandle coverings over  $Q$ , denoted by  $(\tilde{Q}, p) = \bigoplus_{i \in I} (\tilde{Q}_i, p_i)$ .*

*Proof.* The point is to define the quandle structure on  $\tilde{Q}$ . Since each  $p_i$  is a covering, the base quandle  $Q$  acts on  $\tilde{Q}_i$  such that  $a * b = a * p_i(b)$  for all  $a, b \in \tilde{Q}_i$ . If there is a compatible quandle structure on  $\tilde{Q}$  such that  $p: \tilde{Q} \rightarrow Q$  becomes a covering, then  $Q$  acts on  $\tilde{Q}$  and we must have  $(a, i) * (b, j) = (a, i) * p_j(b, j) = (a * p_j(b), i)$ . This shows that there can be at most one such structure. In order to prove existence, we equip  $\tilde{Q}$  with the

operation  $(a, i) * (b, j) := (a * p_j(b), i)$ . If  $I$  is non-empty, then it is easily verified that this definition turns  $\tilde{Q}$  into a quandle, and that  $p$  becomes a quandle covering of  $Q$ .  $\square$

**Proposition 6.2.** *Let  $p: \tilde{Q} \rightarrow Q$  be a covering of the connected quandle  $Q$ . We can decompose  $\tilde{Q}$  into connected components  $(\tilde{Q}_i)_{i \in I}$  and define  $p_i: \tilde{Q}_i \rightarrow Q$  by restriction. Then each  $p_i$  is a covering, and  $(\tilde{Q}, p) = \bigoplus_{i \in I} (\tilde{Q}_i, p_i)$  is their union.*

*Proof.* Notice that each  $\tilde{Q}_i$  is an orbit under the action of  $\text{Adj}(Q)$  on  $\tilde{Q}$ , and each  $p_i$  is a covering because it is an  $\text{Adj}(Q)$ -equivariant map. By construction we have the equality of sets and maps,  $(\tilde{Q}, p) = \bigoplus_{i \in I} (\tilde{Q}_i, p_i)$ . The equality of their quandle structures follows from the uniqueness part of the previous proposition.  $\square$

**6.2. Galois correspondence.** Theorem 5.22 above established the correspondence between connected coverings and subgroups of the fundamental group. In the general setting it is more convenient to classify coverings by actions of the fundamental group on the fibre.

**Definition 6.3** (the category of  $G$ -sets). Let  $G$  be a group. A  $G$ -set is a pair  $(X, \alpha)$  consisting of a set  $X$  and a right action  $\alpha: X \times G \rightarrow X$ , denoted by  $\alpha(x, g) = x^g$ . A *morphism*  $\phi: (X, \alpha) \rightarrow (Y, \beta)$  between two  $G$ -sets is an equivariant map  $\phi: X \rightarrow Y$ , i.e. satisfying  $\phi(x^g) = \phi(x)^g$  for all  $x \in X$  and  $g \in G$ . The class of  $G$ -sets and their morphisms form a category, denoted by  $\mathbf{Act}(G)$ .

**Lemma 6.4.** *There exists a canonical functor  $\Phi: \mathbf{Cov}(Q) \rightarrow \mathbf{Act}(\pi_1(Q, q))$  mapping each covering  $p: \tilde{Q} \rightarrow Q$  to  $(F, \alpha)$  where  $F = p^{-1}(q)$  is the fibre over  $q$ , and  $\alpha: F \times \pi_1(Q, q) \rightarrow F$  is the monodromy action.*

*Proof.* Given a covering  $p: \tilde{Q} \rightarrow Q$ , the natural action of  $\text{Adj}(Q)$  on  $\tilde{Q}$  restricts to an action of  $\pi_1(Q, q)$  on the fibre  $F = p^{-1}(q)$ . This defines  $\Phi$  on objects.

Every covering morphism  $\phi: \tilde{Q} \rightarrow \hat{Q}$  is equivariant with respect to the action of  $\text{Adj}(Q)$ . It maps the fibre  $F = p^{-1}(q)$  to the fibre  $\hat{F} = \hat{p}^{-1}(q)$ , and the restriction  $\phi_q: F \rightarrow \hat{F}$  is equivariant with respect to the action of  $\pi_1(Q, q)$ . Hence  $\Phi$  is indeed a functor.  $\square$

**Lemma 6.5.** *There exists a canonical functor  $\Psi: \mathbf{Act}(\pi_1(Q, q)) \rightarrow \mathbf{Cov}(Q)$  mapping each action  $\alpha: F \times \pi_1(Q, q) \rightarrow F$  to the covering  $p_\alpha: \tilde{Q}_\alpha \rightarrow Q$  with  $\tilde{Q}_\alpha = (F \times \tilde{Q})/\pi_1(Q, q)$ , where  $\tilde{Q}$  is the universal connected covering of  $Q$ .*

*Proof.* We start with the universal connected covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$ . According to Proposition 5.9 we have a group isomorphism  $h: \pi_1(Q, q) \xrightarrow{\sim} \text{Aut}(p)$ , such that  $h(g)\tilde{q} = \tilde{q}^g$  for all  $g \in \pi_1(Q, q)$ . Given  $(F, \alpha) \in \mathbf{Act}(\pi_1(Q, q))$ , we quotient the product  $F \times \tilde{Q}$  by the equivalence relation  $(x^g, \tilde{a}) \sim (x, h(g)\tilde{a})$  for all  $x \in F$ ,  $\tilde{a} \in \tilde{Q}$ , and  $g \in \pi_1(Q, q)$ . The quotient  $\tilde{Q}_\alpha := (F \times \tilde{Q})/\sim$  inherits the quandle structure  $[x, \tilde{a}] * [y, \tilde{b}] := [x, \tilde{a} * \tilde{b}]$ . The projection  $p_\alpha: \tilde{Q}_\alpha \rightarrow Q$ ,  $p_\alpha([x, \tilde{a}]) := p(\tilde{a})$  is well-defined and a quandle covering. As a consequence, the action of  $\text{Adj}(Q)$  on  $\tilde{Q}_\alpha$  is given by  $[x, \tilde{a}]^g = [x, \tilde{a}^g]$  for all  $g \in \text{Adj}(Q)$ .

A morphism  $\phi: (X, \alpha) \rightarrow (Y, \beta)$  of  $G$ -sets induces a map  $\phi \times \text{id}: X \times \tilde{Q} \rightarrow Y \times \tilde{Q}$  that descends to a quandle homomorphism on the quotients,  $\bar{\phi}: \tilde{Q}_\alpha \rightarrow \tilde{Q}_\beta$ . This turns out to be a covering morphism from  $p_\alpha$  to  $p_\beta$ , so that  $\Psi$  is indeed a functor.  $\square$

**Theorem 6.6** (Galois correspondence). *Let  $(Q, q)$  be a connected quandle. The functors  $\Phi: \mathbf{Cov}(Q) \rightarrow \mathbf{Act}(\pi_1(Q, q))$  and  $\Psi: \mathbf{Act}(\pi_1(Q, q)) \rightarrow \mathbf{Cov}(Q)$  establish a natural equivalence between the category of coverings of  $Q$  and the category of sets endowed with an action of  $\pi_1(Q, q)$ .*

*Proof.* Before we begin, let us point out that strictly speaking the compositions  $\Psi\Phi$  and  $\Phi\Psi$  are *not* the identity functors. They are, however, naturally equivalent to the identity functors, in the sense of [25, §I.4], and this is what we have to show.

We will first prove that  $\Phi\Psi \cong \text{id}$ . Consider an action  $\alpha: X \times \pi_1(Q, q) \rightarrow X$  and the associated covering  $p_\alpha: \tilde{Q}_\alpha \rightarrow Q$  with fibre  $F_\alpha := p_\alpha^{-1}(q)$ . Recall that  $\text{Aut}(p)$  acts freely and transitively from the left on the fibre  $p^{-1}(q)$  of the universal covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$ . The map  $\psi_\alpha: X \rightarrow F_\alpha, x \mapsto [x, \tilde{q}]$ , is thus a bijection. Moreover, we find

$$\psi_\alpha(x^g) = [x^g, \tilde{q}] = [x, h(g)\tilde{q}] = [x, \tilde{q}^g] = [x, \tilde{q}]^g = \psi_\alpha(x)^g$$

for every  $g \in \pi_1(Q, q)$ . This shows that  $\psi_\alpha: X \rightarrow F_\alpha$  is an equivalence of  $\pi_1(Q, q)$ -sets, as claimed. Naturality in  $\alpha$  is easily verified.

Conversely, let us prove that  $\Psi\Phi \cong \text{id}$ . Consider a quandle covering  $\hat{p}: \hat{Q} \rightarrow Q$  with fibre  $F = \hat{p}^{-1}(q)$  and monodromy action  $\alpha: F \times \pi_1(Q, q) \rightarrow F$ . The universal property of the covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  ensures that there exists a unique covering morphism  $\phi_{\hat{p}}: F \times \tilde{Q} \rightarrow \hat{Q}$  over  $Q$  such that  $\phi_{\hat{p}}(x, \tilde{q}) = x$  for all  $x \in F$ . More explicitly, this map is given by  $(x, (q, g)) \mapsto x^g$  for all  $x \in F$  and  $g \in \text{Adj}(Q)'$ . By construction, this map is surjective and equivariant with respect to the action of  $\text{Adj}(Q)'$ .

For  $g \in \pi_1(Q, q)$  we find  $\phi_{\hat{p}}(x^g, \tilde{a}) = \phi_{\hat{p}}(x, h(g)\tilde{a})$  for all  $x \in F$  and  $\tilde{a} \in \tilde{Q}$ . This means that  $\phi_{\hat{p}}$  descends to a covering morphism  $\bar{\phi}_{\hat{p}}: \tilde{Q}_\alpha \rightarrow \hat{Q}$ . Conversely, if  $\phi_{\hat{p}}(x, \tilde{a}) = \phi_{\hat{p}}(y, \tilde{b})$ , then both maps  $\phi_{\hat{p}}(x, -)$  and  $\phi_{\hat{p}}(y, -)$  have as image the same component of  $\hat{Q}$ , which takes us back to the case of connected coverings. We thus see that  $(x, \tilde{a})$  and  $(y, \tilde{b})$  get identified in  $\tilde{Q}_\alpha$ , which proves that  $\bar{\phi}_{\hat{p}}$  is a covering isomorphism. Naturality in  $\hat{p}$  is easily verified.  $\square$

**Theorem 6.7.** *Let  $Q$  be a connected quandle with base point  $q \in Q$  and let  $\Lambda$  be a group. There exists a natural bijection  $\text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda)$ . If  $\Lambda$  is an abelian group, or more generally a module over some ring  $R$ , then both objects carry natural  $R$ -module structures and the bijection is an  $R$ -module isomorphism.*

*Proof.* Every extension  $E: \Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$  induces a group homomorphism  $h: \pi_1(Q, q) \rightarrow \Lambda$  as in Proposition 5.9. Choosing a base point  $\tilde{q}$  in the fibre  $F = p^{-1}(q)$ , we can identify  $\Lambda$  with  $F$  via the bijection  $\Lambda \xrightarrow{\sim} F, \lambda \mapsto \lambda\tilde{q}$ . The monodromy action of  $\pi_1(Q, q)$  then translates to right multiplication  $\alpha: \Lambda \times \pi_1(Q, q) \rightarrow \Lambda$  with  $(\lambda, g) \mapsto \lambda \cdot h(g)$ .

Conversely, every group homomorphism  $h$  defines a right action  $\alpha: \Lambda \times \pi_1(Q, q) \rightarrow \Lambda$  by  $(\lambda, g) \mapsto \lambda \cdot h(g)$ . Via Theorem 6.6 the action  $\alpha$  corresponds to a covering  $p_\alpha: \tilde{Q}_\alpha \rightarrow Q$ . Multiplication on the left defines an action of  $\Lambda$  on  $\Lambda \times \tilde{Q}$ , which descends to the quotient  $\tilde{Q}_\alpha$  and defines an extension  $E: \Lambda \curvearrowright \tilde{Q}_\alpha \xrightarrow{p} Q$ .

These constructions are easily seen to establish a natural bijection, as desired.  $\square$

## 7. NON-CONNECTED BASE QUANDLES

**7.1. Graded quandles.** So far we have concentrated on connected base quandles. In order to develop a covering theory over non-connected quandles we have to treat all components individually yet simultaneously. The convenient way to do this is to index the components by some fixed set  $I$ , and then to deal with  $I$ -graded objects throughout. The following example illustrates the notions that will appear:

**Example 7.1.** Consider a quandle  $Q$  and its decomposition  $Q = \bigsqcup_{i \in I} Q_i$  into connected components. For every covering  $p: \tilde{Q} \rightarrow Q$  the quandle  $\tilde{Q}$  is graded, with  $\tilde{Q}_i = p^{-1}(Q_i)$ , and  $p$  is a graded map, with  $p_i: \tilde{Q}_i \rightarrow Q_i$  given by restriction. Every deck transformation  $\phi: \tilde{Q} \xrightarrow{\sim} \tilde{Q}$  is a graded map with  $\phi_i: \tilde{Q}_i \xrightarrow{\sim} \tilde{Q}_i$ . The deck transformation group  $G = \text{Aut}(p)$

is a graded group, with  $G_i$  acting by covering transformations on  $\tilde{Q}_i$ , and this action turns  $\tilde{Q}$  into a graded  $G$ -set.

The following definitions make the notions of this example explicit. In the sequel we fix an index set  $I$ . Whenever the context determines  $I$  without ambiguity, the term “graded” will be understood to mean “ $I$ -graded”, that is, graded with respect to our fixed set  $I$ .

**Definition 7.2** (graded quandles). A *graded quandle* is a quandle  $Q = \bigsqcup_{i \in I} Q_i$  partitioned into subsets  $(Q_i)_{i \in I}$  such that  $Q_i * Q_j = Q_i$  for all  $i, j \in I$ . This is equivalent to saying that each  $Q_i$  is a union of connected components. A grading is equivalent to a quandle homomorphism  $\text{gr}: Q \rightarrow I$  from  $Q$  to the trivial quandle  $I$  with fibres  $Q_i = \text{gr}^{-1}(i)$ .

A *homomorphism*  $\phi: Q \rightarrow Q'$  of graded quandles is a quandle homomorphism such that  $\phi(Q_i) \subset Q'_i$  for all  $i \in I$ , or equivalently  $\text{gr} = \text{gr}' \circ \phi$ . Obviously,  $I$ -graded quandles and their homomorphisms form a category, denoted  $\mathbf{Qnd}_I$ .

**Definition 7.3** (graded groups). A *graded group* is a group  $G = \prod_{i \in I} G_i$  together with the collection of groups  $(G_i)_{i \in I}$  that constitute the composition of  $G$  as a product. A *homomorphism* of graded groups  $f: G \rightarrow H$  is a product  $f = \prod_{i \in I} f_i$  of homomorphisms  $f_i: G_i \rightarrow H_i$ . Obviously,  $I$ -graded groups and their homomorphisms form a category, denoted  $\mathbf{Grp}_I$ . A graded subgroup of  $G = \prod_{i \in I} G_i$  is a product  $H = \prod_{i \in I} H_i$  of subgroups  $H_i \subset G_i$ .

**Definition 7.4** (graded  $G$ -sets). A *graded set* is a disjoint union  $X = \bigsqcup_{i \in I} X_i$  together with the partition  $(X_i)_{i \in I}$ . A *graded map*  $\phi: X \rightarrow Y$  between graded sets is a map satisfying  $\phi(X_i) \subset Y_i$  for all  $i \in I$ . Graded sets and maps form a category, denoted  $\mathbf{Sets}_I$ .

A graded (right) action of a graded group  $G$  on a graded set  $X$  is a collection of (right) actions  $\alpha_i: X_i \times G_i \rightarrow X_i$ , denoted by  $\alpha(x, g) = x^g$ . This defines an action of  $G$  on  $X$  via the canonical projections  $G \twoheadrightarrow G_i$ . A *graded  $G$ -set* is a pair  $(X, \alpha)$  consisting of a graded set  $X$  and a graded action  $\alpha$  of  $G$  on  $X$ . A *morphism*  $\phi: (X, \alpha) \rightarrow (Y, \beta)$  between graded  $G$ -sets is a graded map  $\phi: X \rightarrow Y$  satisfying  $\phi(x^g) = \phi(x)^g$  for all  $x \in X$  and  $g \in G$ . Graded  $G$ -sets and their morphisms form a category, denoted by  $\mathbf{Act}_I(G)$ .

**Remark 7.5.** If the index set  $I = \{*\}$  consists of one single element, then all gradings are trivial, and the categories of graded quandles, groups, and sets coincide with the usual (non-graded) notions.

**Remark 7.6.** As Mac Lane [24, §VI.2] points out, it is often most convenient to consider a graded object  $M$  as a collection of objects  $(M_i)_{i \in I}$ ; this is usually called an *external grading*. Depending on the context and the category in which we are working, this can be reinterpreted as an *internally graded* object, say  $\prod_{i \in I} M_i$  or  $\bigsqcup_{i \in I} M_i$  or  $\oplus_{i \in I} M_i$  etc.

For graded sets we use  $\bigsqcup_{i \in I} X_i$ , whereas for graded groups the appropriate structure turns out to be  $\prod_{i \in I} G_i$ . As we have already mentioned, for quandles the situation is special, because the decomposition  $Q = \bigsqcup_{i \in I} Q_i$  is not simply a disjoint union of quandles  $Q_i$ : in general we have to encode a non-trivial action  $Q_i \times Q_j \rightarrow Q_i$ ,  $(a, b) \mapsto a * b$ .

## 7.2. Graded extensions.

**Definition 7.7.** A graded quandle  $Q$  is *connected* (in the graded sense) if each set  $Q_i$  is a connected component of  $Q$ . Likewise, a graded covering  $p: \tilde{Q} \rightarrow Q$  is said to be *connected* if each set  $\tilde{Q}_i = p^{-1}(Q_i)$  is a connected component of  $\tilde{Q}$ . The covering  $p$  is said to be *galois* if, moreover,  $\text{Aut}(p)$  acts transitively on the  $i$ th fibre  $p^{-1}(q_i)$  for each  $i \in I$ .

**Remark 7.8.** Every galois covering  $p: \tilde{Q} \rightarrow Q$  comes with the natural action  $\Lambda \curvearrowright \tilde{Q}$  of the graded deck transformation group  $\Lambda = \text{Aut}(p)$  satisfying the following two axioms:

- (E1)  $(\lambda \tilde{x}) * \tilde{y} = \lambda(\tilde{x} * \tilde{y})$  and  $\tilde{x} * (\lambda \tilde{y}) = \tilde{x} * \tilde{y}$  for all  $\tilde{x}, \tilde{y} \in \tilde{Q}$  and  $\lambda \in \Lambda$ .  
 (E2)  $\Lambda_i$  acts freely and transitively on each fibre  $p^{-1}(x)$  with  $x \in Q_i$ .

Axiom (E2) then says that  $\tilde{Q}_i \rightarrow Q_i$  is a *principal*  $\Lambda_i$ -covering, in the sense that each fibre is a principal  $\Lambda_i$ -set. Notice, however, that we have to consider these actions individually over each component  $Q_i$ ; the groups  $\Lambda_i$  act independently and may vary for different  $i \in I$ .

**Definition 7.9.** A *graded extension*  $E: \Lambda \curvearrowright \tilde{Q} \xrightarrow{p} Q$  of a graded quandle  $Q$  by a graded group  $\Lambda$  consists of a surjective quandle homomorphism  $p: \tilde{Q} \rightarrow Q$  and a graded group action  $\Lambda \curvearrowright \tilde{Q}$  satisfying the axioms (E1) and (E2). They entail that  $p$  is a quandle covering, and the action of  $\Lambda$  defines an injective homomorphism  $\Lambda \rightarrow \text{Aut}(p)$  of graded groups.

**7.3. Universal coverings.** As before we will have to choose base points in order to obtain uniqueness properties. To this end we equip each component with its own base point.

**Definition 7.10** (pointed quandles). A *pointed quandle*  $(Q, q)$  is a graded quandle  $Q = \bigsqcup_{i \in I} Q_i$  with a base point  $q_i \in Q_i$  for each  $i \in I$ . In other words, if the partition is seen as a quandle homomorphism  $\text{gr}: Q \rightarrow I$ , then the choice of base points is a section  $q: I \rightarrow Q$ ,  $\text{gr} \circ q = \text{id}_I$ . We call  $(Q, q)$  *well-pointed* if  $q$  specifies one base point in each component, that is, the induced map  $\pi_0 \circ q: I \rightarrow \pi_0(Q)$  is a bijection between  $I$  and the set of connected components of  $Q$ .

A homomorphism  $\phi: (Q, q) \rightarrow (Q', q')$  between pointed quandles is a quandle homomorphism  $\phi: Q \rightarrow Q'$  such that  $\phi \circ q = q'$ . Obviously,  $I$ -pointed quandles and their homomorphisms form a category, denoted  $\mathbf{Qnd}_I^*$ .

**Lemma 7.11.** Let  $(Q, q)$  be a well-pointed quandle with connected components  $(Q_i, q_i)_{i \in I}$ . Let  $\text{Adj}(Q)^\circ$  be the kernel of the group homomorphism  $\varepsilon: \text{Adj}(Q) \rightarrow \mathbb{Z}$  with  $\varepsilon(\text{adj}(Q)) = 1$ . For each  $i \in I$  we define

$$\tilde{Q}_i := \{ (a, g) \in Q_i \times \text{Adj}(Q)^\circ \mid a = q_i^g \}, \quad \tilde{q}_i := (q_i, 1).$$

The disjoint union  $\tilde{Q} = \bigsqcup_{i \in I} \tilde{Q}_i$  becomes a graded quandle with the operations

$$\begin{aligned} (a, g) * (b, h) &:= (a * b, g \cdot \text{adj}(a)^{-1} \cdot \text{adj}(b)), \\ (a, g) \bar{*} (b, h) &:= (a \bar{*} b, g \cdot \text{adj}(a) \cdot \text{adj}(b)^{-1}). \end{aligned}$$

The quandle  $\tilde{Q}$  comes with a natural augmentation  $\tilde{Q} \xrightarrow{p} \text{Adj}(Q) \xrightarrow{\alpha} \text{Inn}(\tilde{Q})$ , where  $\rho(b, h) = \text{adj}(b)$  and  $\alpha$  is defined by the action

$$\tilde{Q}_i \times \text{Adj}(Q) \rightarrow \tilde{Q}_i \quad \text{with} \quad (a, g)^h := (a^h, \text{adj}(q_i)^{-\varepsilon(h)} \cdot gh).$$

The subgroup  $\text{Adj}(Q)^\circ$  acts freely and transitively on each  $\tilde{Q}_i$ . As a consequence, the connected components of  $\tilde{Q}$  are the sets  $\tilde{Q}_i$ , and so  $\tilde{Q}$  is connected in the graded sense.

The canonical projection  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  given by  $p(a, g) = a$  is a surjective quandle homomorphism, and equivariant with respect to the action of  $\text{Adj}(Q)$ .  $\square$

**Theorem 7.12.** Let  $(Q, q)$  be a well-pointed quandle and let  $(\tilde{Q}, \tilde{q})$  be defined as above. Then the projection  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  is the universal quandle covering of  $(Q, q)$ .  $\square$

The verification of this and the following results in the graded case are a straightforward transcription of our previous arguments for the non-graded case of connected quandles, and will be omitted.

#### 7.4. Fundamental group and Galois correspondence.

**Definition 7.13.** We call  $\pi_1(Q, q_i) = \{g \in \text{Adj}(Q)^\circ \mid q_i^g = q_i\}$  the fundamental group of the quandle  $Q$  based at  $q_i \in Q$ . For a pointed graded quandle  $(Q, q)$  we define the graded fundamental group to be the product  $\pi_1(Q, q) := \prod_{i \in I} \pi_1(Q, q_i)$ .

**Proposition 7.14.** For the universal covering  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  as above, we obtain a canonical isomorphism  $\phi: \pi_1(Q, q) \xrightarrow{\sim} \text{Aut}(p)$  of graded groups from the graded left action  $\pi_1(Q, q_i) \times \tilde{Q}_i \rightarrow \tilde{Q}_i$  defined by  $h \cdot (a, g) = (a, hg)$ .  $\square$

**Proposition 7.15** (functoriality). Every homomorphism  $f: (Q, q) \rightarrow (Q', q')$  of pointed quandles induces a homomorphism  $f_*: \pi_1(Q, q) \rightarrow \pi_1(Q', q')$  of graded fundamental groups. We thus obtain a functor  $\pi_1: \mathbf{Qnd}_I^* \rightarrow \mathbf{Grp}_I$  from the category of  $I$ -pointed quandles to the category of  $I$ -graded groups.  $\square$

**Proposition 7.16** (lifting criterion). Let  $p: (\tilde{Q}, \tilde{q}) \rightarrow (Q, q)$  be a quandle covering and let  $f: (X, x) \rightarrow (Q, q)$  be a quandle homomorphism from a well-pointed quandle  $(X, x)$  to the base quandle  $(Q, q)$ . Then there exists a lifting  $\tilde{f}: (X, x) \rightarrow (\tilde{Q}, \tilde{q})$ ,  $p \circ \tilde{f} = f$ , if and only if  $f_* \pi_1(X, x) \subset p_* \pi_1(\tilde{Q}, \tilde{q})$ . In this case the lifting  $\tilde{f}$  is unique.  $\square$

**Theorem 7.17** (Galois correspondence for well-pointed coverings). Let  $(Q, q)$  be a well-pointed quandle indexed by some set  $I$ . The canonical functors  $\mathbf{Cov}_I(Q, q) \rightarrow \mathbf{Sub}_I(\pi_1(Q, q))$  and  $\mathbf{Sub}_I(\pi_1(Q, q)) \rightarrow \mathbf{Cov}_I(Q, q)$  establish a natural equivalence between the category of well-pointed coverings of  $(Q, q)$  and the category of graded subgroups of  $\pi_1(Q, q)$ .  $\square$

**Theorem 7.18** (Galois correspondence for general coverings). Let  $(Q, q)$  be a well-pointed quandle indexed by some set  $I$ . The canonical functors  $\mathbf{Cov}(Q) \rightarrow \mathbf{Act}_I(\pi_1(Q, q))$  and  $\mathbf{Act}_I(\pi_1(Q, q)) \rightarrow \mathbf{Cov}(Q)$  establish a natural equivalence between the category of coverings of  $(Q, q)$  and the category of graded actions of  $\pi_1(Q, q)$ .  $\square$

**Theorem 7.19.** Let  $(Q, q)$  be a well-pointed quandle indexed by some set  $I$ , and let  $\Lambda$  be a graded group. There exists a natural bijection  $\text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda)$ . If  $\Lambda$  is a graded abelian group, or more generally a graded module over some ring  $R$ , then both objects carry natural  $R$ -module structures and the natural bijection is a graded  $R$ -module isomorphism.  $\square$

**Example 7.20.** The covering theory of non-connected quandles allows us to complete the discussion of the quandle  $Q_{m,n} = \mathbb{Z}_m \sqcup \mathbb{Z}_n$  begun in Example 1.6. We set  $\ell = \text{gcd}(m, n)$ . From Proposition 2.38 we deduce that

$$\text{Adj}(Q_{m,n})^\circ = \left\{ \begin{pmatrix} 1 & -s & t \\ 0 & 1 & +s \\ 0 & 0 & 1 \end{pmatrix} \mid s \in \mathbb{Z}, t \in \mathbb{Z}_\ell \right\} \subset H / \langle z^\ell \rangle.$$

The shown matrix acts as  $a \mapsto a + s$  on  $a \in \mathbb{Z}_m$ , and as  $b \mapsto b - s$  on  $b \in \mathbb{Z}_n$ , which entails  $\pi_1(Q, a) = m\mathbb{Z} \times \mathbb{Z}_\ell$  and  $\pi_1(Q, b) = n\mathbb{Z} \times \mathbb{Z}_\ell$ . The universal covering  $p: \tilde{Q} \rightarrow Q_{m,n}$  can be constructed as in Lemma 7.11. After some calculation this leads to  $Q_\ell = A \sqcup B$ , where  $A$  and  $B$  are copies of  $\mathbb{Z} \times \mathbb{Z}_\ell$  with  $\ell = \text{gcd}(m, n)$ , and the quandle structure

$$(a, a') * (b, b') = \begin{cases} (a, a' + b - a) & \text{if } (a, a'), (b, b') \in A \text{ or if } (a, a'), (b, b') \in B, \\ (a + 1, a' - b) & \text{otherwise.} \end{cases}$$

The quandle  $Q_\ell$  has two connected components,  $A$  and  $B$ , so it is connected in the graded sense. The projection  $p: Q_\ell \rightarrow Q_{m,n}$  is defined by  $A \rightarrow \mathbb{Z}_m$ ,  $(a, a') \mapsto a \bmod m$ , and  $B \rightarrow \mathbb{Z}_n$ ,  $(b, b') \mapsto b \bmod n$ . This is the universal covering of  $Q_{m,n}$ , and any other covering that is connected in the graded sense is obtained by quotienting out some graded subgroup of  $\text{Aut}(p) \cong \pi_1(Q, a) \times \pi_1(Q, b)$ .

Notice that in the special case  $\ell = 1$  we obtain the obvious covering  $Q_{0,0} \rightarrow Q_{m,n}$ , but even in this toy example the general case would be difficult to analyze without the classification theorem.

**7.5. Application to link quandles.** Given an  $n$ -component link  $K = K_1 \sqcup \cdots \sqcup K_n \subset \mathbb{S}^3$ , we choose a base point  $q_K^i \in Q_K$  for each link component  $K_i$ . The adjoint group  $\text{Adj}(Q_K)$  is isomorphic to the fundamental group  $\pi_K = \pi_1(\mathbb{S}^3 \setminus K)$ , and each element  $q_K^i$  maps to a meridian  $m_K^i = \text{adj}(q_K^i) \in \pi_K$ . We denote by  $\ell_K^i \in \pi_K$  the corresponding longitude.

The universal covering  $p: \tilde{Q}_K \rightarrow Q_K$  can formally be constructed as in Lemma 7.11. Its geometric interpretation has been studied in [9] in terms of quandle homology  $H_2(Q_K)$  and orientation classes  $[K_i] \in H_2(Q_K)$ . We are now in position to go one step further and determine the fundamental group:

**Theorem 7.21.** *Over each component  $Q_K^i \subset Q_K$  the automorphism group of the universal covering  $p: \tilde{Q}_K \rightarrow Q_K$  is given by  $\text{Aut}(p)_i = \pi_1(Q_K, q_K^i) = \langle \ell_K^i \rangle$ . For the graded fundamental group this means that  $\pi_1(Q_K, q_K) = \text{Aut}(p) = \prod_{i=1}^n \langle \ell_K^i \rangle$ .*

*Proof.* Fixing a link component  $K_i$ , we can construct a long link  $L \subset \mathbb{R}^3$  by opening  $K_i$  while leaving all other components closed. This is the same as removing from the pair  $(\mathbb{S}^3, K)$  a point on  $K_i$  so as to obtain the pair  $(\mathbb{R}^3, L)$ . In particular, the correspondence  $(\mathbb{S}^3, K) \leftrightarrow (\mathbb{R}^3, L)$  is well-defined when we pass to isotopy classes. The associated quandle  $Q_L$  has two distinguished elements  $q_L$  and  $q_L^*$ , corresponding to the beginning and the end of the open component, respectively. The natural quandle homomorphism  $p_i: Q_L \rightarrow Q_K$  is the quotient obtained by identifying  $q_L$  and  $q_L^*$ , both being mapped to  $q_K^i = p_i(q_L) = p_i(q_L^*)$ .

While  $p_i: Q_L \rightarrow Q_K$  is in general not an isomorphism between the quandles  $Q_L$  and  $Q_K$ , the induced map  $\text{Adj}(p_i): \text{Adj}(Q_L) \rightarrow \text{Adj}(Q_K)$  is always an isomorphism between the adjoint groups  $\text{Adj}(Q_L) = \pi_L = \pi_1(\mathbb{R}^3 \setminus L)$  and  $\text{Adj}(Q_K) = \pi_K = \pi_1(\mathbb{S}^3 \setminus K)$ . In particular, this implies that  $p_i: (Q_L, q_L) \rightarrow (Q_K, q_K)$  is a quandle covering, and an isomorphism over all components except  $Q_K^i$ .

Let  $\hat{p}_i: (\hat{Q}_K, \hat{q}_K^i) \rightarrow (Q_K, q_K^i)$  be the covering that is universal over  $Q_K^i$  and an isomorphism over all other components. Then one can construct an isomorphism  $(Q_L, q_L) \xrightarrow{\sim} (\hat{Q}_K, \hat{q}_K^i)$  of quandle coverings over  $(Q_K, q_K^i)$  as in [9, Theorem 30]. In particular, we obtain a canonical group isomorphism  $\text{Aut}(p_i) \cong \pi_1(Q_K, q_K^i)$  as in Proposition 7.14.

The longitude  $\ell_K^i$  satisfies  $(q_K^i)^{\ell_K^i} = q_K^i$ , so  $\ell_K^i \in \pi_1(Q_K, q_K^i)$ . Moreover,  $(q_L)^{\ell_K^i} = q_L^*$ , so the quotient of  $Q_L$  by the subgroup  $\langle \ell_K^i \rangle \subset \text{Aut}(p_i)$  yields  $\langle \ell_K^i \rangle \backslash Q_L = Q_K$ . To see this, notice that we have a canonical projection  $\langle \ell_K^i \rangle \backslash Q_L \rightarrow Q_K$  as a quotient of the covering  $Q_L \rightarrow Q_K$ . Inversely, we have a canonical map  $Q_K \rightarrow \langle \ell_K^i \rangle \backslash Q_L$  by the universal property of the quotient  $Q_K = Q_L / (q_L = q_L^*)$ . We conclude that  $\text{Aut}(p)_i = \text{Aut}(p_i) = \langle \ell_K^i \rangle$ .  $\square$

A link component  $K_i \subset K$  is called *trivial*, if there exists an embedded disk  $D \subset \mathbb{S}^3$  with  $K_i = K \cap D = \partial D$ . Using the Loop Theorem of Papakyriakopoulos [31] we conclude:

**Corollary 7.22.** *For a link  $K \subset \mathbb{S}^3$  the following assertions are equivalent:*

- (1) *The link component  $K_i \subset K$  is trivial.*
- (2) *The fundamental group  $\pi_1(Q_K, q_K^i)$  is trivial.*
- (3) *The longitude  $\ell_K^i \in \pi_K$  is trivial.*

*Conversely, if the link component  $K_i$  is non-trivial, then the fundamental group  $\pi_1(Q_K, q_K^i)$  of the quandle  $Q_K$  based at  $q_K^i$  is freely generated by the longitude  $\ell_K^i$ .*

*Proof.* The implications (1)  $\Rightarrow$  (2)  $\Leftrightarrow$  (3) follow from  $\pi_1(Q_K, q_K^i) = \langle \ell_K^i \rangle$ , established in the previous theorem, while (3)  $\Rightarrow$  (1) is a consequence of the Loop Theorem [31]. If  $K$  is non-trivial, then  $\ell_K^i$  is of infinite order, and thus freely generates  $\pi_1(Q_K, q_K^i)$ .  $\square$

## 8. FUNDAMENTAL GROUPOID OF A QUANDLE

As in the case of topological spaces, the choice of a base point  $q \in Q$  in the definition of  $\pi_1(Q, q)$  focuses on one connected component and neglects the others. If we do not want to fix base points, then the fundamental groupoid is the appropriate tool. (See Spanier [33, §1.7], Brown [4, chap. 9], and May [27, chap. 3]). We shall expound this idea in the present section because it explains the striking similarity between quandles and topological spaces.

**8.1. Groupoids.** We recall that a *groupoid* is a small category in which each morphism is an isomorphism. In geometric language one considers its objects as “points”  $a, b, \dots$  and its morphisms  $a \rightarrow b$  as “paths” (or, more frequently, equivalence classes of paths).

**Example 8.1.** The classical example is the fundamental groupoid  $\Pi(X)$  of a topological space  $X$ : this is the category whose objects are the points  $x \in X$  and whose morphisms  $x \rightarrow y$  are the homotopy classes of paths from  $x$  to  $y$ . There exists a morphism  $x \rightarrow y$  if and only if  $x$  and  $y$  belong to the same path-component. The group of automorphisms of an object  $x$  is exactly the fundamental group  $\pi_1(X, x)$  of  $X$  based at  $x$ .

**Example 8.2.** Consider a set  $Q$  with a group action  $Q \times G \rightarrow Q$ , denoted by  $(a, g) \mapsto a^g$ . We can then define the groupoid

$$\Pi(Q, G) := \{ (a, g, b) \in Q \times G \times Q \mid a^g = b \}.$$

Here the objects are given by elements  $a \in Q$ , and the morphisms from  $a$  to  $b$  are the triples  $(a, g, b) \in \Pi(Q, G)$ . Their composition is defined by  $(a, g, b) \circ (b, h, c) = (a, gh, c)$ . There exists a morphism  $a \rightarrow b$  if and only if  $a$  and  $b$  belong to the same  $G$ -orbit. The group of automorphisms of an object  $a$  is exactly the stabilizer of  $a$  in  $G$ .

**Definition 8.3.** For a quandle  $Q$  we call  $\Pi(Q, \text{Adj}(Q)^\circ)$  the *fundamental groupoid* of  $Q$ .

**Remark 8.4** (connected components). Already Joyce noticed some analogy between quandles and topological spaces when he introduced the terminology “connected component” of  $Q$  to signify an orbit with respect to the inner automorphism group  $\text{Inn}(Q)$ . (This was probably motivated by the example of symmetric spaces, where both notions of connectedness coincide, see Remark 3.15.) This turned out to be a very fortunate and intuitive wording, and connectedness arguments have played a crucial rôle for all subsequent investigations of quandles. The connected components of the quandle  $Q$  are precisely those of the groupoid  $\Pi(Q, \text{Adj}(Q)^\circ)$ , see Remark 2.34.

**Remark 8.5** (fundamental group). According to the previous remark one can partition a quandle  $Q$  into the set  $\pi_0(Q)$  of connected components, and with a little bit of naïveté one could wonder what the fundamental group  $\pi_1(Q, q)$  should be. In the above groupoid we recover the fundamental group  $\pi_1(Q, q) = \{g \in \text{Adj}(Q)^\circ \mid q^g = q\}$  based at  $q \in Q$  as the group of automorphisms of the object  $q$  in the category  $\Pi(Q, \text{Adj}(Q)^\circ)$ . For base points  $q, q'$  in the same component of  $Q$ , these groups are isomorphic by a conjugation in  $\Pi(Q, \text{Adj}(Q)^\circ)$ . As usual this isomorphism is not unique, unless  $\pi_1(Q, q)$  is abelian.

**Remark 8.6** (coverings). There exists an extensive literature on groupoids because they generalize and simplify recurring arguments in seemingly different situations, notably in



diverse Galois theories, just as in our setting of coverings and fundamental groups of quandles. The universal covering quandle  $(\tilde{Q}, \tilde{q})$  constructed in Lemmas 5.2 and 7.11 reappears here as the set of paths based at  $q$  (with arbitrary endpoint). This is exactly the path fibration used to construct the universal covering of a topological space, or more generally of a groupoid. We refer to the excellent introduction of May [27, chap. 3].

In conclusion, the “generic part” of quandle covering theory can be recast in the general language of groupoid coverings. The initial problem, however, is to construct the appropriate groupoid. Several groupoid structures are imaginable, and one cannot easily guess the appropriate one: a priori one can choose many groups acting on  $Q$ , for example  $\text{Adj}(Q)$ ,  $\text{Aut}(Q)$ ,  $\text{Inn}(Q)$ , or  $\text{Inn}(Q)^\circ$ , but only the choice  $\text{Adj}(Q)^\circ$  yields the groupoid that is dual to quandle coverings. The difficulty is thus resolved by first analyzing coverings, which seem to be the more natural notion.

It should also be noted that the unifying concept of groupoids does not cover the whole theory of quandle coverings. Besides its “generic” aspects, the latter also has its distinctive “non-standard” features. These have been pointed out in §1.6 and merit special attention. This is why we have preferred to present all constructions in detail.

**8.2. Combinatorial homotopy.** For future reference, let us give another derivation how the group  $\text{Adj}(Q)^\circ$  and the associated groupoid  $\Pi(Q, \text{Adj}(Q)^\circ)$  appear naturally — as the groupoid of combinatorial paths modulo combinatorial homotopy.

**Definition 8.7.** Let  $Q$  be a quandle. Consider the graph  $\Gamma$  with vertices  $q \in Q$  and edges  $a \xrightarrow{b} c$  for each triple  $a, b, c \in Q$  with  $a * b = c$ . A *combinatorial path* from  $q$  to  $q'$  in  $\Gamma$  is a sequence of vertices  $q = a_0, a_1, \dots, a_{n-1}, a_n = q' \in Q$  and arrows  $b_1^{\varepsilon_1}, \dots, b_n^{\varepsilon_n}$  with  $b_i \in Q$  and  $\varepsilon_i \in \{\pm 1\}$  for all  $i$ , such that  $a_{i-1} * b_i = a_i$  for  $\varepsilon_i = +1$  and  $a_{i-1} \bar{*} b_i = a_i$  for  $\varepsilon_i = -1$ . The sign  $\varepsilon_i$  is just a convenient way to denote the orientation of the  $i$ th arrow:

$$(a \xrightarrow{b^+} a * b) = (a \xrightarrow{b} a * b) \quad \text{and} \quad (a \xrightarrow{b^-} a \bar{*} b) = (a \xleftarrow{b} a \bar{*} b).$$

Let  $P(Q)$  be the category having as objects the elements  $q \in Q$  and as morphisms from  $q$  to  $q'$  the set of combinatorial paths from  $q$  to  $q'$ . Composition is given by juxtaposition:

$$(a_0 \rightarrow \dots \rightarrow a_m) \circ (a_m \rightarrow \dots \rightarrow a_n) = (a_0 \rightarrow \dots \rightarrow a_m \rightarrow \dots \rightarrow a_n).$$

Two combinatorial paths are *homotopic* if they can be transformed one into the other by a sequence of the following local moves and their inverses:

- (H1)  $a \xrightarrow{a} a$  is replaced by  $a$ , or  $a \xleftarrow{a} a$  is replaced by  $a$ .
- (H2)  $a \xrightarrow{b} a * b \xleftarrow{b} a$  is replaced by  $a$ , or  $a \xleftarrow{b} a \bar{*} b \xrightarrow{b} a$  is replaced by  $a$ .
- (H3)  $a \xrightarrow{b} a * b \xrightarrow{c} (a * b) * c$  is replaced by  $a \xrightarrow{c} a * c \xrightarrow{b * c} (a * c) * (b * c)$ .

We denote by  $\Pi(Q)$  the quotient category having as objects the elements  $q \in Q$  and as morphisms from  $q$  to  $q'$  the set of homotopy classes of combinatorial paths from  $q$  to  $q'$ .

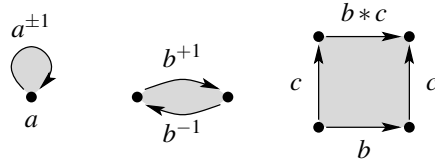


FIGURE 2. Elementary homotopies for paths in  $P(Q)$

**Proposition 8.8.** *The category  $\Pi(Q)$  is a groupoid, that is, every morphism is invertible. Moreover, there exists a natural isomorphism  $\Phi: \Pi(Q) \xrightarrow{\sim} \Pi(Q, \text{Adj}(Q)^\circ)$ , given by*

$$[a_0 \xrightarrow{b_1^{\varepsilon_1}} \cdots \xrightarrow{b_n^{\varepsilon_n}} a_n] \mapsto (a_0, g, a_n) \quad \text{with} \quad g = a_0^{-\sum_i \varepsilon_i} b_1^{\varepsilon_1} \cdots b_n^{\varepsilon_n} \in \text{Adj}(Q)^\circ$$

*Proof.* The homotopy relation (H2) above ensures that  $\Pi(Q)$  is a groupoid. It is straightforward to verify that the map  $\Phi$  is well-defined: a homotopy (H1) does not change the element  $g \in \text{Adj}(Q)^\circ$  due to the normalization with  $a_0^{-\sum_i \varepsilon_i}$ . A homotopy (H2) translates to  $b^\pm b^\mp = 1$ . A homotopy (H3) translates to one of the defining relations  $c \cdot (b * c) = b \cdot c$  of the adjoint group  $\text{Adj}(Q)$ . By construction,  $\Phi$  sends composition in  $\Pi(Q)$  to composition in  $\Pi(Q, \text{Adj}(Q)^\circ)$ , so it is a functor. Obviously  $\Phi$  is a bijection on objects  $q \in Q$ , and it is easy to see that it is also a bijection on morphisms.  $\square$

**8.3. Classifying spaces.** As usual, combinatorial paths and combinatorial homotopy can be realized by a suitable topological space  $K$ : it suffices to take the graph  $\Gamma$  as 1-skeleton and to glue a 2-cell for each relation of type (H1) and (H3). (Relation (H2) is automatic, since both  $a \xrightarrow{b^+} a * b$  and  $a \xleftarrow{b^-} a * b$  are actually represented by the same edge.) This ensures that  $\Pi(Q)$  is the edge-path groupoid of the resulting 2-dimensional (cubical) complex  $K$ ; see Spanier [33, §3.6] for the simplicial case.

When we go back to the sources of quandle and rack cohomology, we thus rediscover yet another approach to the fundamental group  $\pi_1(Q, q)$  of a quandle  $Q$ , which is entirely topological and has the merit to open up the way to a full-fledged homotopy theory: Fenn, Rourke, and Sanderson [13] constructed a classifying space  $BX$  for a rack  $X$ , which allowed them to define (co)homology and homotopy groups for each rack. Their construction can be adapted to quandles  $Q$ , so that the resulting classifying space  $BQ$  is a topological model for quandle (co)homology  $H_*(Q) = H_*(BQ)$  and  $H^*(Q) = H^*(BQ)$ . Our construction of  $K$  corresponds precisely to the 2-skeleton of  $BQ$ .

The homotopy groups  $\pi_n(BQ)$  have not yet played a rôle in the study of quandles. It turns out, however, that our algebraic fundamental group  $\pi_1(Q, q)$  coincides with the fundamental group of the classifying space,  $\pi_1(BQ, q)$ , at least in the case of a connected quandle. Starting from the algebraic notion of quandle covering, we thus recover and remotivate the topological construction of Fenn, Rourke, and Sanderson.

**8.4. Theft or honest toil?** In order to define the fundamental group of a quandle  $Q$ , one could thus take its classifying space  $BQ$  and set  $\pi_1(Q, q) := \pi_1(BQ, q)$ . Does this mean that we could entirely replace the algebraic approach by its topological counterpart? Two arguments suggest that this is not so:

- Even with an independent *topological* definition of  $\pi_1(Q, q)$ , one would still have to prove that the *algebraic* covering theory of quandles behaves the way it does, and in particular is governed by the fundamental group so defined, in order to establish and exploit their relationship.
- Quandle coverings differ in some crucial details from topological coverings (§1.6), which means that both theories cannot be equivalent in any superficial way. It is thus justified and illuminating to develop the algebraic theory independently.

In conclusion it appears that algebraic coverings are interesting in their own right, and that the algebraic and the topological viewpoint are complementary.

## 9. EXTENSIONS AND COHOMOLOGY

Our goal in this final section is to establish a correspondence between quandle extensions  $E: \Lambda \curvearrowright \hat{Q} \rightarrow Q$  and elements of the second cohomology group  $H^2(Q, \Lambda)$ . For abelian

groups  $\Lambda$  this is classical for group extensions (see for example Mac Lane [24, §IV.4] or Brown [3, §IV.3]) and has previously been translated to quandle extensions. This correspondence has to be generalized in two directions in order to apply to our general setting:

- The usual formulation is most appealing for abelian groups  $\Lambda$ , and has been independently developed in [6] and [9]. For general galois coverings and extension, however, the coefficient group  $\Lambda$  can be non-abelian.
- For non-connected quandles the notion of extension must be refined in the graded sense, because different components have to be treated individually. The corresponding cohomology theory  $H^2(Q, \Lambda)$  deals with a graded quandle  $Q$  and a graded group  $\Lambda$ , both indexed by some fixed set  $I$ .

For racks such a non-abelian cohomology theory has previously been proposed by N. Andruskiewitsch and M. Graña [1, §4]. In view of knot invariants, this has been adapted to a non-abelian quandle cohomology in [5]. We will complete this approach by establishing a natural bijection between  $\text{Ext}(Q, \Lambda)$  and  $H^2(Q, \Lambda)$  in the non-abelian graded setting, which specializes to the previous formulation in the abelian non-graded case.

**9.1. Non-abelian graded quandle cohomology.** Let  $Q = \bigsqcup_{i \in I} Q_i$  be a graded quandle and let  $\Lambda = \prod_{i \in I} \Lambda_i$  be a graded group. We do not assume  $\Lambda$  to be abelian and will thus use multiplicative notation.

**Remark 9.1.** The first cohomology  $H^1(Q, \Lambda)$  consists of all graded maps  $g: Q \rightarrow \Lambda$  with  $g(Q_i) \subset \Lambda_i$ , such that  $g(a) = g(a * b)$  for all  $a, b \in Q$ . These are the class functions, i.e. functions that are constant on each connected component of  $Q$ . Notice that the grading of  $Q = \bigsqcup_{i \in I} Q_i$  turns  $H^1$  into a graded group,  $H^1(Q, \Lambda) = \prod_{i \in I} H^1(Q_i, \Lambda_i)$ . If  $Q$  is graded connected, i.e. each  $Q_i$  is a connected component of  $Q$ , then  $H^1(Q, \Lambda) = \prod_{i \in I} \Lambda_i = \Lambda$ .

In order to define  $H^2(Q, \Lambda)$  we proceed as follows.

**Definition 9.2.** The grading of the quandle  $Q = \bigsqcup_{i \in I} Q_i$  induces a grading of the product  $Q \times Q = \bigsqcup_{i \in I} Q_i \times Q_i$ . A 2-cochain is a graded map  $f: Q \times Q \rightarrow \Lambda$  with  $f(Q_i \times Q_i) \subset \Lambda_i$ , such that  $f(a, a) = 1$  for all  $a \in Q$ . We say that  $f$  is a 2-cocycle if

$$f(a, b)f(a * b, c) = f(a, c)f(a * c, b * c) \quad \text{for all } a, b, c \in Q.$$

We denote by  $Z^2(Q, \Lambda)$  the set of 2-cocycles. We say that two cocycles  $f, f' \in Z^2(Q, \Lambda)$  are *cohomologous* if there exists a graded map  $g: Q \rightarrow \Lambda$  with  $g(Q_i) \subset \Lambda_i$  such that

$$f(a, b) = g(a)^{-1} f'(a, b) g(a * b) \quad \text{for all } a, b \in Q.$$

This is an equivalence relation on  $Z^2(Q, \Lambda)$ , and we denote by  $H^2(Q, \Lambda)$  the quotient set. Its elements are *cohomology classes*  $[f]$  of 2-cocycles  $f \in Z^2(Q, \Lambda)$ .

**Remark 9.3.** Notice that the set  $C^2$  of 2-cochains decomposes as  $C^2 = \prod_{i \in I} C_i^2$  where  $C_i^2$  consists of maps  $f_i: Q_i \times Q_i \rightarrow \Lambda_i$ . Likewise, we obtain  $Z^2 = \prod_{i \in I} Z_i^2$  and  $H^2 = \prod_{i \in I} H_i^2$ .

In the case where  $\Lambda$  is an abelian group, or more generally a module over some ring  $R$ , one can define in every degree  $n \in \mathbb{N}$  an  $R$ -module  $C^n(Q, \Lambda)$  of quandle  $n$ -cochains with values in  $\Lambda$ , together with  $R$ -linear maps  $\delta_n: C^n \rightarrow C^{n+1}$  satisfying  $\delta_n \delta_{n-1} = 0$ . Such a cochain complex allows us, as usual, to define the submodule of  $n$ -cocycles  $Z^n = \ker(\delta_n)$  and its submodule of  $n$ -coboundaries  $B^n = \text{im}(\delta_{n-1})$ , and finally the cohomology  $H^n = Z^n / B^n$  as their quotient module. This construction respects the  $I$ -grading, and so cochains  $C^n = \prod_{i \in I} C_i^n$ , cocycles  $Z^n = \prod_{i \in I} Z_i^n$ , coboundaries  $B^n = \prod_{i \in I} B_i^n$ , and finally cohomology  $H^n = \prod_{i \in I} H_i^n$  are  $I$ -graded modules.

In the non-abelian case we content ourselves with  $H^1$  and  $H^2$ . Notice that  $H^1$  can be given a group structure by point-wise multiplication. For  $H^2$  pointwise multiplication works if  $\Lambda$  is abelian, but it fails in the non-abelian case. This means that the quotient  $H^2(Q, \Lambda)$  is in general only a set. It has nonetheless a canonical base point, namely the class  $[1]$  of the trivial 2-cocycle  $Q \times Q \rightarrow \{1\}$ , which plays the rôle of the neutral element.

**Remark 9.4** (functoriality in  $Q$ ). Every graded quandle homomorphism  $\phi: Q' \rightarrow Q$  induces a natural graded map  $\phi^*: H^2(Q, \Lambda) \rightarrow H^2(Q', \Lambda)$  mapping the trivial class to the trivial class. More explicitly,  $\phi^*$  sends  $[f]$  to  $[\phi^*f]$ , where  $f \in Z^2(Q, \Lambda)$  is mapped to  $\phi^*f \in Z^2(Q', \Lambda)$  defined by  $(\phi^*f)(a', b') = f(\phi(a'), \phi(b'))$ .

**Remark 9.5** (functoriality in  $\Lambda$ ). Every graded group homomorphism  $h: \Lambda \rightarrow \Lambda'$  induces a natural graded map  $h_*: H^2(Q, \Lambda) \rightarrow H^2(Q, \Lambda')$  mapping the trivial class to the trivial class. More explicitly,  $h_*$  sends  $[f]$  to  $[h_*f]$ , defined by composing  $f: Q \times Q \rightarrow \Lambda$  with the group homomorphism  $h: \Lambda \rightarrow \Lambda'$ .

**9.2. Classification of extensions.** It is a classical result of group cohomology that central extensions of a group  $G$  with kernel  $\Lambda$  are classified by the second cohomology group  $H^2(G, \Lambda)$ , see for example Brown [3, §IV.3], or Mac Lane [24, §IV.4]. We will now prove that an analogous theorem holds for quandles and their non-abelian graded extensions.

**Lemma 9.6.** *Let  $E: \Lambda \curvearrowright \tilde{Q} \rightarrow Q$  be a graded extension of a graded quandle  $Q$  by a graded group  $\Lambda$ . Each set-theoretic section  $s: Q \rightarrow \tilde{Q}$  defines a unique graded map  $f: Q \times Q \rightarrow \Lambda$  such that  $s(a) * s(b) = f(a, b) \cdot s(a * b)$ . This map  $f$  is a quandle 2-cocycle; it measures the failure of the section  $s$  to be a quandle homomorphism. Furthermore, if  $s': Q \rightarrow \tilde{Q}$  is another section, then the associated quandle 2-cocycle  $f'$  is homologous to  $f$ . In this way each extension  $E$  determines a cohomology class  $\Phi(E) := [f] \in H^2(Q, \Lambda)$ .*

*Proof.* Since the action of  $\Lambda_i$  is free and transitive on each fibre  $p^{-1}(a)$  with  $a \in Q_i$ , the above equation uniquely defines the map  $f$ . Idempotency of  $\tilde{Q}$  implies  $f(a, a) = 0$ , and self-distributivity implies the cocycle condition:

$$\begin{aligned} [s(a) * s(b)] * s(c) &= f(a, b)f(a * b, c) \quad s[(a * b) * c] \quad \text{and} \\ [s(a) * s(c)] * [s(b) * s(c)] &= f(a, c)f(a * c, b * c) \quad s[(a * c) * (b * c)]. \end{aligned}$$

Since both terms are equal, we obtain  $f(a, b)f(a * b, c) = f(a, c)f(a * c, b * c)$ , as desired, which means that  $f$  is a 2-cocycle. If  $s'$  is another section, then there exists a graded map  $g: Q \rightarrow \Lambda$  with  $s'(a) = g(a)s(a)$ . The defining relation  $s'(a) * s'(b) = f'(a, b)s'(a * b)$  thus becomes  $g(a)s(a) * g(b)s(b) = f'(a, b)g(a * b)s(a * b)$ . Comparing this to  $s(a) * s(b) = f(a, b)s(a * b)$  we find that  $f(a, b) = g(a)^{-1}f'(a, b)g(a * b)$ , which means that  $f$  and  $f'$  are cohomologous. In other words, the cohomology class  $[f]$  is independent of the chosen section  $s$ , and hence characteristic of the extension  $E$ .  $\square$

Conversely, we can associate with each quandle 2-cohomology class  $[f] \in H^2(Q, \Lambda)$  an extension of  $Q$  by  $\Lambda$ :

**Theorem 9.7.** *Let  $Q$  be a graded quandle and let  $\Lambda$  be a graded group. For each extension  $E: \Lambda \curvearrowright \tilde{Q} \rightarrow Q$  let  $\Phi(E)$  be the associated cohomology class in  $H^2(Q, \Lambda)$ . This map induces a natural bijection  $\Phi: \text{Ext}(Q, \Lambda) \cong H^2(Q, \Lambda)$ . If  $\Lambda$  is an abelian group, or more generally a module over some ring  $R$ , then  $\text{Ext}(Q, \Lambda)$  and  $H^2(Q, \Lambda)$  carry each a natural  $R$ -module structure, and  $\Phi$  is an isomorphism of  $R$ -modules.*

*Proof.* We first note that  $\Phi$  is well-defined on equivalence classes of extensions. If two extensions  $E_1: \Lambda \curvearrowright Q_1 \xrightarrow{p_1} Q$  and  $E_2: \Lambda \curvearrowright Q_2 \xrightarrow{p_2} Q$  are equivalent via a quandle isomorphism  $\phi: Q_1 \rightarrow Q_2$ , then every section  $s_1: Q \rightarrow Q_1$  induces a section  $s_2 = \phi \circ s_1: Q \rightarrow Q_2$ , and by  $\Lambda$ -equivariance the equation  $s_1(a) * s_1(b) = f(a, b) \cdot s_1(a * b)$  is translated to  $s_2(a) * s_2(b) = f(a, b) \cdot s_2(a * b)$ , which means that  $\Phi(E_1) = [f] = \Phi(E_2)$ , as desired.

To prove the theorem, we will construct an inverse map  $\Psi: H^2(Q, \Lambda) \rightarrow \text{Ext}(Q, \Lambda)$  as follows. Given a quandle 2-cocycle  $f: Q \times Q \rightarrow \Lambda$ , we define the quandle  $\tilde{Q} = \Lambda \times_f Q$  as the set  $\bigsqcup_{i \in I} \tilde{Q}_i$  with  $\tilde{Q}_i = \Lambda_i \times Q_i$  equipped with the binary operation

$$(u, a) * (v, b) = (uf(a, b), a * b).$$

Idempotency is guaranteed by  $f(a, a) = 1$ , the inverse operation is given by

$$(u, a) \bar{*} (v, b) = (uf(a \bar{*} b, b)^{-1}, a \bar{*} b),$$

and self-distributivity follows from the cocycle condition:

$$\begin{aligned} [(u, a) * (v, b)] * (w, c) &= (uf(a, b), a * b) * (w, c) \\ &= (uf(a, b)f(a * b, c), (a * b) * c) \quad \text{and} \\ [(u, a) * (w, c)] * [(v, b) * (w, c)] &= (uf(a, c), a * c) * (vf(b, c), b * c) \\ &= (uf(a, c)f(a * c, b * c), (a * c) * (b * c)). \end{aligned}$$

The graded left action of  $\Lambda$  on the quandle  $\tilde{Q} = \Lambda \times_f Q$  is defined by  $\lambda \cdot (u, a) = (\lambda u, a)$  for all  $(u, a) \in \tilde{Q}_i$  and  $\lambda \in \Lambda_i$ . It is straightforward to verify that we thus obtain a graded extension  $\Lambda \curvearrowright \Lambda \times_f Q \xrightarrow{p} Q$  with projection  $p(u, a) = a$ .

Suppose that  $f, f' \in Z^2(Q, \Lambda)$  are cohomologous, that is, there exists  $g: Q \rightarrow \Lambda$  such that  $f'(a, b) = g(a)^{-1} f(a, b) g(a * b)$ . Then the corresponding extensions are equivalent via the isomorphism  $\phi: \Lambda \times_f Q \rightarrow \Lambda \times_{f'} Q$  defined by  $\phi(u, a) = (ug(a), a)$ . Hence we have constructed a well-defined map  $\Psi: H^2(Q, \Lambda) \rightarrow \text{Ext}(Q, \Lambda)$ .

To see that  $\Phi\Psi = \text{id}$ , let  $f \in Z^2(Q, \Lambda)$  and consider the section  $s: Q \rightarrow \Lambda \times_f Q$  with  $s(a) = (1, a)$ . The corresponding 2-cocycle is  $f$ , hence  $\Phi\Psi = \text{id}$ .

It remains to show that  $\Psi\Phi = \text{id}$ . Given an extension  $E: \Lambda \curvearrowright \tilde{Q} \rightarrow Q$ , we choose a section  $s: Q \rightarrow \tilde{Q}$  and consider the corresponding 2-cocycle  $f \in Z^2(Q, \Lambda)$ . The map  $\phi: \Lambda \times_f Q \rightarrow \tilde{Q}$  given by  $\phi(u, a) = u \cdot s(a)$  is then an equivalence of extensions, which proves  $\Psi\Phi = \text{id}$ .

Naturality and the module structure are easily verified.  $\square$

**9.3. The Hurewicz isomorphism.** On the one hand, the Galois correspondence establishes a natural bijection between quandle extensions  $E: \Lambda \curvearrowright \tilde{Q} \rightarrow Q$  and group homomorphisms  $\pi_1(Q, q) \rightarrow \Lambda$ , see Theorems 6.7 and 7.19. On the other hand, the preceding cohomology arguments show that the second cohomology group  $H^2(Q, \Lambda)$  classifies extensions, see Theorem 9.7. We thus arrive at the following conclusion:

**Corollary 9.8.** *For every well-pointed quandle  $(Q, q)$  and every graded group  $\Lambda$  we have natural graded bijections*

$$H^2(Q, \Lambda) \cong \text{Ext}(Q, \Lambda) \cong \text{Hom}(\pi_1(Q, q), \Lambda).$$

*If  $\Lambda$  is an abelian group, or more generally a module over some ring  $R$ , then these objects carry natural  $R$ -module structures and the bijections are isomorphisms of  $R$ -modules.  $\square$*

Finally, we want to prove that  $H_2(Q) \cong \pi_1(Q, q)_{\text{ab}}$ . This is somewhat delicate if  $Q$  has infinitely many components: then the graded group  $\pi_1(Q, q)$  is an infinite *product*, whereas  $H_2(Q)$  is an infinite *sum* of abelian groups. The correct formulation is as follows:

**Theorem 9.9** (Hurewicz isomorphism for quandles). *Let  $(Q, q)$  be a well-pointed quandle with components  $(Q_i, q_i)_{i \in I}$  and graded fundamental group  $\pi_1(Q, q) = \prod_{i \in I} \pi_1(Q, q_i)$ . Then there exists a natural graded isomorphism  $H_2(Q) \cong \bigoplus_{i \in I} \pi_1(Q, q_i)_{\text{ab}}$ .*

*Proof.* In §8.3 we have constructed a 2-complex  $K$  that realizes the fundamental groupoid  $\Pi(Q, \text{Adj}(Q)^\circ)$  of a given quandle  $Q$ , and thus the fundamental group  $\pi_1(Q, q_i) \cong \pi_1(K, q_i)$  based at some given point  $q_i \in Q$ . Notice that the connected components of  $K$  correspond to the connected components of  $Q$ .

We deduce an isomorphism  $H_1(K) \cong H_2(Q)$  as follows. The combinatorial chain group  $C_1(K)$  is the free abelian group with basis given by the edges of the graph  $\Gamma$ , which is the 1-skeleton of  $K$ . On the chain level we can thus define  $f: C_1(K) \rightarrow C_2(Q)$  by mapping each edge  $(a \xrightarrow{b} a * b) \in C_1(K)$  to the 2-chain  $(a, b) \in C_2(Q)$ . (For the definition of quandle homology, see [7] or [9]). It is readily verified that this maps 1-cycles to 2-cycles and induces the desired isomorphism  $H_1(K) \cong H_2(Q)$  on homology. We conclude that

$$H_2(Q) \cong H_1(K) \cong \bigoplus_{i \in I} \pi_1(K, q_i)_{\text{ab}} \cong \bigoplus_{i \in I} \pi_1(Q, q_i)_{\text{ab}}$$

by appealing to the classical Hurewicz Theorem, see Spanier [33, Theorem 7.5.5].  $\square$

**9.4. Application to link quandles.** Having the Hurewicz isomorphism at hand, we can apply it to complete our study of links  $K \subset \mathbb{S}^3$  and their quandles  $Q_K$ . In particular we obtain an explicit correspondence between the longitude  $\ell_K^i \in \pi_1(Q_K, q_K^i)$ , as explained in §7.5, and the orientation class  $[K_i] \in H_2(Q_K)$ , as explained in [9, §6.2].

**Corollary 9.10.** *For every choice of base points  $q_K^i \in Q_K^i$ , the natural Hurewicz homomorphism  $h: \pi_1(Q_K, q_K) \rightarrow H_2(Q_K)$  is an isomorphism of graded groups, mapping each longitude  $\ell_K^i \in \pi_1(Q_K, q_K^i)$  to the orientation class  $[K_i] \in H_2(Q_K)$ .*

*Proof.* We know from Theorem 7.21 that  $\pi_1(Q_K, q_K) = \prod_{i=1}^n \langle \ell_K^i \rangle$  is abelian, and so  $h$  is an isomorphism. The longitude  $\ell_K^i$  can be read from a link diagram, as explained in [9, Theorem 13], as a word in the generators of  $\pi_K = \text{Adj}(Q_K)$ , which corresponds to a path in the complex associated to the link quandle  $Q_K$ . Likewise, the homology class  $[K_i] \in H_2(Q_K)$  can be read from the link diagram, as explained in [9, §6.2], which corresponds to a 1-cocycle in the same complex. The construction of the group homomorphism  $h$  in the proof of Theorem 9.9 shows that  $h(\ell_K^i) = [K_i]$ .  $\square$

Consider two oriented links  $K = K_1 \sqcup \dots \sqcup K_n$  and  $K' = K'_1 \sqcup \dots \sqcup K'_n$  in  $\mathbb{S}^3$ , and their respective link quandles  $Q_K$  and  $Q_{K'}$ . We have a natural bijection  $\pi_0(K) \xrightarrow{\sim} \pi_0(Q_K)$ . Every quandle isomorphism  $\phi: Q_K \xrightarrow{\sim} Q_{K'}$  induces a bijection  $\tau: \pi_0(Q_K) \xrightarrow{\sim} \pi_0(Q_{K'})$  as well as a graded isomorphism  $\phi_*: H_2(Q_K) \xrightarrow{\sim} H_2(Q_{K'})$ . We also know that for each  $i$  the group  $H_2(Q_K)_i = \langle [K_i] \rangle$  is either trivial or freely generated by  $[K_i]$ , and the same holds for its isomorphic image  $H_2(Q_K)_{\tau i} = \langle [K'_{\tau i}] \rangle$ . This means that  $\phi_*[K_i] = \pm [K'_{\tau i}]$  for all  $i$ .

**Theorem 9.11.** *Two oriented links  $K = K_1 \sqcup \dots \sqcup K_n$  and  $K' = K'_1 \sqcup \dots \sqcup K'_n$  in  $\mathbb{S}^3$  are ambient isotopic respecting orientations and numbering of components if and only if there exists a quandle isomorphism  $\phi: Q_K \xrightarrow{\sim} Q_{K'}$  such that  $\phi_*[K_i] = [K'_i]$  for all  $i = 1, \dots, n$ .*

*Proof.* Obviously, if  $K$  and  $K'$  are ambient isotopic, then the quandles  $Q_K$  and  $Q_{K'}$  are isomorphic. Conversely, consider an isomorphism  $\phi: Q_K \xrightarrow{\sim} Q_{K'}$  such that  $\phi_*[K_i] = [K'_i]$  for all  $i = 1, \dots, n$ . According to the characterization of trivial components in Corollary

7.22, we can assume that all components of  $K$  and  $K'$  are non-trivial. We number the components  $Q_K^1, \dots, Q_K^n$  of  $Q_K$  such that  $[K_i] \in H_2(Q_K)$  is supported by  $Q_K^i$ . We choose a base point  $q_K^i \in Q_K^i$  for each  $i = 1, \dots, n$ . In the adjoint group  $\text{Adj}(Q_K)$  this determines group elements  $m_K^i = \text{adj}(q_K^i)$ . For each  $i$  there are two generators  $(\ell_K^i)^\pm \in \pi_1(Q_K, q_K^i)$  of the fundamental group, and we choose  $\ell_K^i$  corresponding to the given class  $[K_i] \in H_2(Q_K)$  under the Hurewicz isomorphism. In this way we recover the link group  $\pi_K = \text{Adj}(Q_K)$  together with the peripheral data  $(m_K^i, \ell_K^i)$  for each link component  $K_i$ . The quandle isomorphism  $\phi: Q_K \xrightarrow{\sim} Q_{K'}$  thus induces a group isomorphism  $\psi: \pi_K \xrightarrow{\sim} \pi_{K'}$  respecting the peripheral data. According to Waldhausen's result [36, Corollary 6.5], there exists an orientation preserving homeomorphism  $f: (\mathbb{S}^3, K) \xrightarrow{\sim} (\mathbb{S}^3, K')$  such that  $f_* = \psi$ ; for details see [18, Theorem 6.1.7]. Moreover,  $f$  can be realized by an ambient isotopy.  $\square$

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