# Critical level representations of affine Kac–Moody algebras

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Let  ${\mathfrak g}$  be a complex simple Lie algebra of finite dimension. We define

$$\widetilde{\mathfrak{g}} = \mathfrak{g} \otimes_{\mathbb{C}} \mathbb{C}[t, t^{-1}]$$
, the *loop algebra*,  
 $\widehat{\mathfrak{g}} = \widetilde{\mathfrak{g}} \oplus \mathbb{C}K \oplus \mathbb{C}D$  the affine Kac–Moody algebra.

The bracket is given by

$$[K, \cdot] = 0$$
  

$$[D, x \otimes t^{n}] = nx \otimes t^{n}$$
  

$$[x \otimes t^{n}, y \otimes t^{m}] = [x, y] \otimes t^{m+n} + n\delta_{m, -n}\kappa(x, y)K,$$

where  $\kappa \colon \mathfrak{g} \otimes \mathfrak{g} \to \mathbb{C}$  denotes the Killing-form.

We fix  $\mathfrak{g} \supset \mathfrak{b} \supset \mathfrak{h}$ , a Borel and a Cartan subalgebra. The corresponding affine Borel and Cartan subalgebras are

$$\widehat{\mathfrak{b}} := \mathfrak{g} \otimes t\mathbb{C}[t] \oplus \mathfrak{b} \oplus \mathbb{C}K \oplus \mathbb{C}D,$$
  
 $\widehat{\mathfrak{h}} := \mathfrak{h} \oplus \mathbb{C}K \oplus \mathbb{C}D.$ 

To any  $\lambda \in \widehat{\mathfrak{h}}^{\star} = \operatorname{Hom}_{\mathbb{C}}(\widehat{\mathfrak{h}}, \mathbb{C})$  we associate the simple module  $L(\lambda)$  with highest weight  $\lambda$ . We want to calculate its *character* 

$$ext{char } L(\lambda) = \sum_{\mu \in \mathfrak{h}^{\star}} \dim_{\mathbb{C}} L(\lambda)_{\mu} e^{\mu}.$$

Here,  $L(\lambda)_{\mu}$  denotes the  $\mu$ -eigenspace of the  $\hat{\mathfrak{h}}$ -action.

## Simple highest weight modules

The affine Weyl group  $\widehat{\mathcal{W}}$  acts on  $\widehat{\mathfrak{h}}^{\star}$ . Let  $\rho \in \widehat{\mathfrak{h}}^{\star}$  be an element with

$$\langle \rho, \alpha^{\vee} \rangle = 1$$

for each simple coroot  $\alpha^{\vee}$ . The *dot-action* of  $\widehat{\mathcal{W}}$  on  $\widehat{\mathfrak{h}}^{\star}$  is given by

$$w.\lambda = w(\lambda + \rho) - \rho.$$

It does not depend on the choice of  $\rho$ .

#### Definition

The critical hyperplane is

$$\{\lambda \in \widehat{\mathfrak{h}}^{\star} \mid \langle \lambda, \mathsf{K} \rangle = \langle -\rho, \mathsf{K} \rangle \}.$$

The character of  $L(\lambda)$  is known if

- λ is non-critical (Kashiwara & Tanisaki),
- if  $\lambda \in \widehat{\mathcal{W}}.(-\rho + \overline{\rho})$  (Frenkel & Gaitsgory),
- if  $\lambda$  is critical and generic (Feigin & Frenkel),
- if  $\lambda$  is subgeneric (Arakawa & –).

The category  $\mathcal{O}\subset\widehat{\mathfrak{g}} ext{-mod}$  contains all modules M with

- a semisimple  $\hat{\mathfrak{h}}$ -action,
- a locally finite  $\widehat{\mathfrak{b}}$ -action.

We have an abstract block decomposition

$$\mathcal{O} = \prod_{\Lambda} \mathcal{O}_{\Lambda}.$$

We identify each index  $\Lambda$  with the set  $\{\lambda \in \widehat{\mathfrak{h}}^* \mid L(\lambda) \in \mathcal{O}_{\Lambda}\}$ .

We call  $\mathcal{O}_{\Lambda}$  critical if  $\Lambda$  contains a critical weight. This is the case if and only if each weight in  $\Lambda$  is critical. Let  $\Lambda$  be a block index and set

$$\widehat{\mathcal{W}}_{\Lambda} = \left\{ s_{\alpha} \middle| \begin{array}{c} \alpha \text{ is an affine real root with} \\ \langle \lambda, \alpha^{\vee} \rangle \in \mathbb{Z} \text{ for some/all} \\ \lambda \in \Lambda \end{array} \right.$$

Theorem (Deodhar & Gabber & Kac, Kac & Kazhdan)

- If  $\Lambda$  is non-critical, then  $\Lambda = \widehat{W}_{\Lambda}.\lambda$ .
- If  $\Lambda$  is critical, then  $\Lambda = \widehat{\mathcal{W}}_{\Lambda} \cdot \lambda + \mathbb{Z}\delta$ .

Here,  $\delta \in \widehat{\mathfrak{h}}^*$  is the smallest positive imaginary root. It is given by  $\delta(D) = 1$ ,  $\delta(\mathfrak{h} \oplus \mathbb{C}K) = 0$ .

# Block indices



Let  $\Lambda$  be critical.

- Λ is not an orbit.
- There is no highest or lowest weight in  $\Lambda$ .
- The partial order on each orbit in Λ is not compatible with the Bruhat order.

We are going to address these problems.

Let  $\mathcal A$  be the set of alcoves and  $A_e\in \mathcal A$  the base alcove. We identify

$$\widehat{\mathcal{W}} \stackrel{\sim}{
ightarrow} \mathcal{A} \ w \mapsto w(A_e).$$

- $\widehat{\mathcal{W}}$  carries the Bruhat order  $\leq$ .
- $\mathcal{A}$  carries the generic Bruhat order  $\succeq$ .
- The above map is not order preserving.

We now think of  $\widehat{\mathcal{W}}$  and  $\mathcal{A}$  as partially ordered sets being acted upon by simple reflections from the right. The above map intertwines these actions.

We denote by  $\widehat{\mathcal{H}} = \bigoplus_{w \in \widehat{\mathcal{W}}} \mathbb{Z}[v, v^{-1}] T_w$  the affine Hecke algebra. For any simple reflection we set  $\underline{H}_s := vT_s + vT_e$ . It satisfies

$$T_w \cdot \underline{H}_s = \begin{cases} v^{-1} T_{ws} + v^{-1} T_w, & \text{if } ws \le w, \\ v T_{ws} + v T_w, & \text{if } w \le ws. \end{cases}$$

We denote by  $\widehat{\mathbf{M}} = \bigoplus_{A \in \mathcal{A}} \mathbb{Z}[v, v^{-1}]A$  the *periodic*  $\widehat{\mathcal{H}}$ -module. It satisfies

$$A_{w} \cdot \underline{H}_{s} = \begin{cases} A_{ws} + v^{-1}A_{w}, & \text{if } A_{ws} \succeq A_{w}, \\ A_{ws} + vA_{w}, & \text{if } A_{w} \succeq A_{ws}. \end{cases}$$

#### The Hecke algebra governs

- Iwahori-constructible simple perverse sheaves with C-coefficients on the affine flag variety,
- Non-critical level representations of  $\hat{\mathfrak{g}}$ .
- The periodic module governs
  - restricted representations of g<sub>k</sub>, char k ≫ 0 (conjecturally, char k > h),
  - representations of the small quantum group at an *l*-th root of unity for *l* > *h*,
  - critical level representations (conjecturally).

# Block indices



The simple highest weight module  $L(\delta)$  is one-dimensional and invertible, i.e.

$$L(\delta)\otimes L(-\delta)=\mathbb{C}_{triv}.$$

We define the *shift functor* 

$$T: \mathcal{O} \to \mathcal{O}$$
$$M \mapsto M \otimes L(\delta).$$

It is an autoequivalence with inverse  $T^{-1} = \cdot \otimes L(-\delta)$ .

#### Observation

A block  $\mathcal{O}_{\Lambda}$  is critical if and only if it is preserved by T, i.e. if and only if  $T(\mathcal{O}_{\Lambda}) \subset \mathcal{O}_{\Lambda}$ .

Now we fix a critical block  $\mathcal{O}_{\Lambda}$ . For  $n \in \mathbb{Z}$  we denote by Mor(id,  $T^n$ ) the set of natural transformations between the functors id,  $T^n : \mathcal{O}_{\Lambda} \to \mathcal{O}_{\Lambda}$ . We define

$$\mathcal{A}_n := \left\{ z \in \mathsf{Mor}(\mathsf{id}, T^n) \, \middle| \begin{array}{c} \mathsf{for all } M \in \mathcal{O}_\Lambda \mathsf{ we have} \\ T^{\prime}(z^M) = z^{T^{\prime}M} \colon T^{\prime}M \to T^{n+\ell}M \end{array} \right\}$$

Then  $\mathcal{A} := \bigoplus_{n \in \mathbb{Z}} \mathcal{A}_n$  carries a canonical  $\mathbb{C}$ -algebra structure. It is commutative and associative and *HUGE*.

### Definition

We say that  $M \in \mathcal{O}_{\Lambda}$  is restricted if  $z^{M} = 0$  for all  $z \in \mathcal{A}_{n}$ ,  $n \neq 0$ . We denote by  $\overline{\mathcal{O}}_{\Lambda} \subset \mathcal{O}_{\Lambda}$  the subcategory of restricted modules. Each  $M \in \mathcal{O}_{\Lambda}$  has

- a largest restricted quotient  $M \to \overline{M}$ ,
- a largest restricted submodule  $\underline{M} \rightarrow M$ .

Let  $\Delta(\lambda)$  and  $\nabla(\lambda)$  be the Verma and the dual Verma module associated to  $\lambda \in \Lambda$ . The *restricted* Verma and the *restricted* dual Verma module are

 $\overline{\Delta}(\lambda)$  and  $\underline{\nabla}(\lambda)$ .

### The subgeneric situation

Suppose that  $\Lambda$  is subgeneric, i.e.  $\widehat{\mathcal{W}}_{\Lambda}$  is isomorphic to the affine Weyl group of type  $A_1$ , and regular. In this case, each  $\widehat{\mathcal{W}}_{\Lambda}$ -orbit is a totally ordered set and we can define the successor bijection  $\alpha \uparrow \cdot : \Lambda \to \Lambda$ .

Theorem (with T. Arakawa)

Each L(λ), λ ∈ Λ, admits a projective cover P
(λ) in O
Λ. It fits into a short exact sequence

$$0 o \overline{\Delta}(lpha \uparrow \lambda) o \overline{P}(\lambda) o \overline{\Delta}(\lambda) o 0.$$

We have

$$[\overline{\Delta}(\lambda): L(\mu)] = egin{cases} 1, & \textit{if } \lambda \in \{\mu, lpha \uparrow \mu\}, \ 0, & \textit{else.} \end{cases}$$

Let  $\overline{\mathcal{O}} \subset \mathcal{O}$  be the full critical restricted subcategory and denote by

$$\overline{\mathcal{O}} = \prod_{\Gamma} \overline{\mathcal{O}}_{\Gamma}$$

the abstract block decomposition. Again, we identify  $\Gamma$  with the set  $\{\gamma \in \widehat{\mathfrak{h}}^* \mid L(\gamma) \in \overline{\mathcal{O}}_{\Gamma}\}$ . For any  $\mathcal{J} \subset \widehat{\mathfrak{h}}^*$  that is *open*, i.e.

$$\mathcal{J} = \bigcup_{\gamma \in \mathcal{J}} \{ \leq \gamma \},$$

we define  $\overline{\mathcal{O}}_{\Gamma}^{\mathcal{J}} \subset \overline{\mathcal{O}}_{\Gamma}$  as the full subcategory of objects that have weights only in  $\mathcal{J}.$ 

### Theorem (with T. Arakawa)

Let  $\Gamma$  be a restricted index, let  $\mathcal{J}$  be open and fix  $\gamma \in \Gamma \cap \mathcal{J}$ .

- The simple object  $L(\gamma)$  has a projective cover  $\overline{P}^{\mathcal{J}}(\gamma)$  in  $\overline{\mathcal{O}}_{\Gamma}^{\mathcal{J}}$ .
- Each projective in  $\overline{\mathcal{O}}_{\Gamma}^{\mathcal{J}}$  has a restricted Verma flag and we have

$$(\overline{P}^{\mathcal{J}}(\gamma):\overline{\Delta}(\nu)) = [\overline{\Delta}(\nu):L(\gamma)]$$

for all  $\nu \in \Gamma \cap \mathcal{J}$  (Restricted BGG-reciprocity).

►  $[\overline{\Delta}(\lambda) : L(\nu)] \neq 0$  implies  $\nu \in \widehat{\mathcal{W}}_{\Gamma}.\lambda$  (Restricted Linkage principle).

### Restricted blocks are orbits



Each restricted critical index  $\Gamma$  is now a  $\mathcal{W}_{\Gamma}$ -orbit. As a partially ordered set it carries a variant of the generic Bruhat order.

 Hence, we expect the representation theory to be governed by the periodic module.

### Conjecture

Suppose that  $\Gamma$  is sufficiently generic. Let  $\gamma \in \Gamma$  be dominant for the finite Weyl group action. For any  $x, y \in \widehat{W}_{\Gamma}$  we have

$$[\overline{\Delta}(x.\gamma):L(y.\gamma)]=p_{A_x,A_y}(1),$$

where  $p_{-,-}$  denotes the periodic polynomial associated to  $\widehat{W}_{\Gamma}$ . Known for  $\gamma = 0$  (Frenkel & Gaitsgory) and in the subgeneric cases (Arakawa & —).

## Conjectural links

