



Universität Stuttgart

Dynkin Diagrams of Simple Lie Algebras



Classification	Symbol	Dimension	Rank	Number of Roots	Number of Positive Roots	Number of Simple Roots	Number of Cartan Generators	Number of Nilpotent Elements	Number of Nilpotent Orbits
A_n	$A_n(n)$	$n^2 + n$	n	$2n$	n	n	n	$2n$	2
B_n	$B_n(2n)$	$2n^2$	n	$2n$	n	n	n	$2n$	2
C_n	$C_n(2n)$	$2n^2$	n	$2n$	n	n	n	$2n$	2
D_n	$D_n(2n)$	$2n^2 - n$	n	$2n$	n	n	n	$2n$	2
E_6	$E_6(6)$	78	6	12	6	6	6	12	2
E_7	$E_7(7)$	133	7	14	7	7	7	14	2
E_8	$E_8(8)$	248	8	16	8	8	8	16	2
F_4	$F_4(4)$	52	4	24	4	4	4	24	2
G_2	$G_2(2)$	14	2	6	2	2	2	6	2
H_3	$H_3(3)$	27	3	6	3	3	3	6	2

Meinolf Geck

AN ELEMENTARY CHARACTERISATION OF SPECIAL NILPOTENT ORBITS

Virtual Nikolaus Conference

11-12 December 2020

Classification	Symbol	Dimension	Rank	Number of Roots	Number of Positive Roots	Number of Simple Roots	Number of Cartan Generators	Number of Nilpotent Elements	Number of Nilpotent Orbits
M_{11}	$M_{11}(11)$	66	11	22	11	11	11	22	2
M_{12}	$M_{12}(12)$	132	12	24	12	12	12	24	2
M_{13}	$M_{13}(13)$	198	13	26	13	13	13	26	2
M_{14}	$M_{14}(14)$	272	14	28	14	14	14	28	2
F_4	$F_4(4)$	52	4	24	4	4	4	24	2
G_2	$G_2(2)$	14	2	6	2	2	2	6	2
H_3	$H_3(3)$	27	3	6	3	3	3	6	2

Exercise Linear Algebra II. Compute the following determinants:

$$\det \begin{pmatrix} 0 & 0 & 0 & 0 & -x_1 & 0 & 0 & -x_4 \\ 0 & 0 & 0 & -x_1 & 0 & -x_2 & -x_3 & -x_5 \\ 0 & 0 & 0 & 0 & -x_2 & 0 & x_4 & 0 \\ 0 & x_1 & 0 & 0 & -x_3 & 2x_4 & 0 & 0 \\ x_1 & 0 & x_2 & x_3 & 0 & x_5 & 0 & 0 \\ 0 & x_2 & 0 & -2x_4 & -x_5 & 0 & 0 & 0 \\ 0 & x_3 & -x_4 & 0 & 0 & 0 & 0 & 0 \\ x_4 & x_5 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} = 9(x_1 x_4^2 x_5 - x_2 x_3 x_4^2)^2.$$

$$\det \begin{pmatrix} 0 & 0 & 0 & 0 & -2x_1 & -2x_2 & -2x_3 & -x_4 \\ 0 & 0 & 2x_1 & 2x_2 & 0 & 0 & -x_4 & -2x_5 \\ 0 & -2x_1 & 0 & 2x_3 & 0 & x_4 & 0 & -2x_6 \\ 0 & -2x_2 & -2x_3 & 0 & -x_4 & 0 & 0 & -2x_7 \\ 2x_1 & 0 & 0 & x_4 & 0 & 2x_5 & 2x_6 & 0 \\ 2x_2 & 0 & -x_4 & 0 & -2x_5 & 0 & 2x_7 & 0 \\ 2x_3 & x_4 & 0 & 0 & -2x_6 & -2x_7 & 0 & 0 \\ x_4 & 2x_5 & 2x_6 & 2x_7 & 0 & 0 & 0 & 0 \end{pmatrix} =$$

$$(-16x_1^2 x_7^2 + 32x_1 x_2 x_6 x_7 - 32x_1 x_3 x_5 x_7 + 8x_1 x_4^2 x_7 - 16x_2^2 x_6^2 + 32x_2 x_3 x_5 x_6 - 8x_2 x_4^2 x_6 - 16x_3^2 x_5^2 + 8x_3 x_4^2 x_5 - x_4^4)^2.$$

Note: The matrices are skew-symmetric, so $\det = \text{square of the pfaffian}$ (as noted by U. Thiel).

Cartan–Killing: The finite-dimensional simple Lie algebras over \mathbb{C} are classified by the following “Dynkin diagrams”.



Infinite families: Lie algebras of matrices

$$A_n \leftrightarrow \mathfrak{sl}_{n+1}(\mathbb{C}), \quad B_n \leftrightarrow \mathfrak{so}_{2n+1}(\mathbb{C}), \quad C_n \leftrightarrow \mathfrak{sp}_{2n}(\mathbb{C}), \quad D_n \leftrightarrow \mathfrak{so}_{2n}(\mathbb{C}).$$

Exceptional algebras:

$$\dim \mathfrak{g}_2 = 14, \quad \dim \mathfrak{f}_4 = 52, \quad \dim \mathfrak{e}_6 = 78, \quad \dim \mathfrak{e}_7 = 133, \quad \dim \mathfrak{e}_8 = 248.$$

Let \mathfrak{g} be a Lie algebra with a given Dynkin diagram. Then \mathfrak{g} has a “Chevalley basis”

$$B = \{h_i \mid i \in I\} \cup \{e_\alpha \mid e_\alpha \in \Phi\}$$

- where $I =$ indexing set for the nodes of the diagram,
- $\mathfrak{h} = \langle h_i \mid i \in I \rangle_{\mathbb{C}} \subseteq \mathfrak{g}$ Cartan subalgebra,
- $\Phi \subseteq \mathfrak{h}^*$ root system such that $[h, e_\alpha] = \alpha(h)e_\alpha$ for all $h \in \mathfrak{h}$ and $\alpha \in \Phi$.

The e_α (eigenvectors for \mathfrak{h}) are uniquely determined up to non-zero scalars.

“Canonical choice”, hence, canonical matrix realisation of \mathfrak{g} (G., Proc. AMS 2017).

```
#####  
##      Welcome to version 1.1 of the Julia module 'ChevLie':      ##  
##      CONSTRUCTING LIE ALGEBRAS AND CHEVALLEY GROUPS          ##  
##      https://pnp.mathematik.uni-stuttgart.de/iaz/iaz2/geckmf/    ##  
##      Type ?LieAlg      for first help; all comments welcome!    ##  
#####
```

```
julia> canchevbasis_adj(LieAlg(:f,4))                                # dim lie = 52 = 4 + 48  
[...]                      # list of 48 matrices of size 52x52 representing the e_\alpha's
```

An element $e \in \mathfrak{g}$ is called “nilpotent” if $\text{ad}(e): \mathfrak{g} \rightarrow \mathfrak{g}$ is a nilpotent linear map. All e_α ($\alpha \in \Phi$) are nilpotent, so can form $x_\alpha(t) := \exp(t \cdot \text{ad}(e_\alpha)) \in \text{GL}(\mathfrak{g})$ for $t \in \mathbb{C}$. Obtain algebraic group $G = \langle x_\alpha(t) \mid \alpha \in \Phi, t \in \mathbb{C} \rangle \leq \text{GL}(\mathfrak{g})$ with Lie algebra \mathfrak{g} .

Dynkin–Kostant theory (See, e.g., Carter’s book on finite groups of Lie type).

The nilpotent G -orbits of \mathfrak{g} are classified by “**weighted Dynkin diagrams**”, i.e., maps

$$d: I \rightarrow \{0, 1, 2\}, \quad \text{where } I = \text{vertices of Dynkin diagram.}$$

Can extend d linearly to function $d: \Phi \rightarrow \mathbb{Z}$. Obtain grading

$$\mathfrak{g} = \bigoplus_{n \in \mathbb{Z}} \mathfrak{g}_d(n) \quad \text{with} \quad [\mathfrak{g}_d(n), \mathfrak{g}_d(m)] \subseteq \mathfrak{g}_d(n+m),$$

where $\mathfrak{g}_d(0) := \mathfrak{h} \oplus \langle e_\alpha \mid d(\alpha) = 0 \rangle_{\mathbb{C}}$ and $\mathfrak{g}_d(n) := \langle e_\alpha \mid d(\alpha) = n \rangle_{\mathbb{C}}$ for $n \neq 0$.

The nilpotent orbit defined by d intersects $\mathfrak{g}_d(2)$ in a dense open set.

Lusztig (1979): Using Springer correspondence + new definition of “special” characters of Weyl group W , single out nilpotent orbits called “special”.

These play a key role in several problems in representation theory, but definition . . . “un-natural”.

```
julia> lie=LieAlg(:f,4); wdd=weighted_dynkin_diagrams(lie)
[0,0,0,0],[1,0,0,0],[0,0,0,1],[0,1,0,0],[2,0,0,0],[0,0,0,2],[0,0,1,0],[2,0,0,1],
[0,1,0,1],[1,0,1,0],[0,2,0,0],[2,2,0,0],[1,0,1,2],[0,2,0,2],[2,2,0,2],[2,2,2,2]
(16 nilpotent orbits in total, 11 of which are special.)
```

Recall: the nilpotent orbit defined by $d: I \rightarrow \{0, 1, 2\}$ intersects $\mathfrak{g}_d(2)$ in a dense open set. Dually: there is a dense open set of linear maps $\lambda: \mathfrak{g}_d(2) \rightarrow \mathbb{C}$ such that

$$\sigma_\lambda: \mathfrak{g}_d(1) \times \mathfrak{g}_d(1) \rightarrow \mathbb{C}, \quad (y, z) \mapsto \lambda([y, z]),$$

is a non-degenerate, symplectic form. Consider Gram matrix \mathcal{G}_λ of σ_λ .

- Let $\beta_1, \dots, \beta_n \in \Phi$ be those $\alpha \in \Phi$ with $d(\alpha) = 1$ (\leadsto basis of $\mathfrak{g}_d(1)$) and $\gamma_1, \dots, \gamma_m \in \Phi$ be those $\alpha \in \Phi$ with $d(\alpha) = 2$ (\leadsto basis of $\mathfrak{g}_d(2)$).
- An arbitrary linear $\lambda: \mathfrak{g}_d(2) \rightarrow \mathbb{C}$ is specified by $x_l := \lambda(e_{\gamma_l})$ for $1 \leq l \leq m$; then the (i, j) -entry of \mathcal{G}_λ is given by $\lambda([e_{\beta_i}, e_{\beta_j}]) \in \mathbb{Z}[x_1, \dots, x_m]$.

Matrices on first slide: $\mathfrak{g} = \mathfrak{f}_4$ with $d = [0, 1, 0, 1]$ and $d = [0, 0, 0, 1]$.

1st matrix: $\det = 9(\dots)^2$; 2nd: $\det = (-16x_1^2 x_7^2 \pm \dots - x_4^4)^2$. **Guess a pattern ?**

Main observation: Everything above works over \mathbb{Z} !

- Chevalley basis $B = \{h_i \mid i \in I\} \cup \{e_\alpha \mid \alpha \in \Phi\}$ spans Lie algebra $\mathfrak{g}_{\mathbb{Z}}$ over \mathbb{Z} .
- Given $d: I \rightarrow \{0, 1, 2\}$, let $\mathfrak{g}_{\mathbb{Z},d}(n) = \langle e_\alpha \mid d(\alpha) = n \rangle_{\mathbb{Z}}$ for $n = 1, 2$.

Integrality condition, see G. (Transf. Groups, online May 2020, and JSAG 2020).

We say that d is \mathbb{Z} -special if there is some $\lambda \in \text{Hom}(\mathfrak{g}_{\mathbb{Z},d}(2), \mathbb{Z})$ such that

$$\sigma_\lambda: \mathfrak{g}_{\mathbb{Z},d}(1) \times \mathfrak{g}_{\mathbb{Z},d}(1) \rightarrow \mathbb{Z}, \quad (y, z) \mapsto \lambda([y, z]),$$

is non-degenerate over \mathbb{Z} . (If $\mathfrak{g}_{\mathbb{Z},d}(1) = \{0\}$, then d is declared \mathbb{Z} -special.)

Conjecture (now Theorem): d is Lusztig-special if and only if d is \mathbb{Z} -special.

- Using our computations of Gram matrices, true for all exceptional types. (For large matrices, relies on Groebner basis techniques as suggested by U. Thiel and A. Steel.)
- For classical type, see Dong and Yang, Advances in Math. (online Nov. 2020).
- Relevance for real Lie groups: see Vogan's MIT Virtual Lie Group Seminar talk "What's special about special?" at <http://www-math.mit.edu/~dav/LG/>.