On Graded Division Rings

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Daniel E. N. Kawai, Javier Sánchez, On graded division rings.

https://arxiv.org/abs/2010.09146



Notation

- Rings are associative with 1.
- Homomorphisms preserve 1.
- Modules are right modules, unless otherwise stated.

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- If $\varphi \colon R \to S$ is a ring homomorphism and $(a_{ij}) = A \in M_{m \times n}(R)$,

$$A^{\varphi} := (\varphi(a_{ij})) \in M_{m \times n}(S).$$

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Γ will be a multiplicative group with identity element *e*.
 Usually, elements of Γ will be denoted by γ, γ'
 Usually, elements of Γ^m will be denoted by α = (α₁,..., α_m).

Let R be a ring.

• An epic *R*-division ring is a ring homomorphism

 $\varphi \colon R \to D$

where D is a division ring generated by $\operatorname{im}\varphi,$ or equivalently, φ is a ring epimorphism.

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• Two epic *R*-division rings $\varphi_1 \colon R \to D_1, \varphi_2 \colon R \to D_2$ are isomorphic if there exists a ring isomorphism $\delta \colon D_1 \to D_2$ such that $\delta \varphi_1 = \varphi_2$. $\varphi_1 \smile D_1$



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Can we determine the different epic *R*-division rings?

• If *R* is commutative, up to isomorphism, the epic *R*-division rings are parametrized by the prime ideals of *R*.

$$\begin{array}{c} R & \xrightarrow{\varphi} D \\ \pi \bigvee & \overleftarrow{\varphi} & \swarrow & \uparrow_{\cong} \\ \frac{R}{\ker \varphi} & \xleftarrow{\iota} Q \left(\frac{R}{\ker \varphi} \right) \end{array}$$

- In general,
 - Prime ideals are not enough to differenciate epic *R*-division rings.

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 - There are domains not embeddable in division rings.
- Let $\varphi \colon R \to D$ be an epic *R*-division ring. It induces functions:

$$\{ \text{ Finitely presented } R \text{-modules} \} \longrightarrow \mathbb{N} \\ M \longmapsto \dim_D(M \otimes_R D)$$

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P. Malcolmson showed that two epic *R*-division rings are isomorphic if and only if they induce the same dimension function if and only if they induce the same rank function.

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- We develop a similar theory for group graded rings.
- Most of our proofs are natural extensions of the ones by Cohn, Malcolmson et al.

Let R be a ring. R is Γ -graded if

• $R = \bigoplus_{\gamma \in \Gamma} R_{\gamma}$ where each R_{γ} is an additive group, and

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• $R_{\gamma} \cdot R_{\gamma'} \subseteq R_{\gamma\gamma'}$ for all $\gamma, \gamma' \in \Gamma$.

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• Let $X = \{x_i\}_{i \in I}$ be a set with a map $X \to \Gamma$, $x_i \mapsto \gamma_i$. The free ring $\mathbb{Z}\langle X \rangle$ is a Γ -graded ring with

$$\mathbb{Z}\langle X\rangle_{\gamma} = \begin{cases} \mathbb{Z}\text{-linear span of monomials } x_{i_1}x_{i_2}\cdots x_{i_r} \\ \text{such that } \gamma_{i_1}\gamma_{i_2}\cdots \gamma_{i_r} = \gamma \end{cases}$$

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• A homomorphism of Γ -graded rings

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is a ring homomorphism such that $\varphi(R_{\gamma}) \subseteq D_{\gamma}$ for all $\gamma \in \Gamma$.

Let R be a Γ -graded ring.

R is a Γ-graded division ring if *R* ≠ {0} and every nonzero homogeneous element is invertible.

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- *R* is a Γ-graded division ring if *R* ≠ {0} and every nonzero homogeneous element is invertible.
- *R* is a Γ-graded local ring if *R* ≠ {0} and the ideal m generated by the noninvertible homogeneous elements is a proper ideal. Then *R*/m is a Γ-graded division ring.

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Let R be a Γ -graded ring and M be a (right) R-module.

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 $R[x] \quad = \quad \cdots \oplus 0 \oplus 0 \oplus 0 \oplus 0 \oplus R \oplus Rx \oplus Rx^2 \oplus Rx^3 \oplus Rx^4 \oplus \cdots$

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 $R[x] = \cdots \oplus 0 \oplus 0 \oplus 0 \oplus 0 \oplus R \oplus Rx \oplus Rx^2 \oplus Rx^3 \oplus Rx^4 \oplus \cdots$ $R[x](3) = \cdots \oplus 0 \oplus R \oplus Rx \oplus Rx^2 \oplus Rx^3 \oplus Rx^4 \oplus Rx^5 \oplus Rx^6 \oplus Rx^7 \oplus \cdots$

Graded free modules

Let
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 be a Γ -graded ring.

• If M_1, \ldots, M_r are Γ -graded R-modules, then $M_1 \oplus \cdots \oplus M_r$ is a Γ -graded R-module with

$$(M_1 \oplus \cdots \oplus M_r)_{\gamma} = \{(x_1, \ldots, x_r) \mid x_i \in (M_i)_{\gamma}\}$$

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- *M* is a graded free *R*-module if *M* is a free *R*-module with a homogeneous basis.
- Finitely generated graded free *R*-modules are of the form:

$$R^{n}(\overline{\beta}) = R(\beta_{1}) \oplus R(\beta_{2}) \oplus \cdots \oplus R(\beta_{n}),$$

for $\overline{\beta} = (\beta_1, \beta_2, \dots, \beta_n) \in \Gamma^n$.

• A matrix
$$(a_{ij}) = A \in M_{m \times n}(R)[\overline{\alpha}][\overline{\beta}]$$
 if there are
 $\overline{\alpha} = (\alpha_1, \dots, \alpha_m) \in \Gamma^m, \overline{\beta} = (\beta_1, \dots, \beta_n) \in \Gamma^n$ such that

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In other words, A defines a morphism of Γ -graded R-modules

$$R(\beta_1) \oplus \cdots \oplus R(\beta_n) \xrightarrow{A} R(\alpha_1) \oplus \cdots \oplus R(\alpha_m).$$

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• Given $B \in M_{r \times n}(R)[\overline{\lambda}][\overline{\beta}]$ and $C \in M_{m \times r}(R)[\overline{\alpha}][\overline{\lambda}]$, we say that C, B are compatible.

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• Given $B \in M_{r \times n}(R)[\overline{\lambda}][\overline{\beta}]$ and $C \in M_{m \times r}(R)[\overline{\alpha}][\overline{\lambda}]$, we say that C, B are compatible. Then $CB \in M_{m \times n}[\overline{\alpha}][\overline{\beta}]$ defines the composition of homomorphisms of Γ -graded free R-modules

$$R(\beta_1) \oplus \cdots \oplus R(\beta_n) \xrightarrow{B} R(\lambda_1) \oplus \cdots \oplus R(\lambda_r) \xrightarrow{C} R(\alpha_1) \oplus \cdots \oplus R(\alpha_m)$$

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•
$$\mathfrak{M}(R) = \bigcup_{n,\overline{\alpha},\overline{\beta}} M_n(R)[\overline{\alpha}][\overline{\beta}], \qquad \mathfrak{M}_{\bullet}(R) = \bigcup_{m,n,\overline{\alpha},\overline{\beta}} M_{m \times n}(R)[\overline{\alpha}][\overline{\beta}].$$

Universal localization

Let R be a Γ -graded ring and $\Sigma \subseteq \mathfrak{M}(R)$.

The universal localization of *R* at Σ is a pair (R_{Σ}, λ) where

- $\lambda \colon R \to R_{\Sigma}$ is a ring homomorphism.
- For every $A \in \Sigma$, A^{λ} is invertible. (λ is Σ -inverting)
- For any other ring homomorphism f: R → S such that A^f is invertible for all A ∈ Σ, there exists a unique ring homomorphism F: R_Σ → S with f = Fλ.

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- For any other ring homomorphism *f* : *R* → *S* such that *A^f* is invertible for all *A* ∈ Σ, there exists a unique ring homomorphism *F* : *R*_Σ → *S* with *f* = *F*λ.

The universal localization of R at Σ always exists.

Proposition (Kawai, S.)

Let R be a Γ -graded ring and $\Sigma \subseteq \mathfrak{M}(R)$. The universal localization

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 $\lambda \colon R \to R_{\Sigma}$ is a homomorphism of Γ -graded rings.

Graded epic *R*-division rings

Theorem (Kawai, S.)

Let R be a Γ -graded ring.

 If Σ ⊆ M(R) is such that R_Σ is a Γ-graded local ring with maximal graded ideal m, then the natural homomorphism R → R_Σ/m is a Γ-graded epic R-division ring.
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- Let $\varphi \colon R \to K$ be a Γ -graded epic R-division ring and set

 $\Sigma = \{ A \in \mathfrak{M}(R) \mid A^{\varphi} \text{ is invert. over } D \}.$

Then R_Σ is a Γ -graded local ring with maximal ideal $\mathfrak m$ and there exists commutative diagram



with $\widetilde{\Phi}$ and isomorphism of Γ -graded rings.

Graded modules over graded division rings

Let
$$D = \bigoplus_{\gamma \in \Gamma} D_{\gamma}$$
 be a Γ -graded division ring.
Let $M = \bigoplus_{\gamma \in \Gamma} M_{\gamma}$ be a Γ -graded D -module.

• Every Γ -graded *D*-module *M* is a Γ -graded free module.

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- Any two homogeneous bases of M have the same cardinality $= \dim_D M$.
- If $A \in M_{m \times n}(D)[\overline{\alpha}][\overline{\beta}]$, we can define the rank of A:

$$A = (a_{ij}) \colon D(\beta_1) \oplus \dots \oplus D(\beta_n) \to D(\alpha_1) \oplus \dots \oplus D(\alpha_m).$$
$$\begin{pmatrix} a_{1j} \\ a_{2j} \\ \vdots \\ a_{mj} \end{pmatrix} \in \left(D(\alpha_1) \oplus \dots \oplus D(\alpha_m) \right)_{\beta_j^{-1}}$$

rank $A = \dim_D$ of graded submodule gen. by columns of A.

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Let R be a Γ -graded ring.

A gr-Sylvester matrix rank function for R is a map $r: \mathfrak{M}_{\bullet}(R) \to \mathbb{N}$ with

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If $\varphi \colon R \to D$ is a homomorphism of Γ -graded rings with D a Γ -graded division ring then

 $\mathfrak{M}_{\bullet}(R) \to \mathbb{N}, \quad A \mapsto \operatorname{rank}(A^{\varphi})$

is a gr-Sylvester matrix rank function.

Theorem (Kawai, S.)

Let R be a Γ -graded ring. There is an anti-isomorphism of partially ordered sets

$$\left\{ \begin{array}{c} \Gamma \text{-graded epic } R \text{-division rings} \\ \varphi \colon R \to K \end{array} \right\} \longrightarrow \left\{ \begin{array}{c} \text{gr-Sylvester matrix rank} \\ \text{functions for } R \end{array} \right. \\ \varphi \colon R \to K \longmapsto \qquad r_{\varphi} \\ \varphi_{r} \colon R \to R_{\Sigma_{r}}/\mathfrak{m} \quad \longleftrightarrow \qquad r \end{array}$$

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Conversely, given a gr-Sylvester matrix rank function
 r: 𝔑_●(R) → ℕ, then set

 $\Sigma_{\mathbf{r}} = \{ A \in \mathfrak{M}(R) \colon \mathbf{r}(A) = size \text{ of } A \}.$

Then R_{Σ_r} is a Γ -graded local ring with maximal graded ideal \mathfrak{m} .

Tensor product of graded modules

Let R be a Γ -graded ring.

Let M be a Γ -graded R-module and N be a Γ -graded left R-module.

• $M \otimes_R N = \bigoplus_{\gamma \in \Gamma} (M \otimes_R N)_{\gamma}$ is a Γ -graded abelian group with

$$(M \otimes_R N)_{\gamma} = \left\{ \sum_i m_i \otimes n_i \mid m_i \in M_{\gamma_i}, \ n_i \in N_{\gamma'_i}, \ \gamma_i \gamma'_i = \gamma \right\}$$

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- Conversely, let d be a gr-Sylvester module rank function for R. If $A \in M_{m \times n}(R)[\overline{\alpha}][\overline{\beta}]$, we consider $A \colon R^n(\overline{\beta}) \to R^m(\overline{\alpha})$ and define

$$\mathbf{r}(A) = m - d\left(\frac{R^m(\overline{\alpha})}{A(R^n(\overline{\beta}))}\right)$$

Lidia's question

Let k be a field and $k\langle x, y \rangle$ the free k-algebra on two letters

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Is there a classification of the universal localizations of $k\langle x,y\rangle \mathbf{?}$

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The universal localization of $k\langle x, y \rangle$ at the set Φ of full (square) matrices is a division ring, called the free field (**P. M. Cohn**).

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$$k[F] = \left\{ f = \sum_{g \in F} a_g g \ \Big| \ a_g \in k, \ |\operatorname{supp} f| < \infty \right\}$$

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k(*F*), the division subring of *k*((*F*; <)) generated by *k*[*F*] is the free field (J. Lewin)

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• If $H_1 \ncong H_2$, then $\ker \varphi_1 \neq \ker \varphi_2$. Let $1 < w \in \ker \varphi_1 \setminus \ker \varphi_2$.
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- There are uncountably many nonisomorphic torsion-free groups H Thus uncountably many $D_{\varphi}(H)$ inside K(H) which are not R-isomorphic.

If ker $\varphi_1 \subseteq \ker \varphi_2$, then $D_{\varphi_1}(H_1) \subseteq D_{\varphi_2}(H_2)$.

 $A \in \mathfrak{M}(R)$ is nonfull if there exist compatible homogeneous matrices



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Let H and $\varphi \colon F \to H$ be as before and consider the induced gradation on $k\langle x, y \rangle$.

Consider $\vartheta \colon k\langle x, y \rangle \hookrightarrow D_{\varphi}(H)$

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Let H and $\varphi \colon F \to H$ be as before and consider the induced gradation on $k\langle x, y \rangle$.

Consider $\vartheta \colon k\langle x, y \rangle \hookrightarrow D_{\varphi}(H)$

Reutenauer's method:

Let $A \in \mathfrak{M}^{H}(k\langle x, y \rangle)$ such that A^{ϑ} is not invertible.

 $A \in \mathfrak{M}(R)$ is nonfull if there exist compatible homogeneous matrices



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 $A \in \mathfrak{M}(R)$ is nonfull if there exist compatible homogeneous matrices



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Then there exist an identity matrix and $P, Q \in GL(k\langle x, y \rangle)$ such that $P(A_I)Q$ has one row of zeros. Thus (A_I) is not gr-full.

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• It implies that $A \in \mathfrak{M}^H(k\langle x, y \rangle)$ becomes invertible in $D_{\varphi}(H)$ if and only if A is gr-full.

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Then there exist an identity matrix and $P, Q \in GL(k\langle x, y \rangle)$ such that $P \begin{pmatrix} A \\ I \end{pmatrix} Q$ has one row of zeros. Thus $\begin{pmatrix} A \\ I \end{pmatrix}$ is not gr-full.

- It implies that $A \in \mathfrak{M}^H(k\langle x, y \rangle)$ becomes invertible in $D_{\varphi}(H)$ if and only if A is gr-full.
- Then $D_{\varphi}(H) = k \langle x, y \rangle_{\Phi_H}$, the universal localization of $k \langle x, y \rangle$ at Φ_H , the set of gr-full matrices.

Thank you!

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 - R can be regarded as a Γ/Ω -graded ring as follows

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Theorem (Kawai, S.)

Let R be a Γ -graded ring. The following assertions hold true.

- If there exists a normal subgroup Ω of Γ such that there does not exist a Γ/Ω-graded epic *R*-division ring (of fractions), then there does not exist an epic *R*-division ring (of fractions).
- Let $(K_{\mathcal{P}'}, \varphi_{\mathcal{P}'})$, $(K_{\mathcal{Q}'}, \varphi_{\mathcal{Q}'})$ be epic *R*-division rings, such that there exists a specialization from $(K_{\mathcal{P}'}, \varphi_{\mathcal{P}'})$ to $(K_{\mathcal{Q}'}, \varphi_{\mathcal{Q}'})$. Then there exists a gr-specialization between the corresponding Γ -graded epic *R*-division rings.
- If Spec(R) → Spec_Γ(R), Q' → Q' ∩ M^Γ(R), is surjective, the existence of a universal R-division ring, implies the existence of a universal Γ-graded epic R-division ring.
- If for each Γ-graded epic *R*-division ring there exist ring homomorphisms to division rings, then Spec_Γ(*R*) → Spec_Γ(*R*), *Q* → *Q* ∩ M^Γ(*R*), is surjective.