

## Appendix to Chapter 6: Proof of Theorem 6.17

We only consider the case of  $F: \mathcal{A} \rightarrow \mathcal{B}$  being right exact, assuming that  $\mathcal{A}$  has enough projective objects. We have to show that the left derived functors  $L_i F$  form a homological  $d$ -functor and satisfy universality. The proof for  $F$  left exact and its right derived functors  $R^i F$  would proceed in a very similar way.

Claim 1: The  $L_i F$  are well-defined.

Proof: For  $A$  in  $\mathcal{A}$ , let  $P_*$  and  $Q_*$  be two projective resolutions of  $A$ .

By the Comparison Theorem 6.13, the identity on  $A$ ,  $1_A: A \rightarrow A$ , can be lifted to  $f_*: P_* \rightarrow Q_*$  and to  $g_*: Q_* \rightarrow P_*$ . Then  $\text{id}_*: P_* \rightarrow P_*$  and  $g_* \circ f_*: P_* \rightarrow P_*$  both are lifts, too, and similarly  $\text{id}_*, f_* \circ g_*: Q_* \rightarrow Q_*$ .

By 6.13, lifts of the same map are homotopy equivalent. Lemma 6.13 implies that  $H(f_*)$  and  $H(g_*)$  are isomorphisms on homology and  $H(f_*) = H(g_*)$ . Therefore, the  $L_i F$  do not depend on the choice of projective resolution.

Claim 2: The  $L_i F$  are additive functors.

Proof:  $F$  and (co)homology are additive functors. Let  $f: A_1 \rightarrow A_2$  be a morphism in  $\mathcal{A}$ . A lift  $f_*: P_*(A_1) \rightarrow P_*(A_2)$  is unique up to homotopy, by 6.13 (so addition of two lifts is unique only up to homotopy). The induced morphism in homology  $H_i(F(P_*(A_1))) \rightarrow H_i(F(P_*(A_2)))$  is independent of the chosen lifting off. Thus, on this level, addition of morphism is well-defined.

Claim 3: The  $L_i F$  satisfy condition <sup>(1)</sup> in Definition 6.15.

Proof: Let  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  be a short exact sequence in  $\mathcal{A}$ . We choose projective resolutions  $P_* \rightarrow A$  and  $Q_* \rightarrow C$ .



$$\begin{array}{ccccccc}
 \text{front: } & \text{Ker}(d_0) & \rightarrow & \text{Ker}(d_1) & \rightarrow & \text{Ker}(d_2) & \xrightarrow{d'} & \text{Cok}(d_0) & \rightarrow & \text{Cok}(d_1) & \rightarrow & \text{Cok}(d_2) \\
 & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 \text{back: } & \text{Ker}(d_0) & \rightarrow & \text{Ker}(d_1) & \rightarrow & \text{Ker}(d_2) & \xrightarrow{d''} & \text{Cok}(d_0) & \rightarrow & \text{Cok}(d_1) & \rightarrow & \text{Cok}(d_2)
 \end{array}$$

Commutativity of the squares not involving  $d$  or  $d'$  is checked by diagram chasing.  
 Commutativity of the squares involving  $d$  and  $d'$  is checked by following the construction of the connecting homomorphism.

This proves Claim 4.

Now the hard part starts.

Claim 5: The  $L_i F$  satisfy Condition (2) in Definition 6.15.

This means: We are given a morphism of short exact sequences in  $\mathcal{A}$

$$\begin{array}{ccccccc}
 0 \rightarrow A' \rightarrow B' \rightarrow C' \rightarrow 0 & & \text{and we have to verify commutativity of} & & & & \\
 \begin{array}{ccc} \downarrow f & \downarrow h & \downarrow g \end{array} & (*) & & & T_n(C') \xrightarrow{d'_n} T_{n-1}(A') \text{ in } \mathcal{B} \\
 0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0 & & & & & & \\
 & & & & T_n(C) \downarrow & & \downarrow T_{n-1}(g) \quad \forall n \in \mathbb{Z} \\
 & & & & T_n(C) \xrightarrow{d_n} T_{n-1}(A) & & 
 \end{array}$$

Proof: We have to choose projective resolutions of all six terms in  $(*)$ , lift the given maps to maps between chain complexes and then apply  $F$  and then homology. Both  $F$  and taking homology are functors, and homology uses the connecting homomorphism coming from the Snake Lemma. By claim 4, the connecting homomorphism is natural. But we have to make sure that all the resolutions and lifts we choose are compatible so that Claim 4 can be applied and the naturality of the connecting homomorphism can be used. This means precisely the following:

Given  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , the Horseshoe Lemma 6.9 tells us how to choose projective resolutions  $P(A)_*$ ,  $P(B)_*$  and  $P(C)_*$  such that the given maps can be lifted to a set of chain complexes  $0 \rightarrow P(A)_* \rightarrow P(B)_* \rightarrow P(C)_* \rightarrow 0$  and for  $0 \rightarrow A' \rightarrow B' \rightarrow C' \rightarrow 0$  we can choose  $Q(A')_*$ ,  $Q(B')_*$  and  $Q(C')_*$ . What we still have to do is to lift the maps  $(f, g, h)$  in  $(*)$  so that

$$\begin{array}{ccccccc}
 (†) & 0 \rightarrow Q(A')_* \rightarrow Q(B')_* \rightarrow Q(C')_* \rightarrow 0 & \text{commutes.} & & & & \\
 & \begin{array}{ccc} \downarrow \hat{f} & \downarrow \hat{h} & \downarrow \hat{g} \end{array} & & & & \text{Then we are done.} \\
 & 0 \rightarrow P(A)_* \rightarrow P(B)_* \rightarrow P(C)_* \rightarrow 0 & & & & & 
 \end{array}$$

The Comparison Theorem 6.13 tells us that we can construct each of the lifts  $\hat{f}$ ,  $\hat{g}$  and  $\hat{h}$ , separately. But 6.13 does not guarantee commutativity of (I). Therefore, we can take  $\hat{f}$  and  $\hat{g}$  as given and construct now  $\hat{h}$  making the diagram (I) commutative.

Construction of  $\hat{h}$ :

By the construction in the Horseshoe Lemma 6.9, for each  $n$ ,  $Q(C)_n = Q(A)_n \oplus Q(C)_n$  and  $P(B)_n = P(A)_n \oplus P(C)_n$ . So,  $h_n: Q(A)_n \oplus Q(C)_n \rightarrow P(B)_n$  can be written as a  $2 \times 2$ -matrix with entries in

$Q(A)_n \rightarrow P(A)_n$ , etc. In order to make (I) commutative, we must choose the diagonal entries as  $f_n: Q(A)_n \rightarrow P(A)_n$  and  $g_n: Q(C)_n \rightarrow P(C)_n$ , respectively, and  $Q(A)_n \rightarrow P(C)_n$  has to be zero. But the fourth entry,  $\mu_n: Q(C)_n \rightarrow P(A)_n$  is not yet determined. So we have to find  $\mu_n$ , and the whole family  $\hat{h} = (h_n)$  then has to satisfy:

- $\hat{h}$  has to be a lift of  $h: B' \rightarrow B$
- $\hat{h}$  has to be a morphism of complexes, i.e. satisfy  $d \circ \hat{h} = \hat{h} \circ d$ .

Of course, the first condition is only relevant in the first step of the inductive construction of  $h_n$ , which will follow now, and which will produce a morphism of complexes.

Induction start,  $n=0$ :

$Q(C)_0$  and  $P(A)_0$  are the rightmost non-zero terms in the complexes  $Q(C)_*$  and  $P(A)_*$ .

$\Rightarrow$  The differentials starting in these terms are zero and  $d \circ h_0 = h_0 \circ d$  is for free.

The relevant condition to be fulfilled is that  $\hat{h}$  lifts  $h$ . This means:

$$\begin{array}{ccc} Q(A)_0 \oplus Q(C)_0 & \xrightarrow{\epsilon} & B' \\ \downarrow h_0 & & \downarrow h \\ P(A)_0 \oplus P(C)_0 & \xrightarrow{\eta} & B \end{array}$$

$\hat{f}$  lifts  $f \Rightarrow Q(A)_0 \rightarrow B'$  commutes

$$\begin{array}{ccc} \downarrow f_0 & & \downarrow h \text{ (restricted to } A' \rightarrow A) \\ P(A)_0 & \rightarrow & B \end{array}$$

$\hat{g}$  lifts  $g \Rightarrow Q(C)_0 \rightarrow C'$  commutes as well  $\Rightarrow Q(C)_0 \xrightarrow{\epsilon''} B'$  commutes when taking residue classes in  $C$

$$\begin{array}{ccc} \downarrow g_0 & \downarrow g & \downarrow h \\ P(C)_0 & \rightarrow C \cong B/A & \rightarrow B \end{array}$$

But this diagram only commutes after taking residue classes in  $B/A = C$ .

The map  $\beta := h \circ \epsilon^n - \gamma^n \circ g_n: Q(C)_0 \rightarrow B$  would be zero, if the diagram would commute (which is not true in general). In other words, composing  $\beta$  with the quotient map  $B \rightarrow B/A$  is zero and hence the image of  $\beta$  is contained in  $A$ .

This will tell us how to construct  $\rho_n$ .

What we really want is  $Q(C)_0 \xrightarrow{\epsilon^n} B'$  to commute. This means exactly

$$\begin{array}{ccc} \downarrow (g_n, g_n) & & \downarrow h \\ P(A)_0 \oplus P(C)_0 & \xrightarrow{\gamma^n} & B \end{array} \quad \begin{array}{l} h \circ \epsilon^n = \gamma^n \circ g_n + \gamma^n \circ g_n \\ \text{which is equivalent to} \\ \gamma^n \circ g_n = \beta (= h \circ \epsilon^n - \gamma^n \circ g_n) \text{ and this} \end{array}$$

is equivalent to  $Q(C)_0$  to commute (for  $\rho_n$  still to be chosen).

$$\begin{array}{ccc} \rho_n \swarrow & \downarrow \beta & \beta: Q(C)_0 \rightarrow B \text{ has image in } B, \text{ and } P(A)_0 \rightarrow B \text{ is} \\ P(A)_0 & \rightarrow & B \end{array} \quad \begin{array}{l} \text{given by the surjective map } P(A)_0 \rightarrow A \subset B. \end{array}$$

Since  $Q(C)_0$  is projective, there exists  $\rho_n: Q(C)_0 \rightarrow P(A)_0$  making the diagram commutative.

This finishes the induction step. The condition about lifting  $h$  is now settled generally, provided we find  $\hat{h}$  and show it is a morphism of complexes.

Induction step for  $n \geq 1$ :

We have to find  $\rho_n$  such that  $d \circ \hat{h} = \hat{h} \circ d$  (at place  $n$ ).  $\rho_{n-1}$  is already defined by induction. Now, differentials do not vanish any more and need to be taken into account.

We fix notation: As before,  $h: \begin{array}{c} Q(A)' \\ \oplus \\ Q(C)' \end{array} \rightarrow \begin{array}{c} P(A) \\ \oplus \\ P(C) \end{array}$  is given by a matrix  $\begin{pmatrix} + & \kappa \\ \# & 0 \end{pmatrix}$  (all with index  $n$ )

which acts by left multiplication

on column vector  $\begin{pmatrix} x \\ y \end{pmatrix} \in \begin{array}{c} Q(A)' \\ \oplus \\ Q(C)' \end{array}$ . Similarly, differentials (whose existence has been shown in the Horseshoe Lemma) are given by matrices  $d_Q = \begin{pmatrix} d_A & d_Q \\ 0 & d_C \end{pmatrix}$  and  $d_P = \begin{pmatrix} d_A & d_P \\ 0 & d_C \end{pmatrix}$  (again with index  $n$ , the 0 in the

corner coming from commutativity of the diagrams belonging to the set of complexes  $0 \rightarrow Q(A)'_x \rightarrow Q(B)'_x \rightarrow Q(C)'_x \rightarrow 0$  and  $0 \rightarrow P(A)_x \rightarrow P(B)_x \rightarrow P(C)_x \rightarrow 0$ ,

where  $d_A, d_B, d_C$  are given differentials.



The condition to be satisfied is  $\hat{h} \circ d \stackrel{!}{=} d \circ \hat{h}$ , which means  $\hat{h}$  is a morphism of complexes. In terms of matrices  $\underbrace{\begin{pmatrix} f & \mu \\ 0 & g \end{pmatrix}}_{\text{indices } n-1} \underbrace{\begin{pmatrix} d_n & d_n \\ 0 & d_{n-1} \end{pmatrix}}_{\text{indices } n-1} \stackrel{!}{=} \underbrace{\begin{pmatrix} d_n & d_n \\ 0 & d_{n-1} \end{pmatrix}}_{\text{indices } n-1} \underbrace{\begin{pmatrix} f & \mu \\ 0 & g \end{pmatrix}}_{\text{indices } n-1}$

Since  $\hat{f} \circ d = d \circ \hat{f}$  and  $\hat{g} \circ d = d \circ \hat{g}$ , equalities in the diagonal entries are automatic, and there is just one equation to be satisfied, in the right upper corner:

$$d \circ \mu_n \stackrel{!}{=} \underbrace{\mu_{n-1} \circ d + f \circ \lambda - \lambda \circ g}_{\text{defined already by induction}}: \mathcal{Q}(C)_n \rightarrow \mathcal{P}(A)_{n-1}$$

and, by induction,  $\mu_{n-1}$  satisfies the same condition, i.e.  $\mu_{n-1} \circ d = d \circ \mu_{n-1} + f \circ \lambda - \lambda \circ g$  (different indices from above)

$$d \circ \mu_{n-1} = \mu_{n-2} \circ d + f \circ \lambda - \lambda \circ g \quad (\text{different indices from above})$$

We need  $\mu_n$  such that  $\mathcal{Q}(C)_n$  commutes. This is now similar to the

$$\begin{array}{ccc} & \mu_n & \\ & \swarrow & \searrow \\ \mathcal{P}(A)_n & \xrightarrow{d} & \mathcal{P}(A)_{n-1} \end{array}$$

last step in the induction step:

The image of  $d: \mathcal{P}(A)_n \rightarrow \mathcal{P}(A)_{n-1}$  is, by exactness of the resolution, the kernel of the  $d$  starting at  $\mathcal{P}(A)_{n-1}$ . Thus we will show that the image of  $\mu_n \circ d + f \circ \lambda - \lambda \circ g$  is contained in  $\text{Ker}(d/c \mathcal{P}(A)_{n-1})$ . Then  $\mathcal{Q}(C)_n$  being projective implies that the lift  $\mu_n$  exists, and the induction step is done.

It remains to check that  $d \circ (\mu_{n-1} \circ d + f \circ \lambda - \lambda \circ g) = 0$ :

$$\begin{aligned} d \circ (\mu_{n-1} \circ d + f \circ \lambda - \lambda \circ g) &= (d \circ \mu_{n-1}) \circ d + d \circ f \circ \lambda - d \circ \lambda \circ g \\ &= (\mu_{n-2} \circ d + f \circ \lambda - \lambda \circ g) \circ d + d \circ f \circ \lambda - d \circ \lambda \circ g \end{aligned}$$

We check  $d \circ f \circ \lambda = -f \circ d \circ \lambda$  and also  $d \circ \lambda \circ g = -\lambda \circ g \circ d$ :

Since  $f$  is a chain map,  $d \circ f = f \circ d$ , and for the same reason,  $d \circ g = g \circ d$ .

Since  $\begin{pmatrix} d & \lambda \\ 0 & d \end{pmatrix}$  is a differential,  $0 = \begin{pmatrix} d & \lambda \\ 0 & d \end{pmatrix} \begin{pmatrix} d & \lambda \\ 0 & d \end{pmatrix} \Rightarrow d \circ \lambda + \lambda \circ d = 0$ . And that is what we need.

This finishes the proof of claim 5. Now we know that the  $L_i F$  form a homological  $\delta$ -functor. What remains to be shown is that they form a universal homological  $\delta$ -functor. This is the final claim.

Claim 6: The homological  $d$ -functor formed by the  $L_i F$  is universal.

This means: Let  $T_*$  be a homological  $d$ -functor and  $\varphi_0: T_0 \rightarrow F$  a morphism of functors, i.e. a natural transformation. We have to show: There exists a unique extension to a morphism  $\varphi: T_* \rightarrow L_* F$  of  $d$ -functors.

Proof: We proceed by induction. The induction starts with the assumption  $\exists \varphi_0: T_0 \rightarrow F$ . Suppose for a given  $n > 0$ :  $\varphi_i: T_i \rightarrow L_i F$  are already defined for  $0 \leq i < n$  and satisfy all conditions. That is, they are natural transformations and commute with the appropriate  $d_i$ 's as required.

For  $A$  in  $\mathcal{A}$  we have to find a morphism  $T_n(A) \xrightarrow{\varphi_n(A)} L_n F(A)$  which has the required properties. We will shortly see that there is exactly one candidate  $\varphi_n(A)$ .

Choose an exact sequence  $0 \rightarrow K \rightarrow P \rightarrow A \rightarrow 0$  with  $P$  projective. This exists by assumption on  $\mathcal{A}$ . Since  $P$  is projective, it has a projective resolution  $\dots \rightarrow 0 \rightarrow 0 \rightarrow \dots \rightarrow 0 \rightarrow P \rightarrow 0$ . Therefore  $L_n F(P) = 0$  for  $n \geq 1$ .  $\Rightarrow$  There is a commutative diagram with exact rows

$$\begin{array}{ccccccc} T_n(A) & \xrightarrow{d_n} & T_{n-1}(K) & \xrightarrow{d_{n-1}} & T_{n-1}(P) & & \\ & & \downarrow \varphi_{n-1} & & \downarrow \varphi_{n-1} & & \\ 0 & \rightarrow & L_n F(A) & \xrightarrow{d_n} & L_{n-1} F(K) & \xrightarrow{d_{n-1}} & L_{n-1} F(P) \\ & & \downarrow \varphi_n & & & & \\ L_n F(A) & & & & & & \end{array}$$

Existence of  $\varphi_n(A): T_n(A) \rightarrow L_n F(A)$  commuting with  $d_n$  follows by diagram chasing:

$$\begin{array}{ccc} T_n(A) \ni a & \xrightarrow{d_n(a)} & d_{n-1}(d_n(a)) \\ \downarrow \varphi_n & & \downarrow \varphi_{n-1} \\ L_n F(A) & \xrightarrow{d_n} & 0 \end{array} \quad \begin{array}{l} (d_n(a)) = 0 \text{ and there is no choice} \\ \Rightarrow \varphi_n(A) \text{ is unique} \end{array}$$

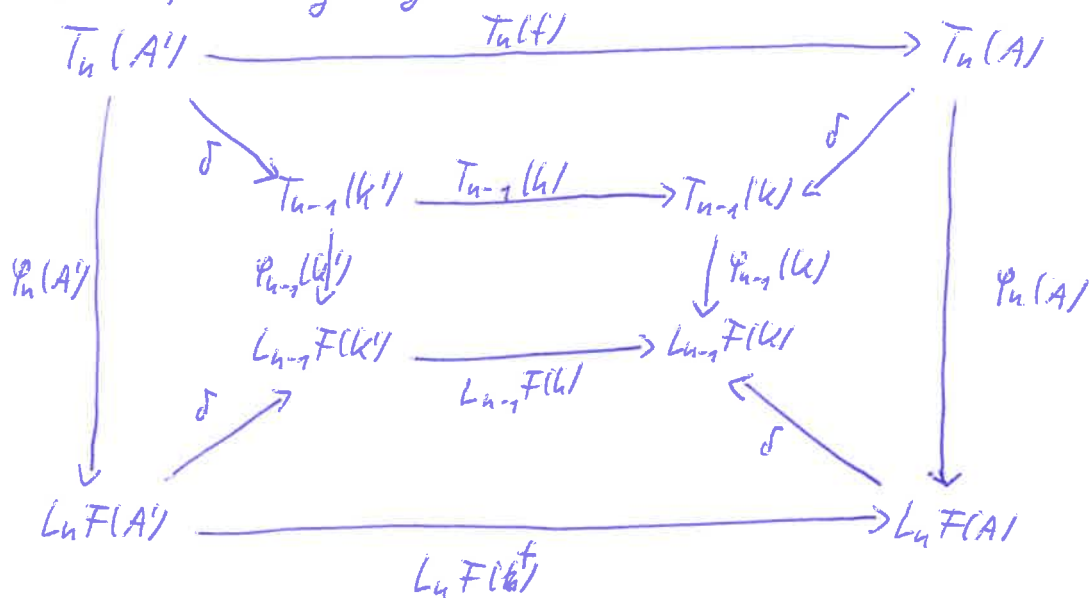
$$L_n F(A) = \text{Im}(d_n) = \bigcap^n \text{Ker}(d_{n-1})$$

We still have to show that  $\varphi_n$  is a natural transformation and that it commutes with all  $d_n$ 's, not only those coming from the chosen sequence.

First we show that  $\varphi_n$  is a natural transformation. Let  $f: A' \rightarrow A$  be a morphism in  $\mathcal{A}$  and  $0 \rightarrow K' \rightarrow P' \rightarrow A' \rightarrow 0$  an exact sequence with  $P'$  projective.

$$\begin{array}{ccc} 0 \rightarrow K' \rightarrow P' \rightarrow A' \rightarrow 0 & & P' \text{ projective} \Rightarrow \exists \text{ lift } g \text{ of } f, \text{ and } g \\ \downarrow h & \downarrow \exists g & \downarrow f \\ 0 \rightarrow K \rightarrow P \rightarrow A \rightarrow 0 & & \text{Induces } h: K' \rightarrow K \text{ such that the diagram} \\ & & \text{is commutative.} \end{array}$$

Consider the following diagram:



The inner square commutes by induction. By naturality of the connecting homomorphism, all the small quadrilaterals (each involving two connecting homomorphisms) also commute. This implies

$$\delta \circ L_n F(f) \circ \Psi_n(A') = \delta \circ \Psi_n(A) \circ T_n(f)$$

Since  $P$  and  $P'$  are projective,  $\delta: L_n F(A') \rightarrow L_{n-1}F(K')$  and, in particular,  $\delta: L_n F(A) \rightarrow L_{n-1}F(K)$  are monomorphisms  $\Rightarrow \delta$  can be cancelled in the equation above and  $L_n F(f) \circ \Psi_n(A') = \Psi_n(A) \circ T_n(f)$ , which means  $\Psi_n$  is a natural transformation. (Choosing  $A=A'$ ,  $f=id$ , but  $P$  and  $P'$  different, this also tells us that  $\Psi_n(A)$  is the same for both choices, i.e.  $\Psi_n(A)$  does not depend on the choice of  $P$ .)

The final step is to verify that  $\Psi_n$  commutes with  $\delta_n$ . For a given sequence

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

$$T_n(C) \xrightarrow{\delta} T_{n-1}(A) \quad \text{is commutative}$$

$$\Psi_n \downarrow \qquad \qquad \qquad \downarrow \Psi_{n-1}$$

$$L_n F(C) \xrightarrow{\delta} L_{n-1} F(A)$$

To show this, we choose another sequence  $0 \rightarrow K \rightarrow P \rightarrow C \rightarrow 0$  with  $P$  projective

$$\text{in } \mathcal{A} \text{ and compare the sequences } 0 \rightarrow K \rightarrow P \rightarrow C \rightarrow 0$$

$f$  exists since  $P$  is projective

$$\begin{array}{ccccccc}
 & & & & \downarrow \delta & \downarrow f & \downarrow id_C \\
 0 & \rightarrow & A & \rightarrow & B & \rightarrow & C \rightarrow 0
 \end{array}$$

$g$  is induced  $\Rightarrow$  the diagram commutes



The previous commutative diagram yields a commutative diagram

$$\begin{array}{ccccccc} \rightarrow & T_n(P) & \rightarrow & T_n(C) & \xrightarrow{\delta} & T_{n-1}(K) & \rightarrow & T_{n-1}(P) & \rightarrow \\ & \Psi_n \downarrow & \circlearrowleft & \Psi_n \downarrow & \circlearrowleft & \Psi_{n-1} \downarrow & \circlearrowleft & \Psi_{n-1} \downarrow & \\ \rightarrow & L_n F(P) & \rightarrow & L_n F(C) & \xrightarrow{\delta} & L_{n-1} F(K) & \rightarrow & L_{n-1} F(P) & \rightarrow \end{array}$$

but also (only using the left hand square and going vertically instead of horizontally)

a commutative square  $T_{n-1}(K) \xrightarrow{T_n \gamma'} T_{n-1}(A)$

$$\begin{array}{ccc} \Psi_{n-1} \downarrow & \circlearrowleft & \downarrow \Psi_{n-1} \\ L_{n-1} F(K) & \xrightarrow{L_{n-1} F \gamma'} & L_{n-1} F(A) \end{array}$$

Putting this square on the right hand side of the middle square above yields

$$\begin{array}{ccccccc} T_n(C) & \xrightarrow{\delta} & T_{n-1}(K) & \xrightarrow{T_n \gamma'} & T_{n-1}(A) \\ \Psi_n \downarrow & \circlearrowleft & \Psi_n \downarrow & \circlearrowleft & \downarrow \Psi_{n-1} \\ L_n F(C) & \xrightarrow{\delta} & L_{n-1} F(K) & \xrightarrow{L_{n-1} F \gamma'} & L_{n-1} F(A) \end{array}$$

Again by naturality of  $\delta$ , the composition  $T_n(\gamma) \circ \delta$  in the upper row is

$\delta: T_n(C) \rightarrow T_{n-1}(A)$ , and the composition in the bottom row is  $\delta: L_n F(C) \rightarrow L_{n-1} F(A)$ .

The outer rectangle commutes  $\Rightarrow \Psi_n$  commutes with  $\delta$ .  $\square$